



Plasmonic Metamaterials – New Techniques for Sensing

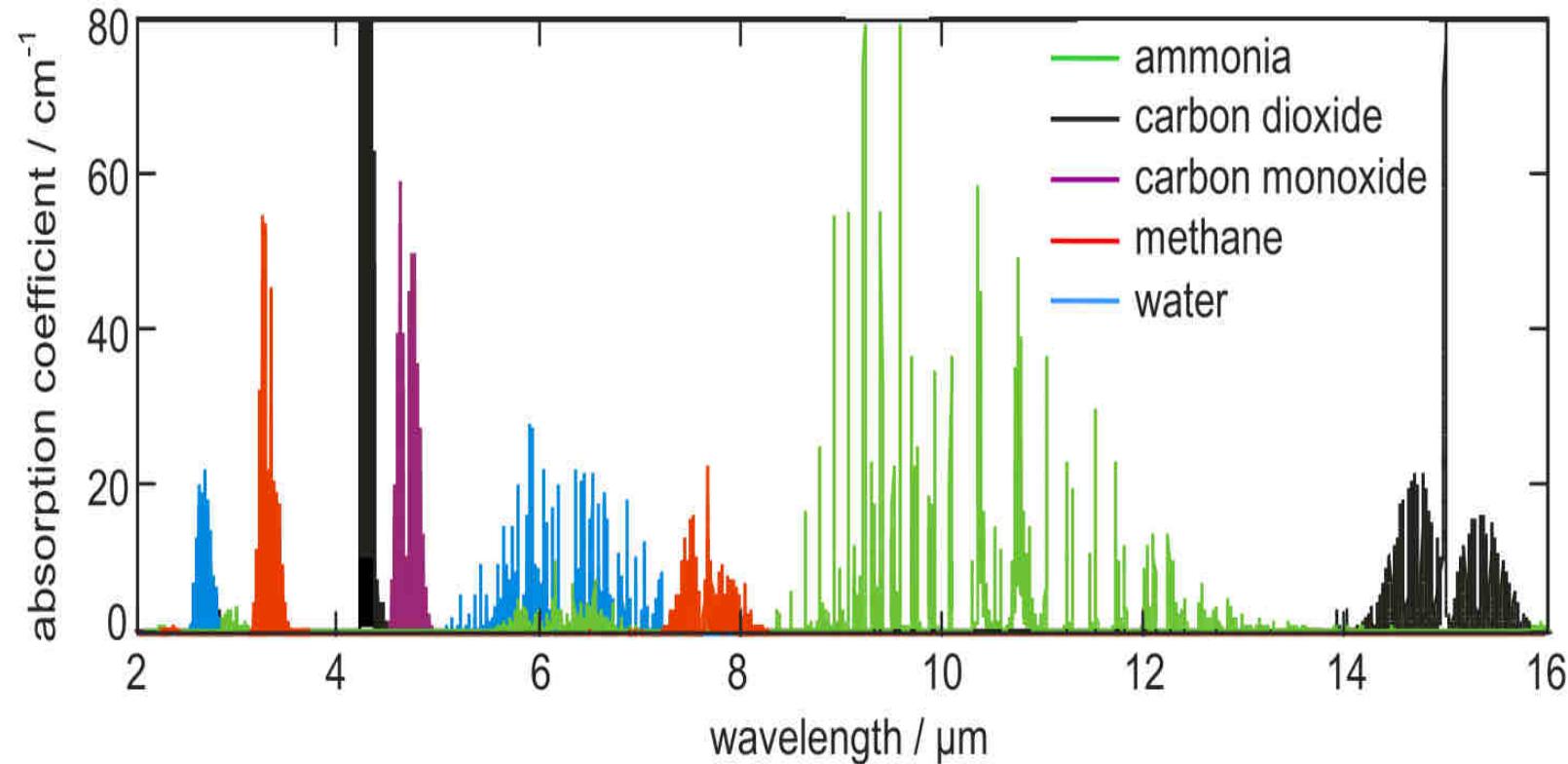
J. Leuthold¹, A. Lochbaum¹, C. Haffner¹, W. Heini¹, C. Hoessbacher¹,
Y. Fedoryshyn¹, C. Hafner¹

¹ ETH Zurich, Switzerland,

Content

- A Metamaterial Mid-IR Emitter
- Surface Plasmon Polaritons (SPPs)
- A SPP interferometric detector

Gas Detection at Mid-IR



<http://lightsensetechnology.com/core-technology/>

Mid-IR Sources

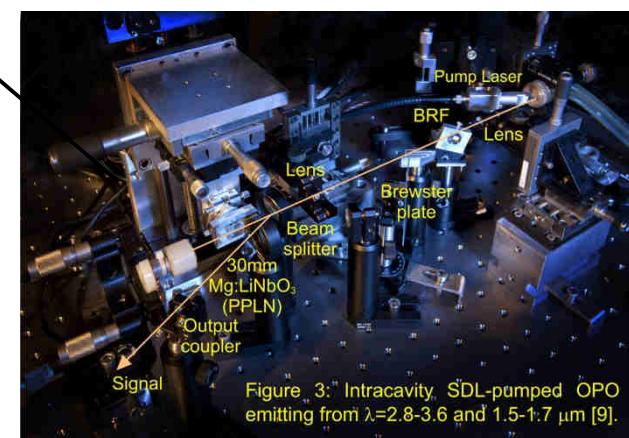
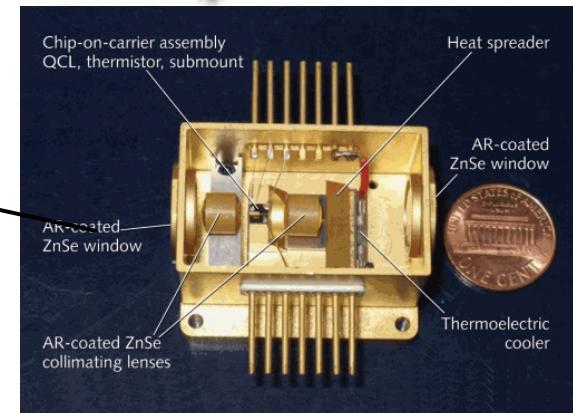
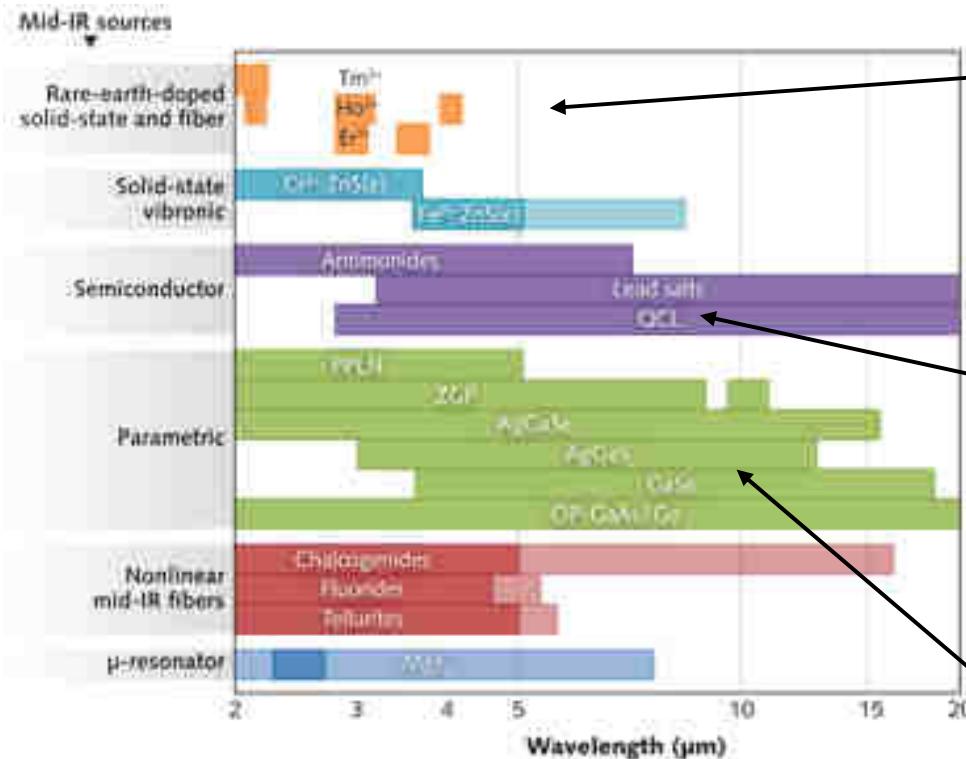
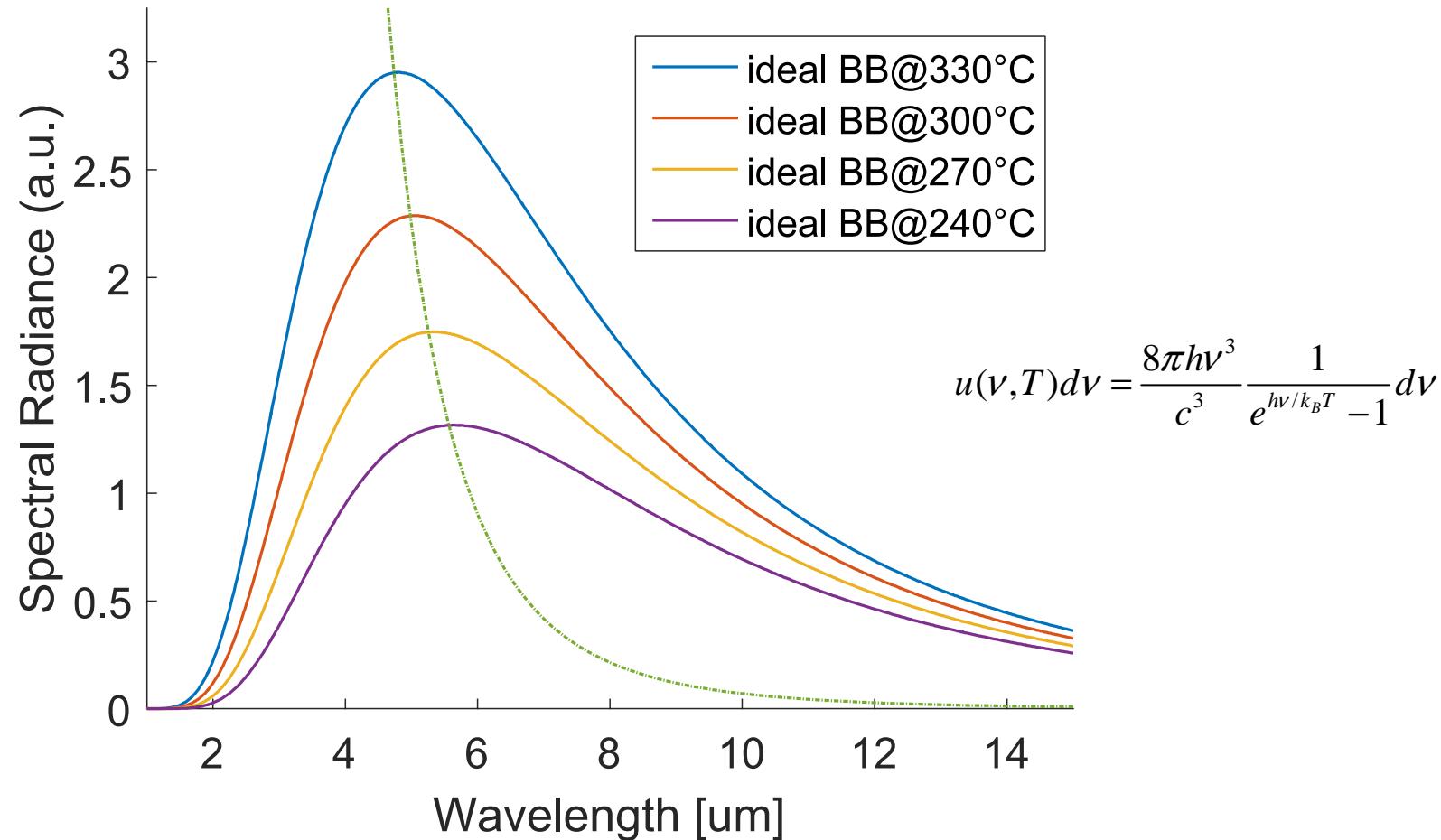


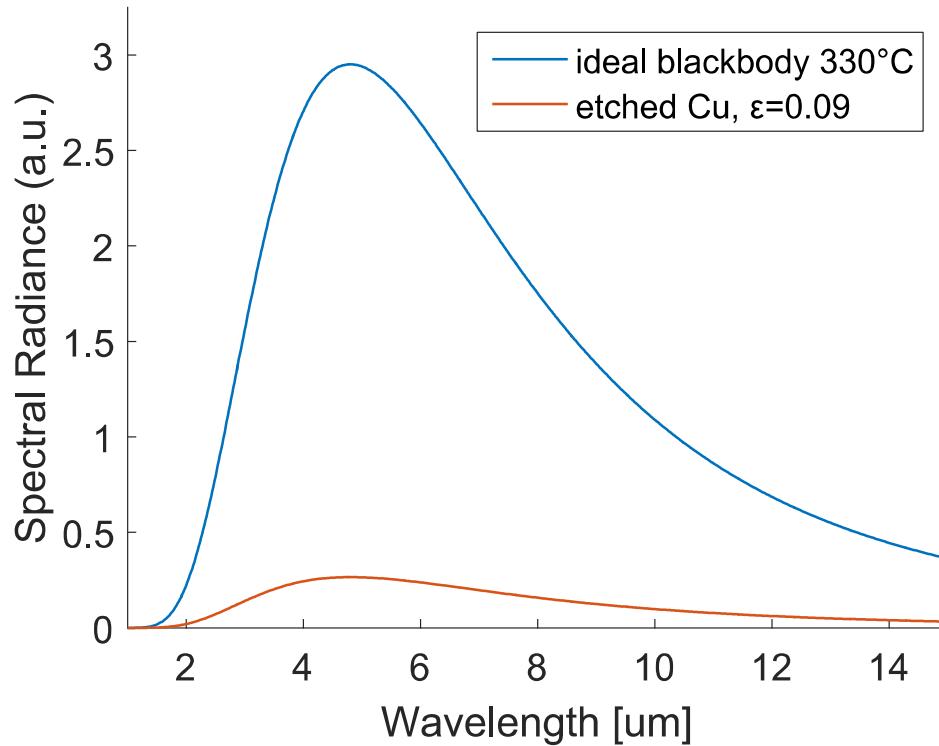
Figure 3: Intracavity, SDL-pumped OPO emitting from $\lambda=2.8\text{--}3.6$ and $1.5\text{--}1.7\ \mu\text{m}$ [9].

The Planck Law



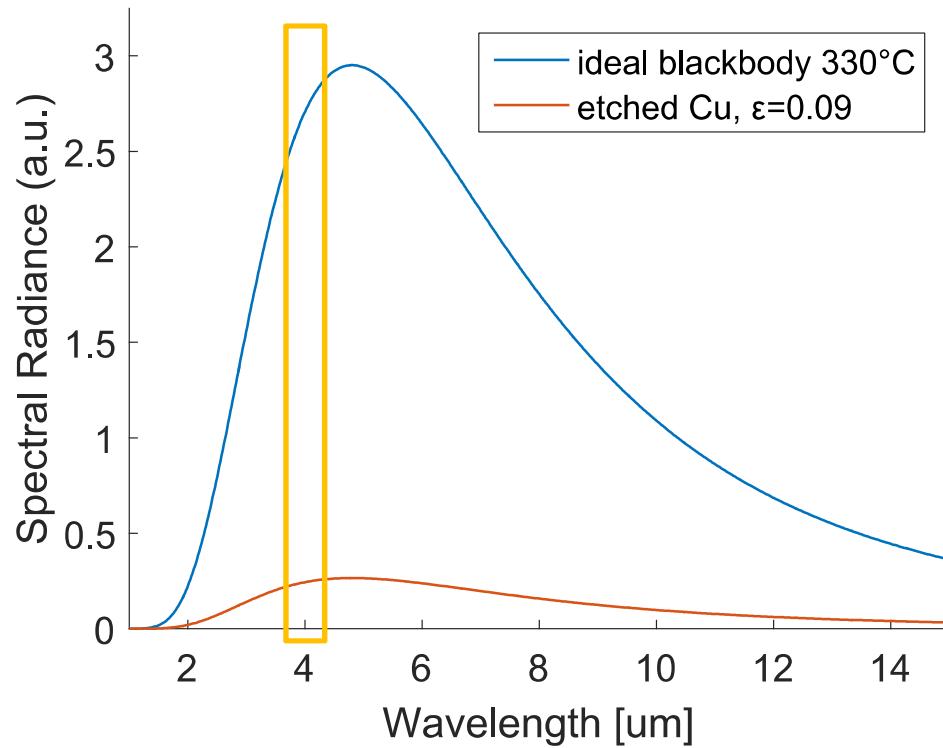
The Planck Law

Issue 1: Emission normally low



The Planck Law

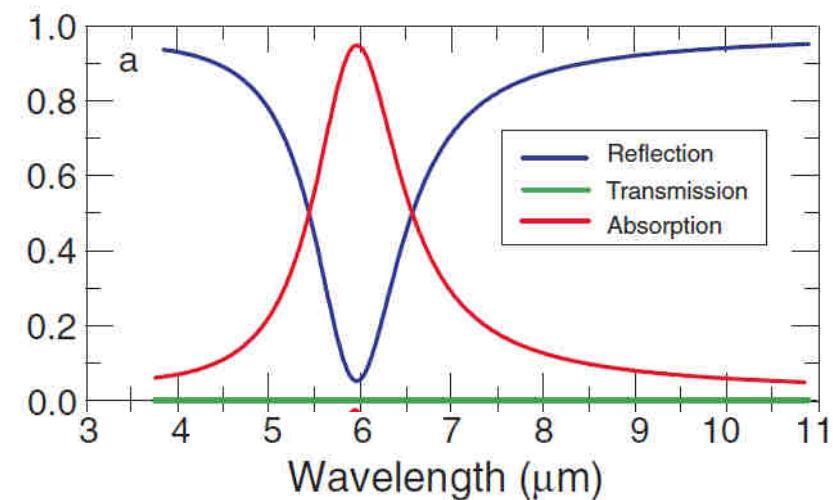
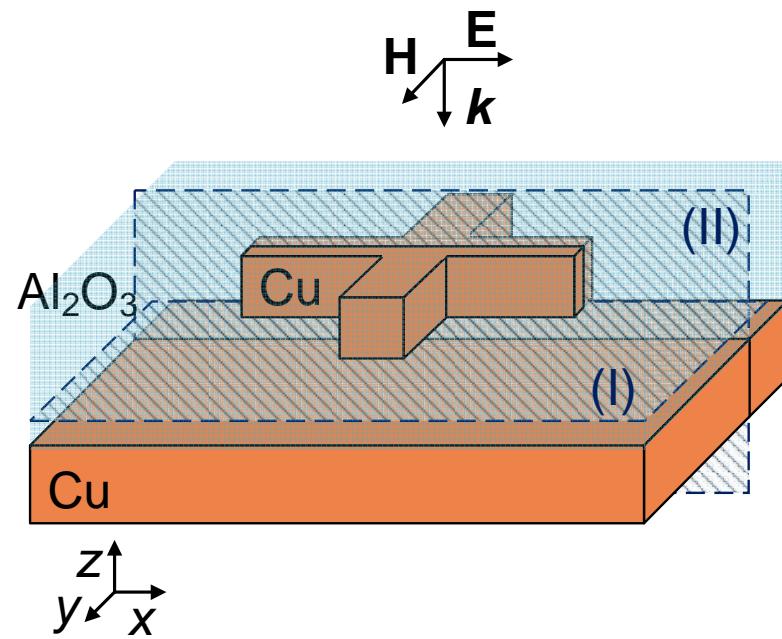
Issue 2: Broadband Emission



Only fraction of power around absorption peak of gas is needed.

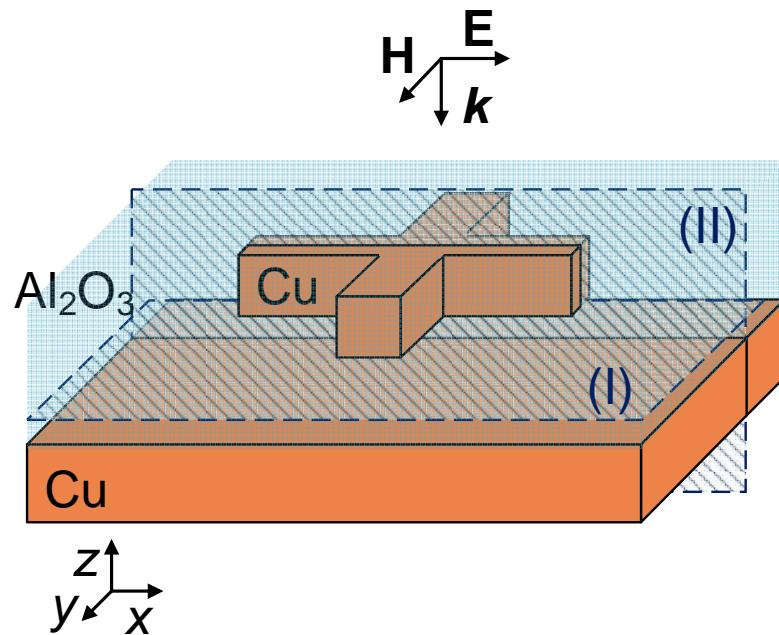
Remaining spectral components will degrade performance of detector due to saturation.

A Metamaterial Perfect Absorber



X. Liu, T. Starr, A. F. Starr, and W. J. Padilla, "Infrared Spatial and Frequency Selective Metamaterial with Near-Unity Absorbance," Physical Review Letters **104**, 207403 (2010).

A Metamaterial Perfect Emitter?



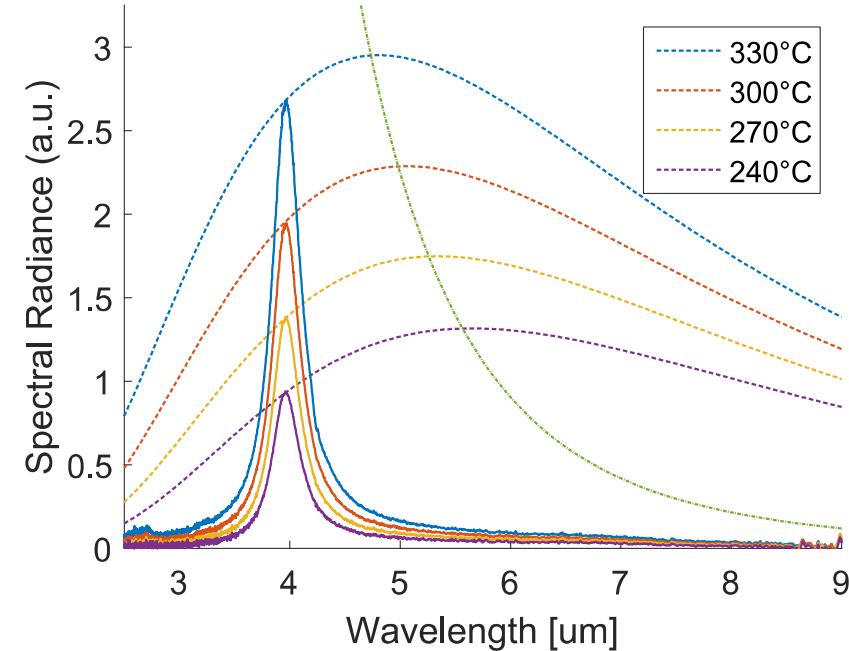
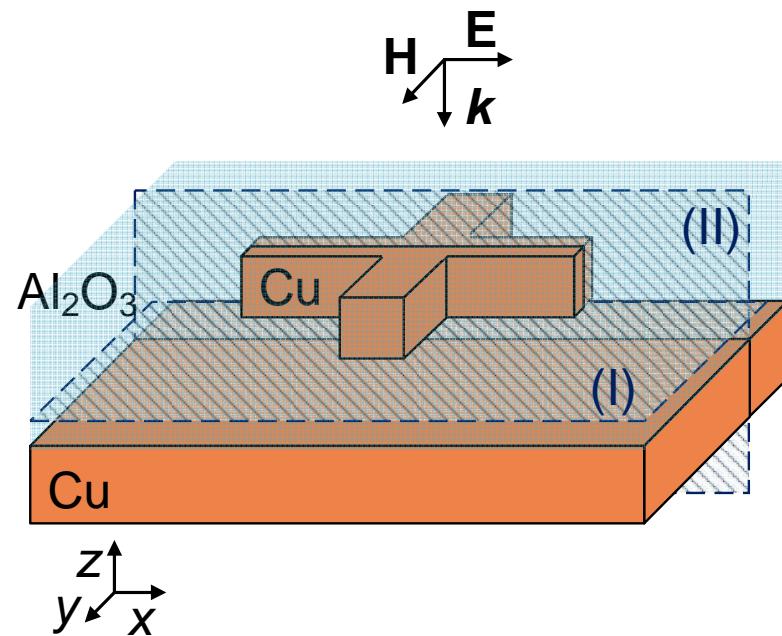
Kirchhoff's law:

Emissivity=Absorptivity

$$e_\lambda = \alpha_\lambda$$

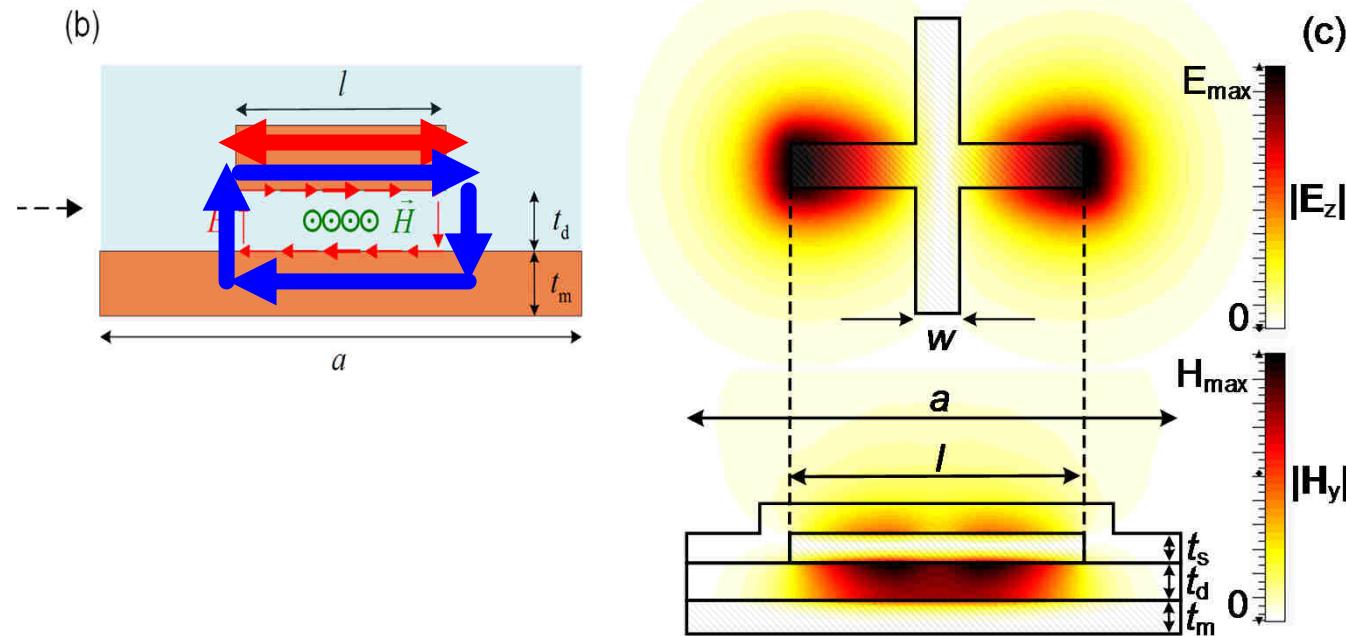
A. Lochbaum et al.; Submitted to OSA Sensors Meeting

A Metamaterial Perfect Emitter



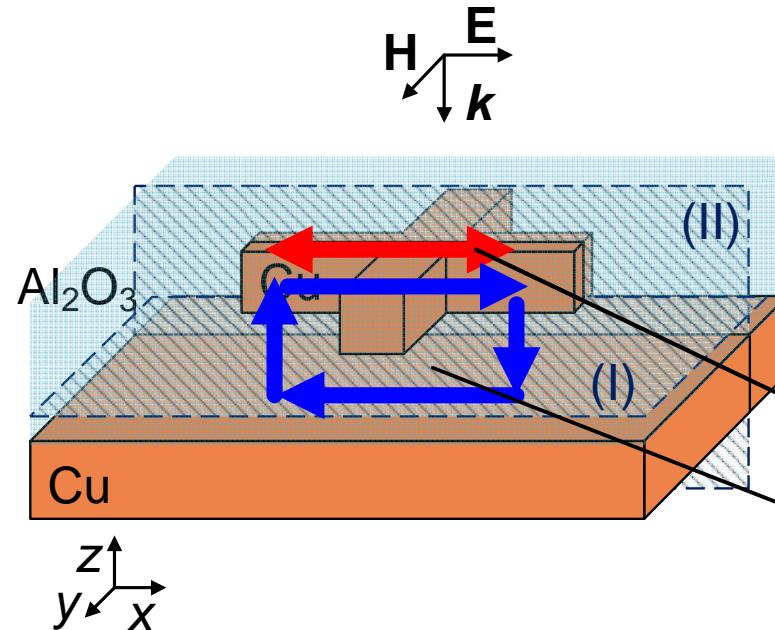
A. Lochbaum et al.; Submitted to OSA Sensors Meeting

A Metamaterial Perfect Emitter- The Physics



- i) EM thermal fluctuation induces a current in top electrode
- ii) Current in top electrode induces H-field in spacer
- iii) H-field induces current in opposite direction in bottom metal

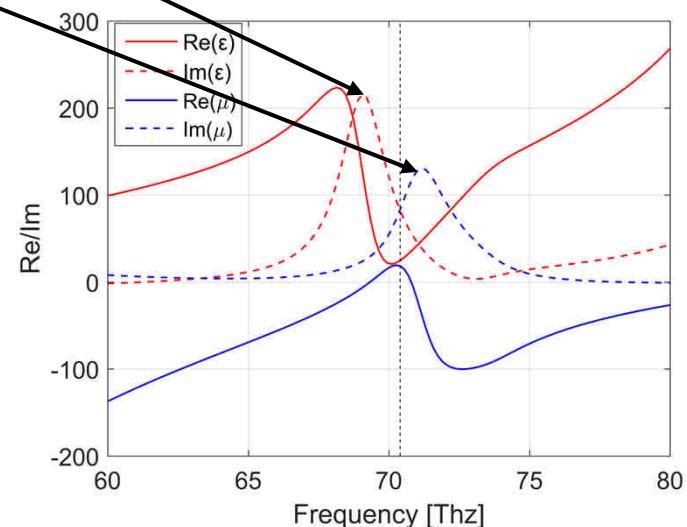
A Metamaterial Perfect Emitter – El. Field Theory



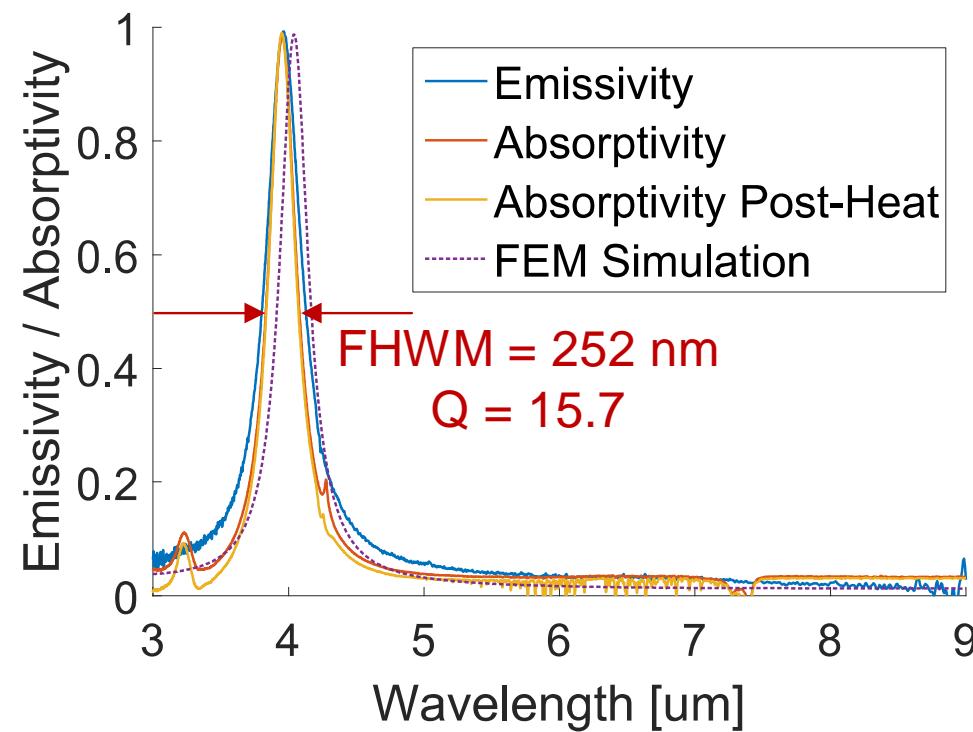
With Kirchoff:
If $A=1$ then $E=1$

If $T+R+A=1$
Find geometry such that $R=0$
Transmission=0 (reflector)

- i) $R=0$ if impedance $Z = \sqrt{\frac{\mu_r(\omega)}{\epsilon_r(\omega)}} = 1$ matched to air.
- ii) By tweaking dimensions, one can tweak μ and ϵ independently
- iii) Tune to spot where $\mu_r(\omega)=\epsilon_r(\omega)$

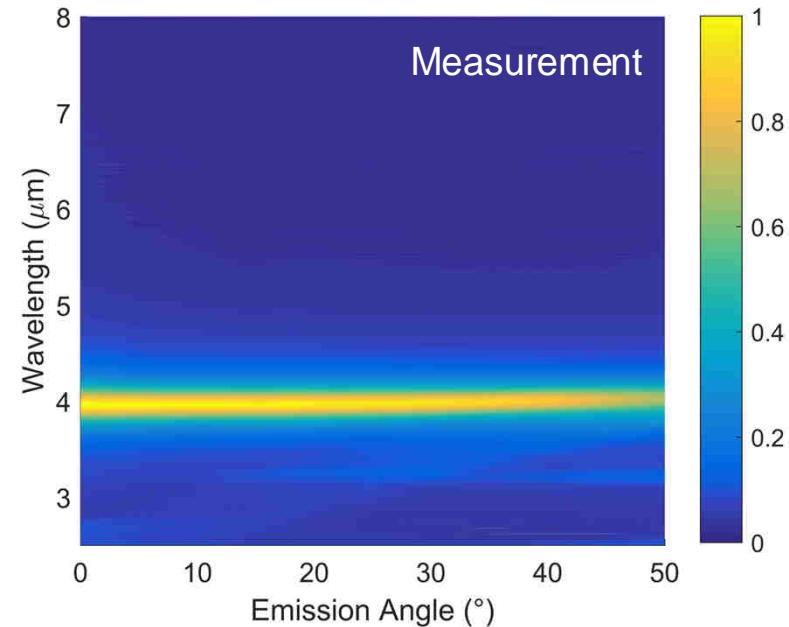
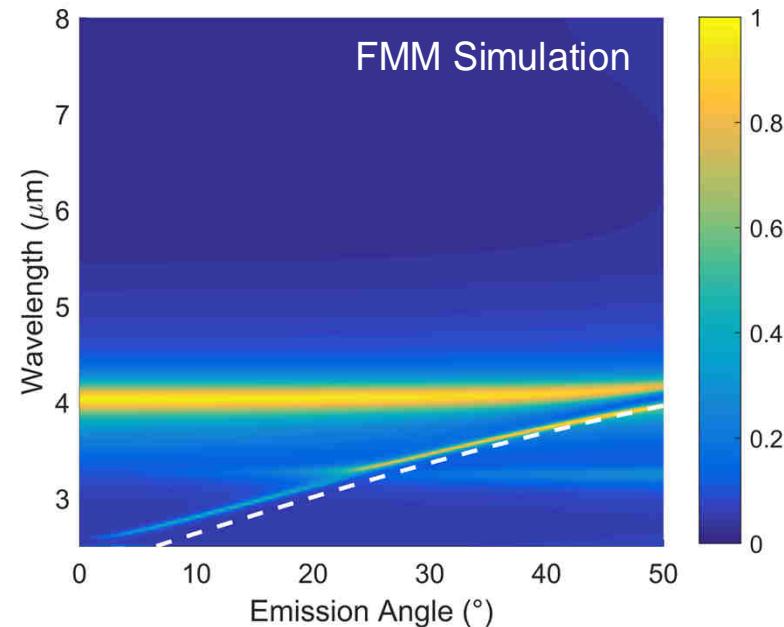


A Metamaterial Perfect Emitter - Characterization

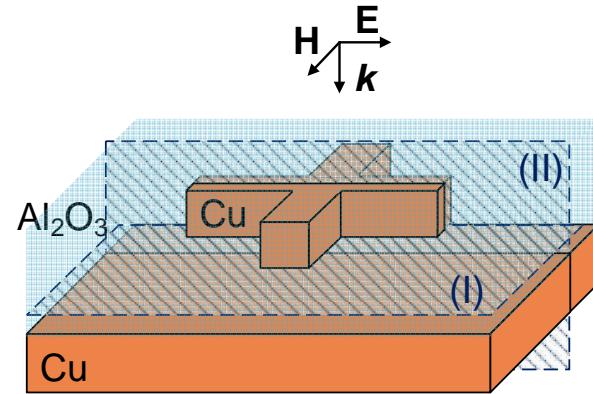


A. Lochbaum et al.; Submitted to OSA Sensors Meeting

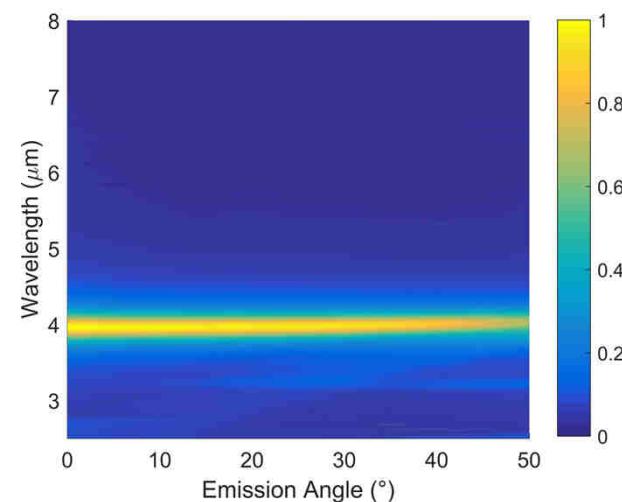
A Metamaterial Perfect Emitter – Angular Depend.



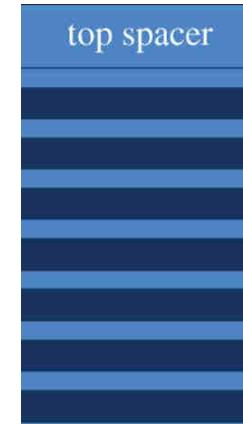
Perfect Emitter with a Frequency Selective Filter



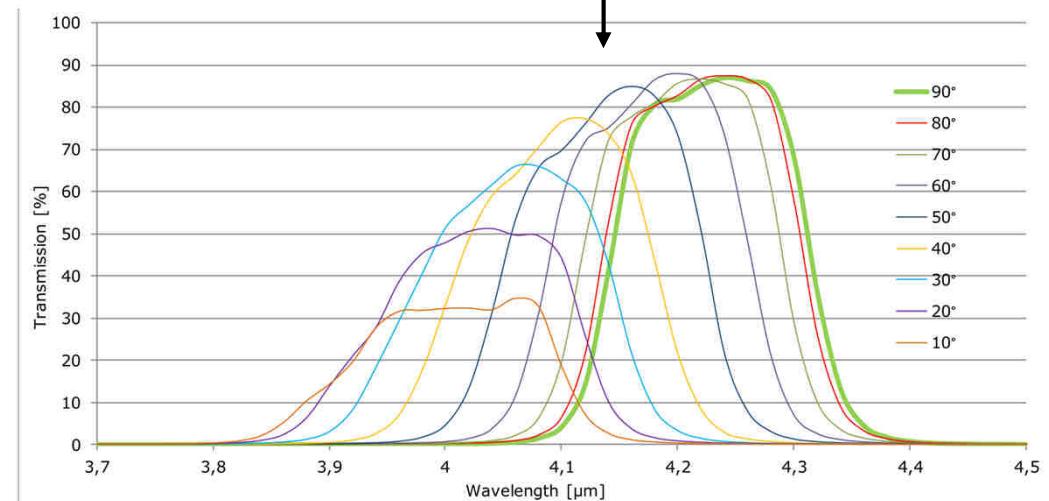
z
 y
 x



Plasmonic filter versus
Bragg Grating filter

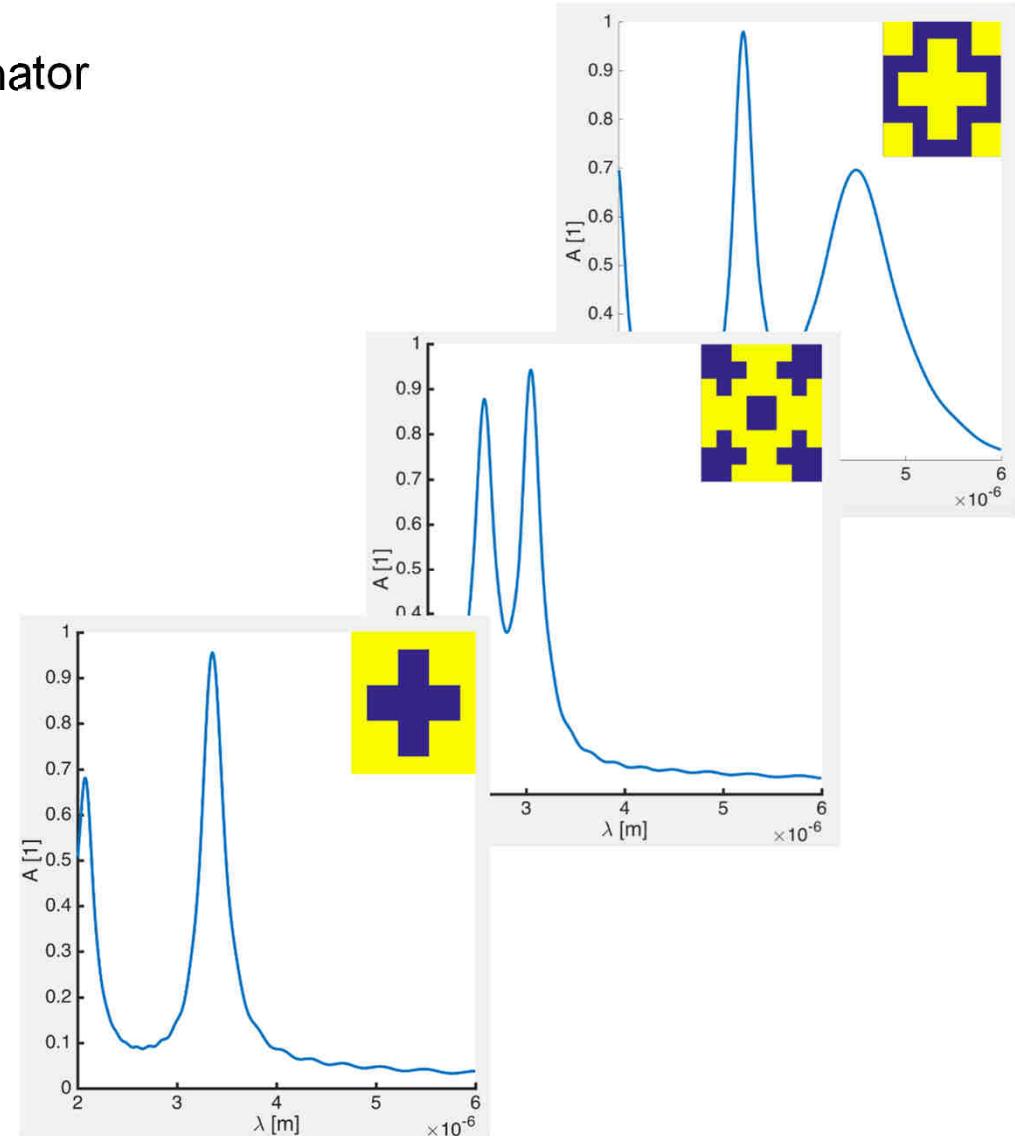
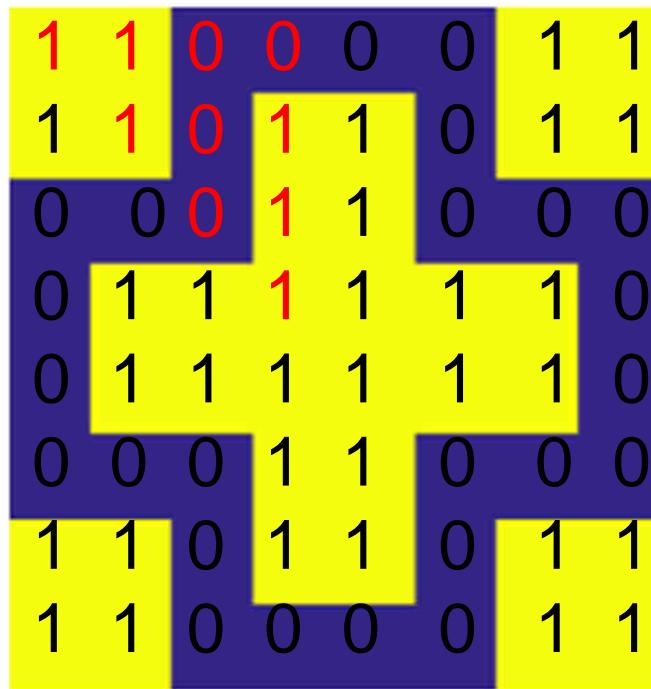


A Bragg Grating filter has an
angular dependence

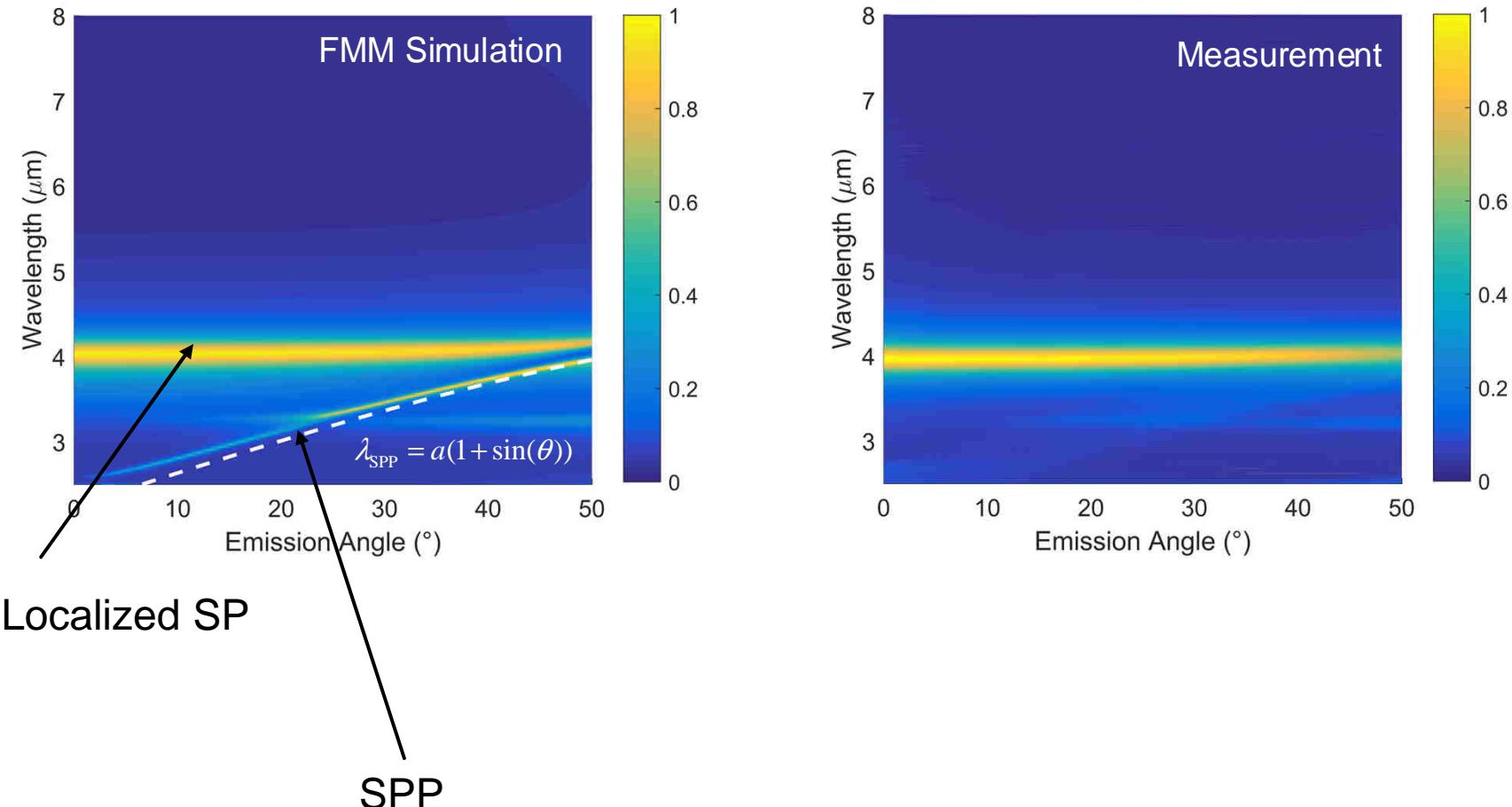


Are we Sure to Have the Perfect Emitter?

Global Optimization of Top Resonator



A Metamaterial Perfect Emitter – Angular Depend.



Content

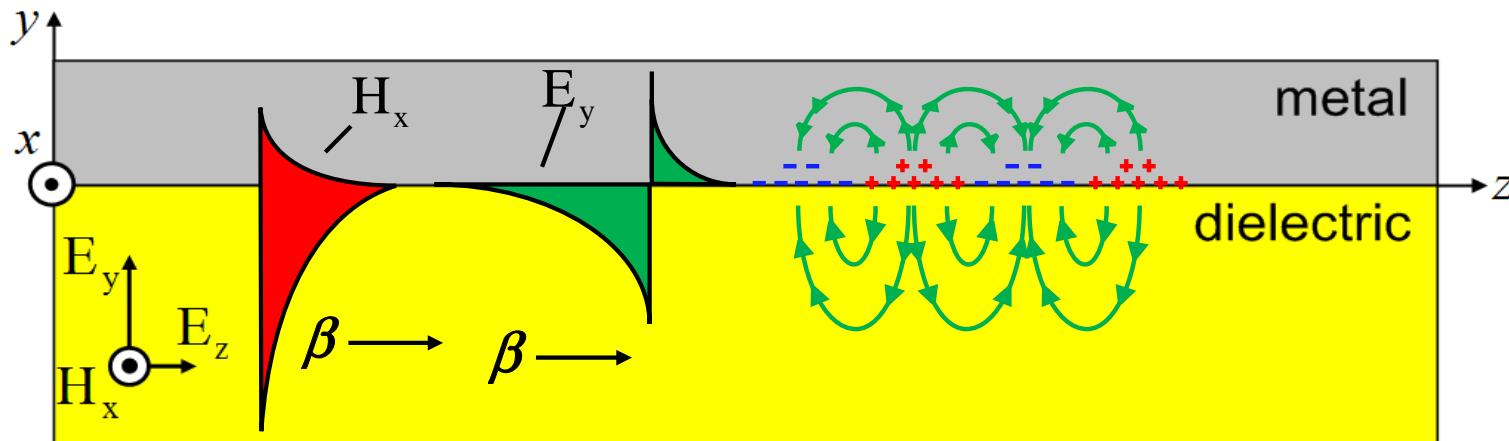
- A Metamaterial Mid-IR Emitter
- Surface Plasmon Polaritons (SPPs)
- A SPP interferometric detector

Surface Plasmon Polariton (SPP)

Plasmon: Coherent electron gas oscillations

Polariton: If coupled to light

SPP: Coherent electron gas oscillation coupled to light at surface.



Derivation: Wave Equation

$$\frac{d^2 H_x(y)}{dy^2} + \left[k_0^2 \epsilon(y) - \beta^2 \right] H_x(y) = 0,$$

Boundary Conditions

$$H_{x,m} = H_{x,d} \quad \epsilon_m E_{y,m} = \epsilon_d E_{y,d}$$

→ Dispersion Relations

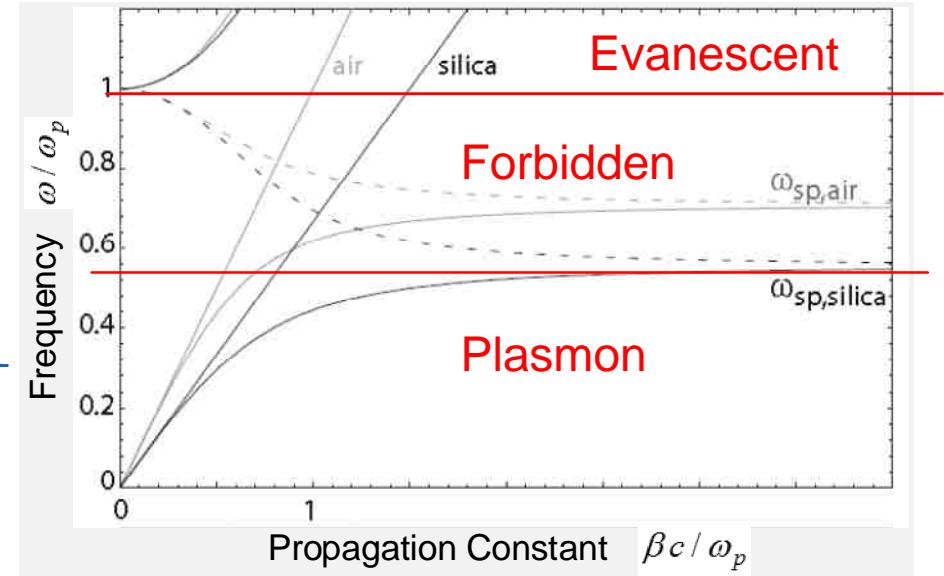
$$\beta = \frac{\omega}{c} \sqrt{\frac{\epsilon_m \epsilon_d}{\epsilon_m + \epsilon_d}}$$

Surface Plasmon Polariton

- Derive Mode and Dispersion
- Dispersion relations for ideal conductor

$$\rightarrow \varepsilon_1'' = \text{Im}[\varepsilon_1] = 0 \quad \beta = k_0 \sqrt{\frac{\varepsilon_1 \varepsilon_2}{\varepsilon_1 + \varepsilon_2}} = \frac{\omega}{c_0} \sqrt{\frac{\varepsilon_1 \varepsilon_2}{\varepsilon_1 + \varepsilon_2}}$$

$$\varepsilon_1(\omega) \cong 1 - \frac{\omega_p^2}{\omega^2}$$

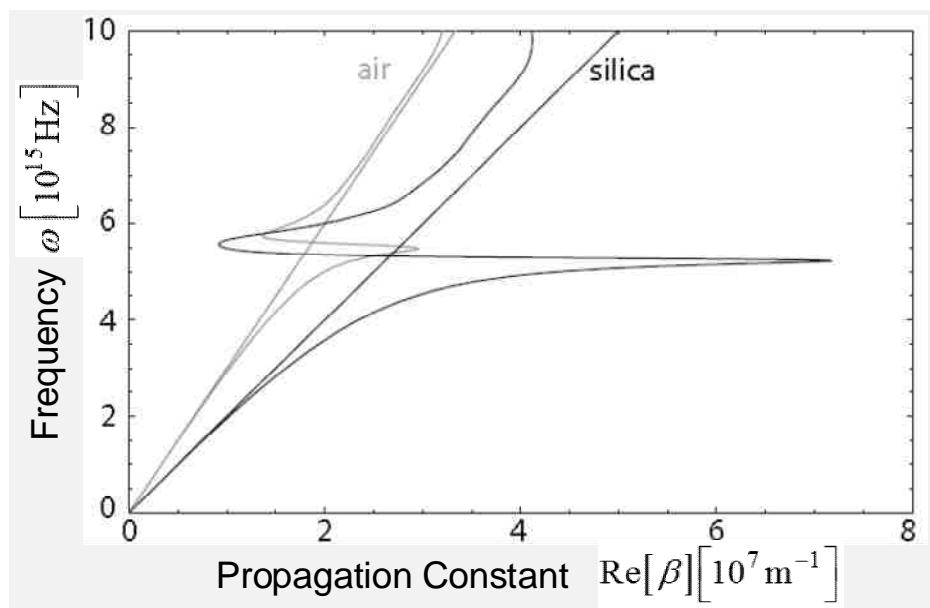


- Dispersion relations for lossy conductor:

$$\rightarrow \varepsilon_1 = \varepsilon'_1 - j\varepsilon''_1 \quad \beta' = \frac{\omega}{c_0} \sqrt{\frac{\varepsilon'_1 \varepsilon'_2}{\varepsilon'_1 + \varepsilon'_2}}$$

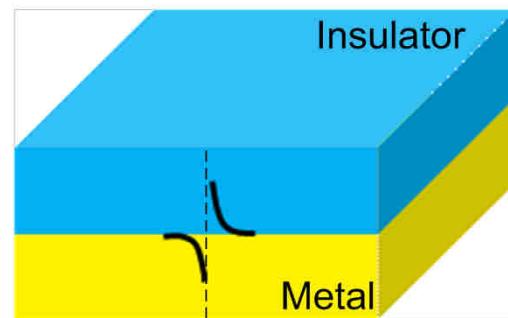
$$\rightarrow \beta = \beta' - j\beta'' \quad \beta'' = \frac{\omega}{c_0} \left(\frac{\varepsilon'_1 \varepsilon'_2}{\varepsilon'_1 + \varepsilon'_2} \right)^{3/2} \frac{\varepsilon''_1}{2\varepsilon'_2}$$

$$L_{sp} = \frac{1}{2\beta''} \quad \lambda_{sp} = \frac{2\pi}{\beta'}$$

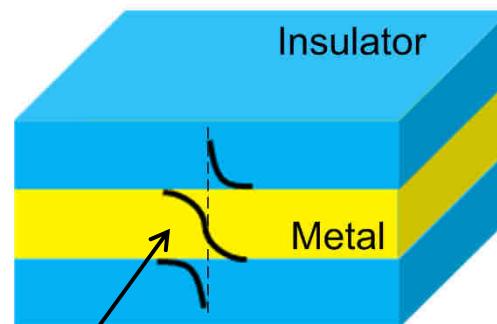


Plasmonic Waveguides

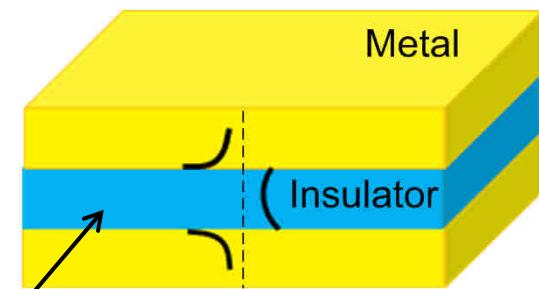
(a) Metal-Insulator



(b) Insulator-Metal-Insulator



(c) Metal-Insulator-Metal



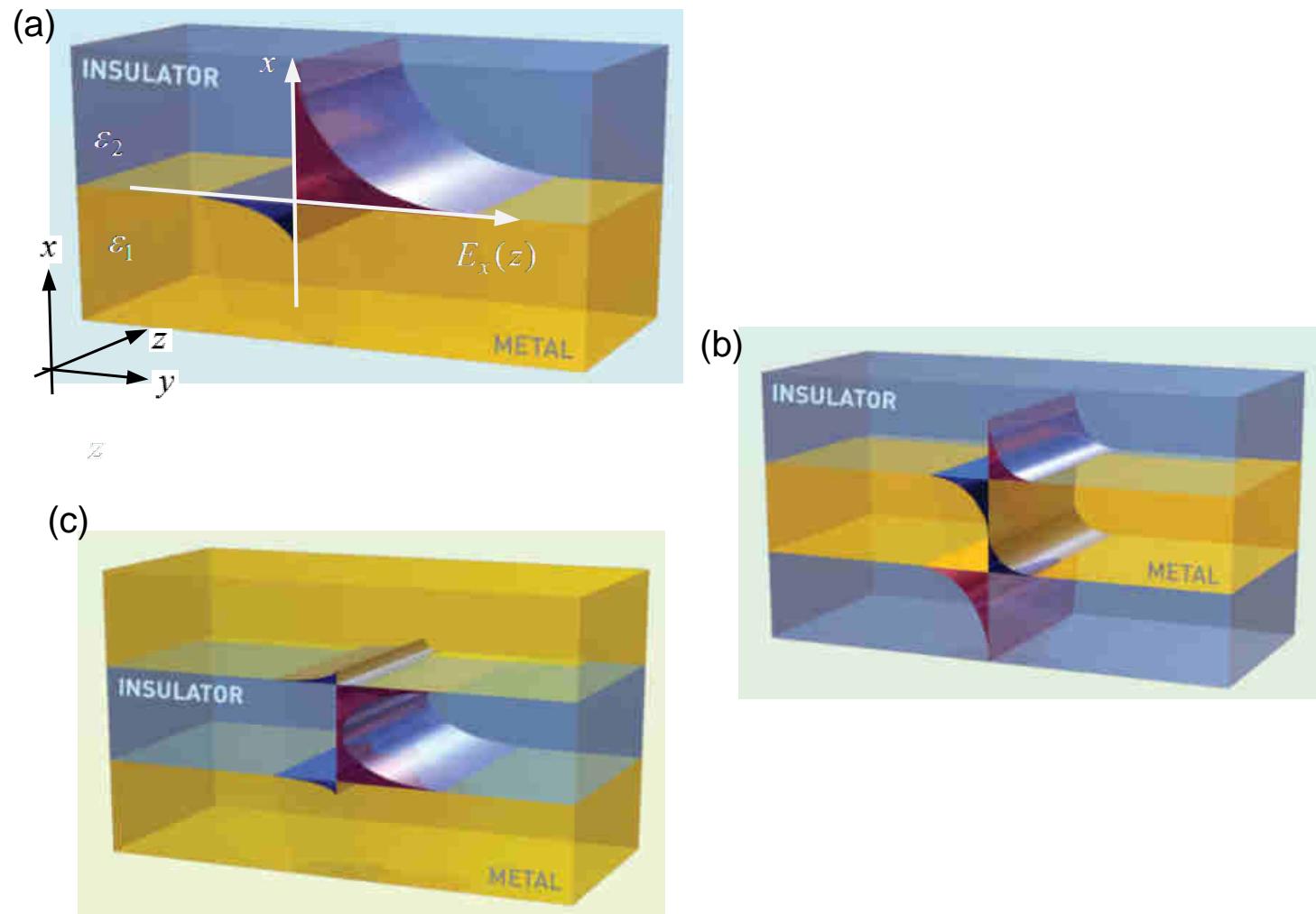
Good - simple

Long propagation

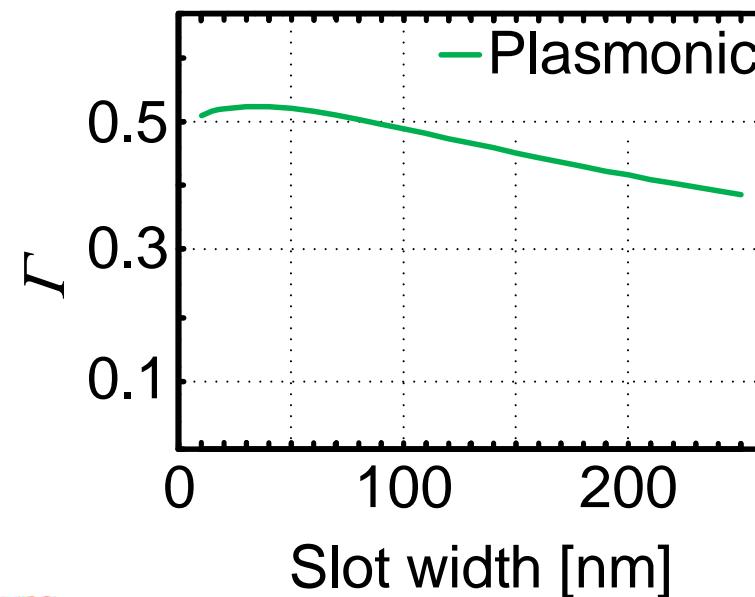
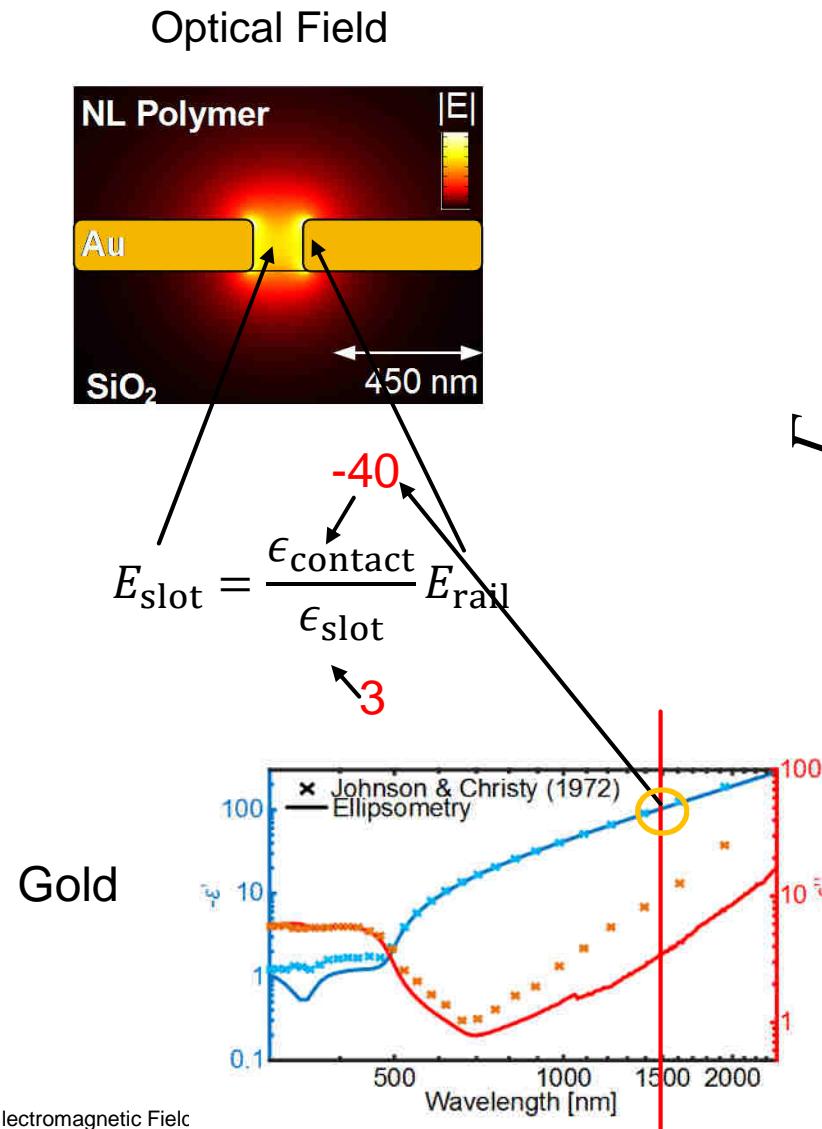
Good confinement
High loss

Ref.: “Plasmonic Communications”; Optics and Photonics News, May 2013

Various SPP Waveguides



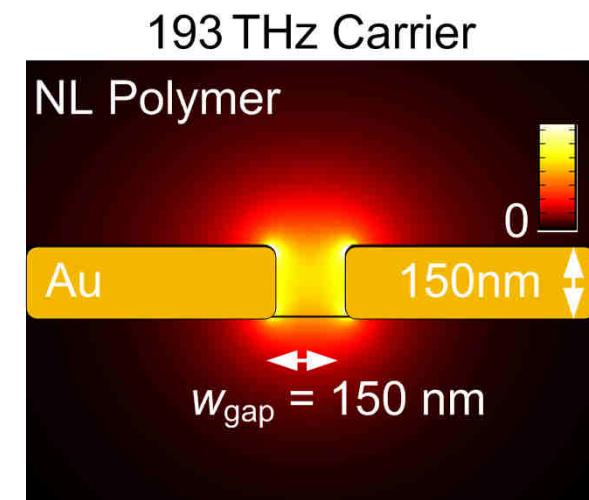
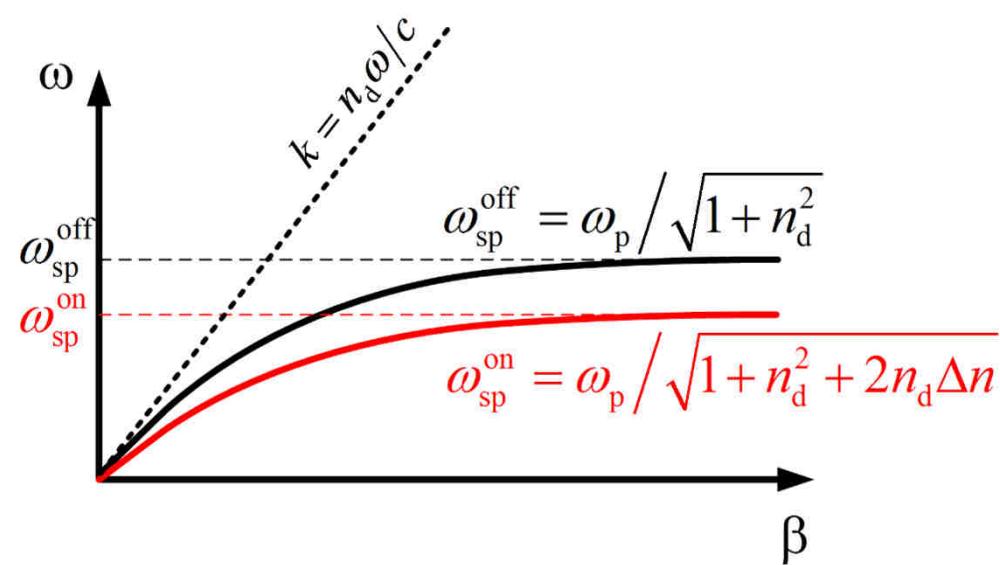
Plasmons – Confinement to Small Space



→ Ideal to detect molecules at a surface

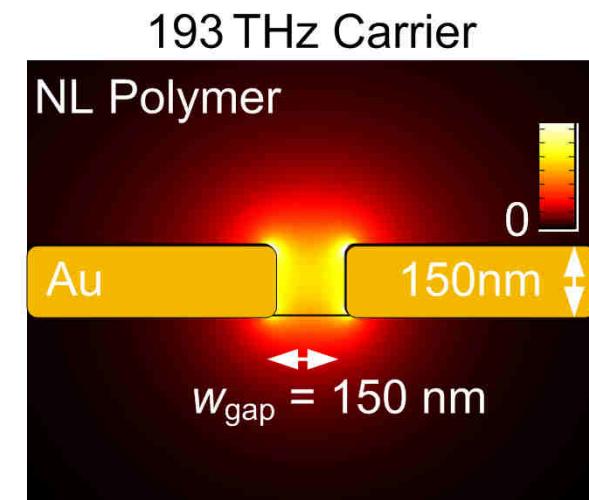
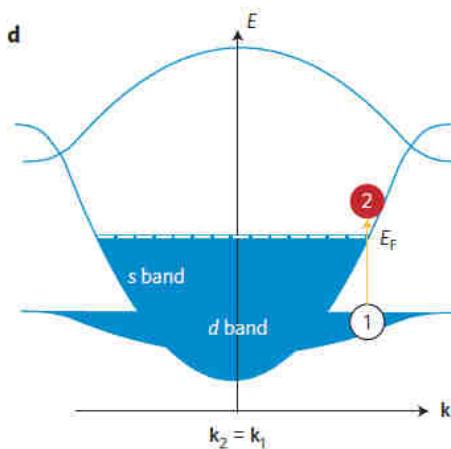
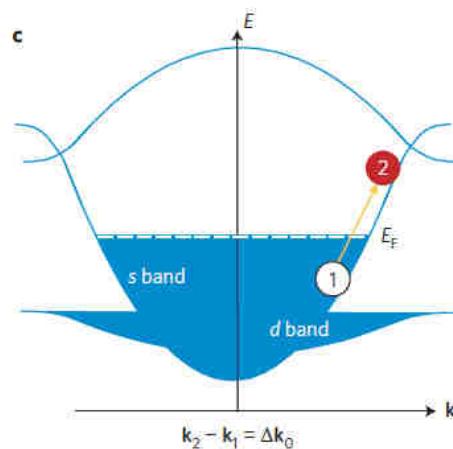
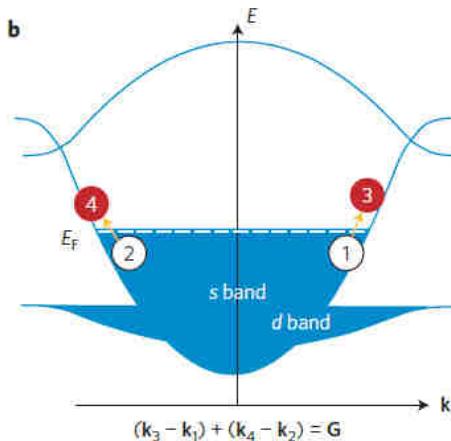
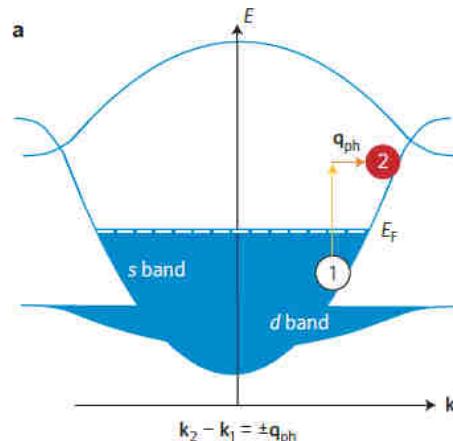
Haffner, C. et al. *Nature Phot.*, (2015)

Plasmons – Sensitive to Refractive Index



→ Sensitive to refractive index changes

Plasmons – High Losses



→ Only good if small!

J. B. Khurgin, "How to deal with the loss in plasmonics and metamaterials," Nat Nano **10**, 2-6 (2015).

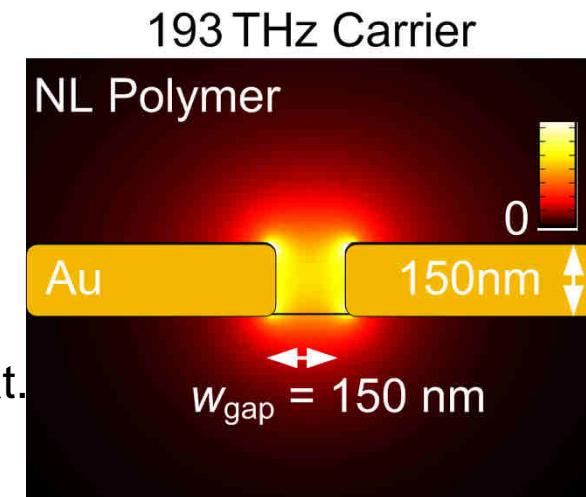
Content

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Metal Organic Hybrid (MOH) Modulator

High Efficiency, Ultra-compact

1. Perfect overlap between
 - Optical mode
 - RF signal
2. Freedom to choose a nonlinear electro-optical mat.

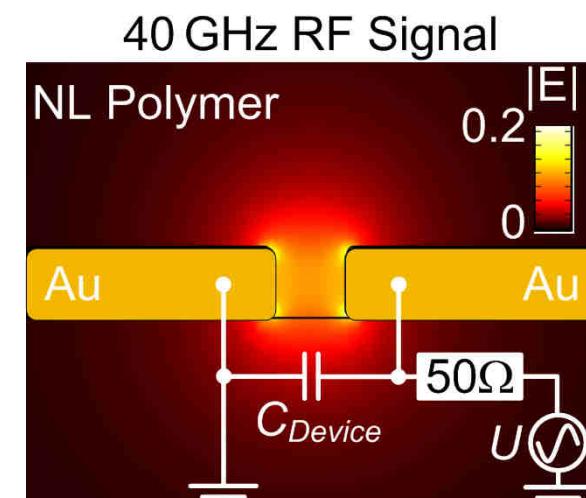


Ultra-fast

- Instantaneous Pockels effect:
- Small RC-time constant

Energy-efficient

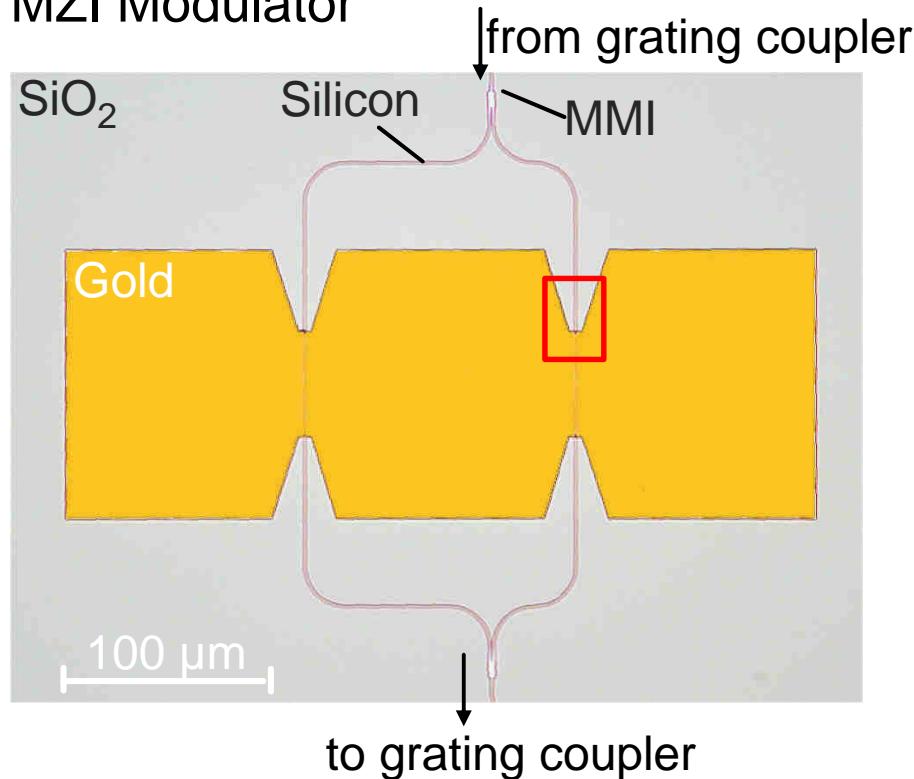
- Small V_π & small capacitance



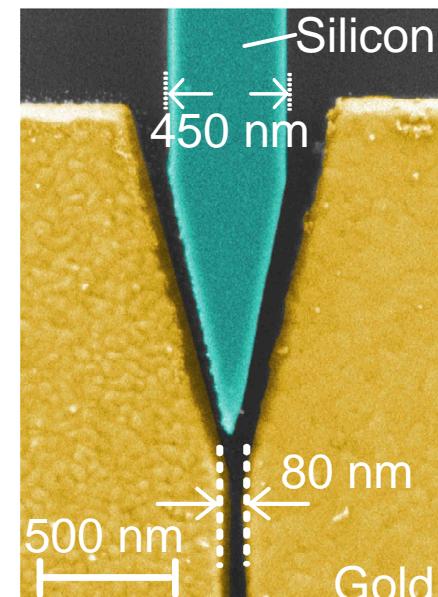
Disadvantage: High losses

Plasmonic-Organic Hybrid Modulator

MZI Modulator



Photonic-plasmonic converter



Mach-Zehnder Interferometer

- Low-loss silicon waveguides
- Plasmonic phase modulators

Ref. W. Heni, Proc. OFC'2015

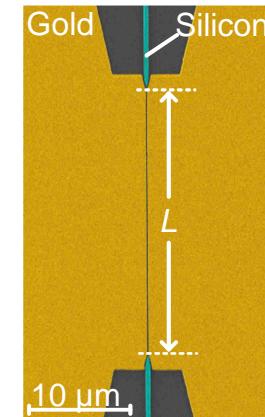
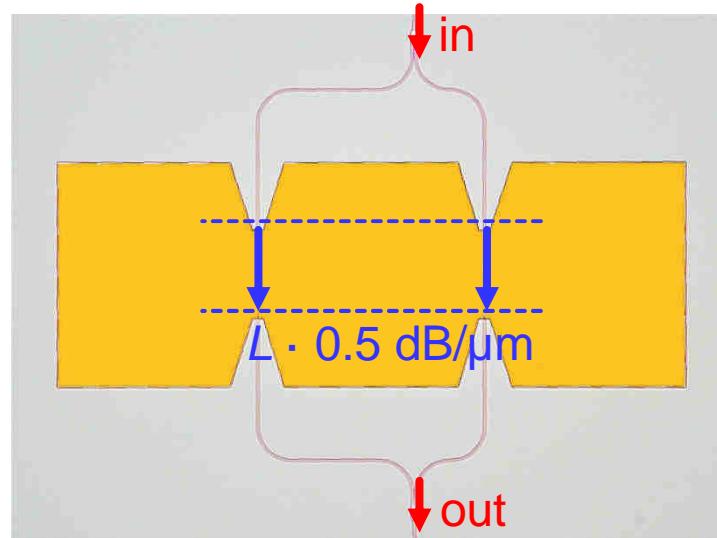
Institute of Electromagnetic Fields (IEF)

Photonic-plasmonic converter⁴

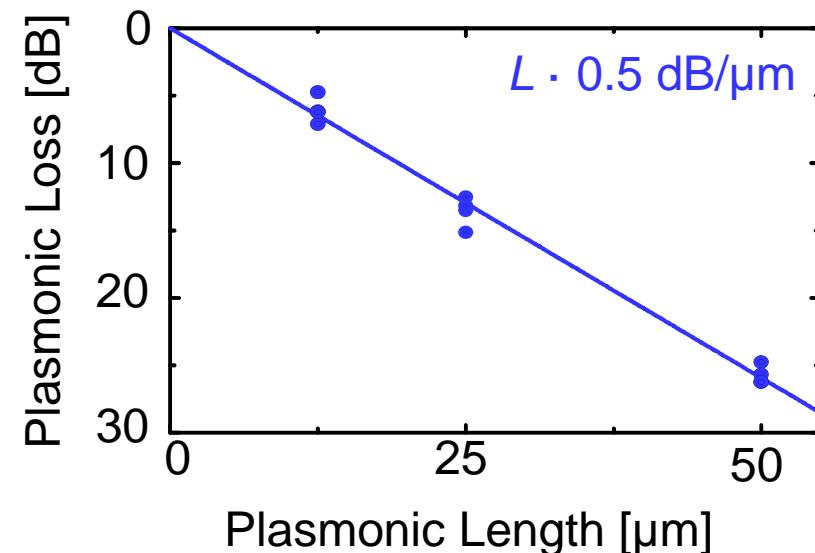
- From 450 nm to 80 nm

4. Tian et al. Appl. Phys. Lett. **95**, 013504, 2009

Device Characterization: Optical Losses

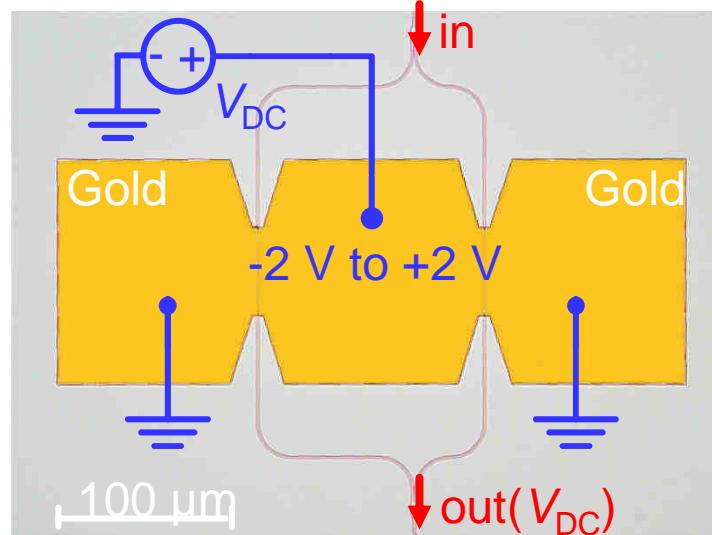


- Low loss plasmonic waveguides
 - High gold quality
 - High quality fabrication process
 - Low sidewall roughness

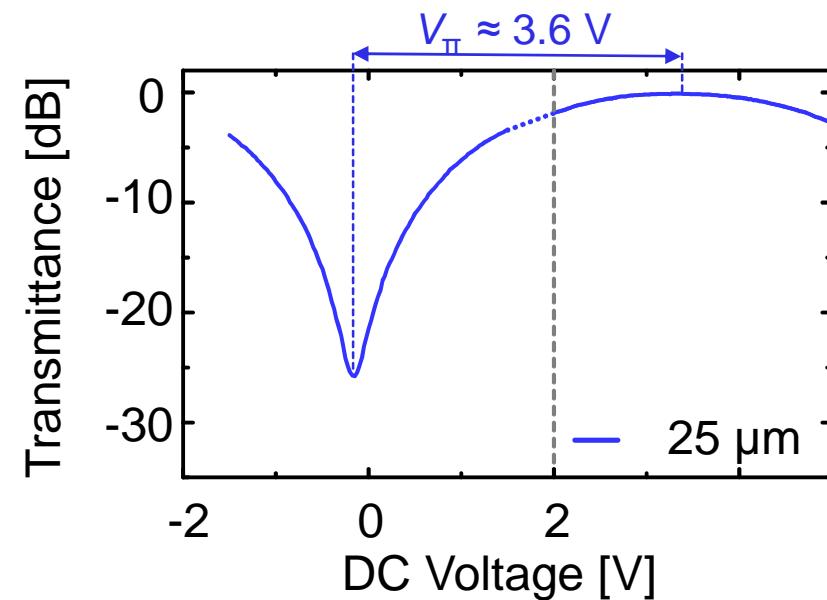
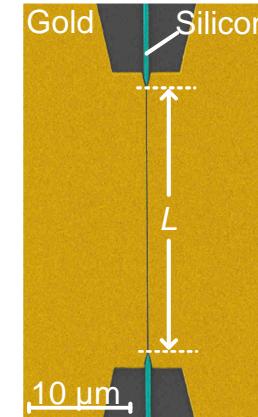


Ref. W. Heni, Proc. OFC'2015

Device Characterization: Extinction

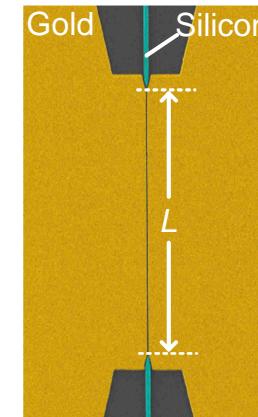
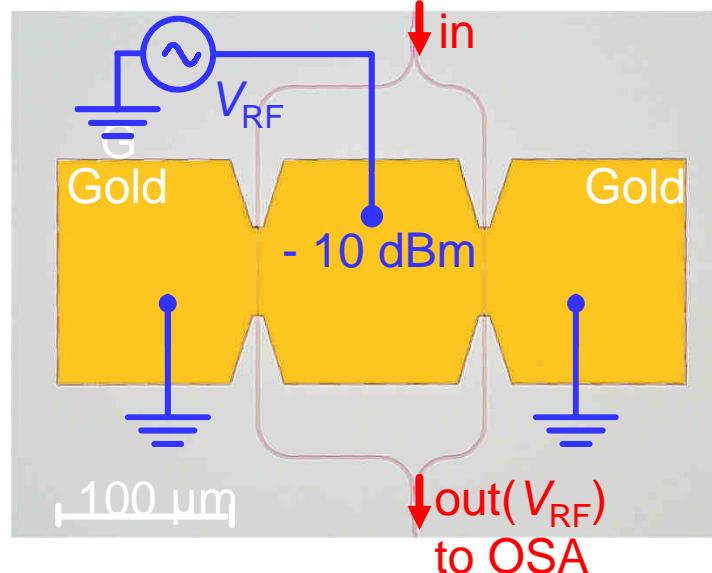


- High-quality plasmonic waveguides
 - Extinction Ratio > 25 dB
 - $V_{\pi} \approx 3.6$ V
 - $V_{\pi} L \approx 90$ Vμm

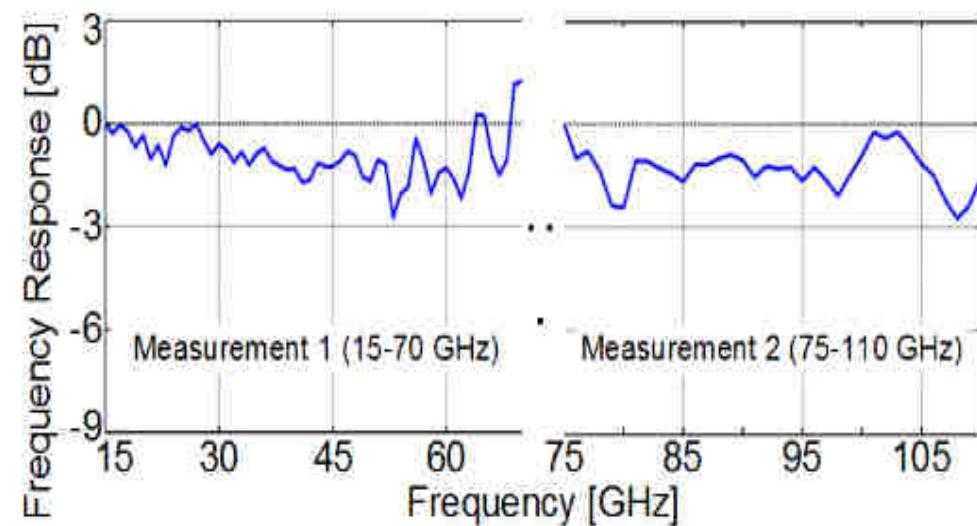


Ref. W. Heni, Proc. OFC'2015

Device Characterization: Frequency Response



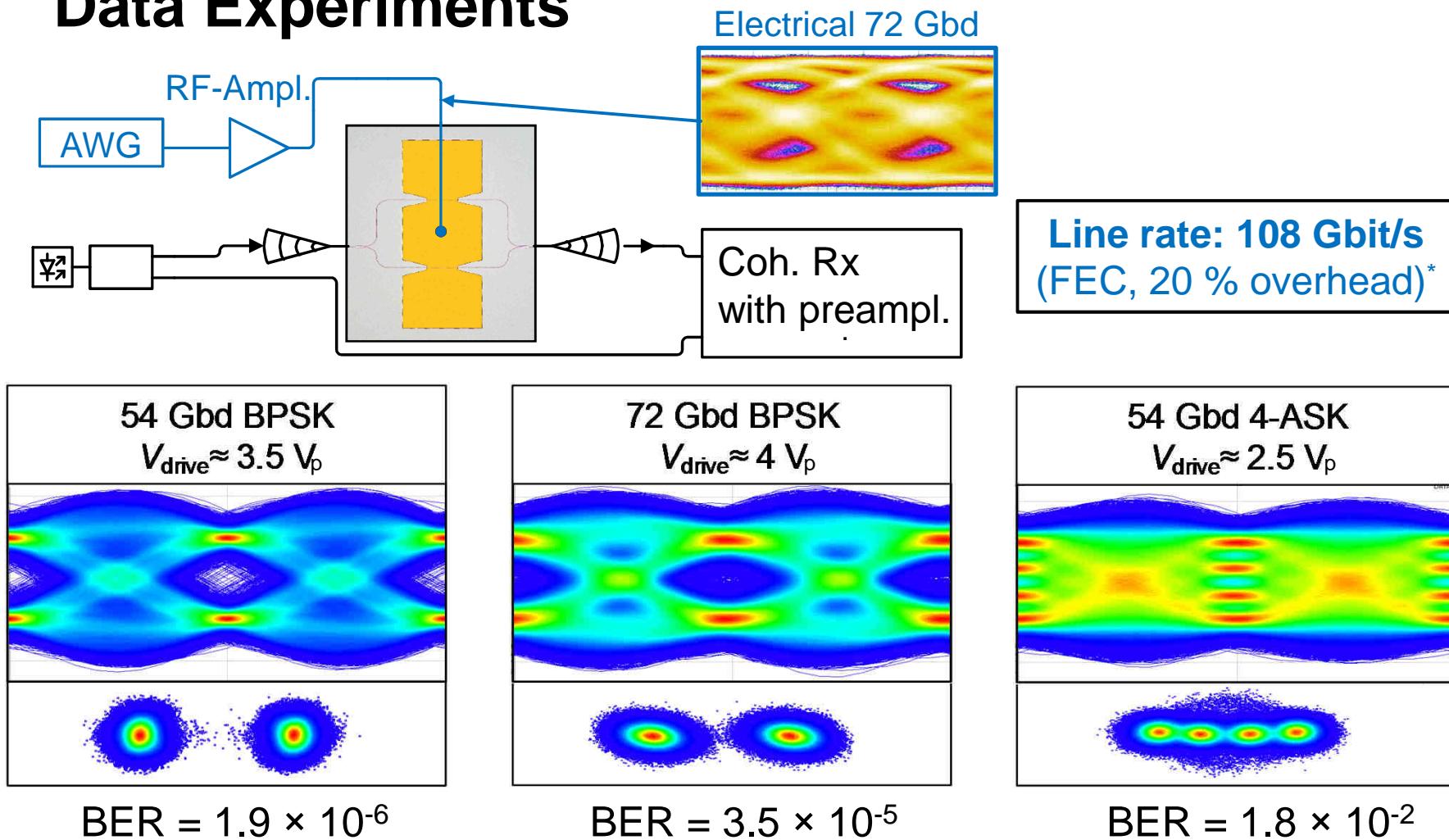
- Electrical Bandwidth $> 105 \text{ GHz}$
- Optical Bandwidth $> 100 \text{ nm}$



Ref. W. Heni, Proc. OFC'2015

Institute of Electromagnetic Fields (IEF)

Data Experiments

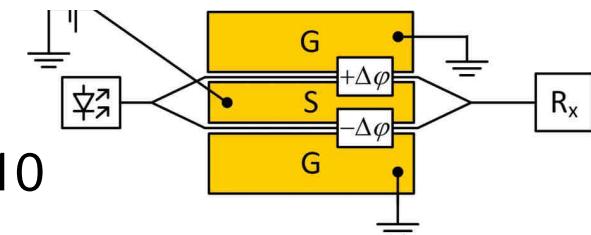
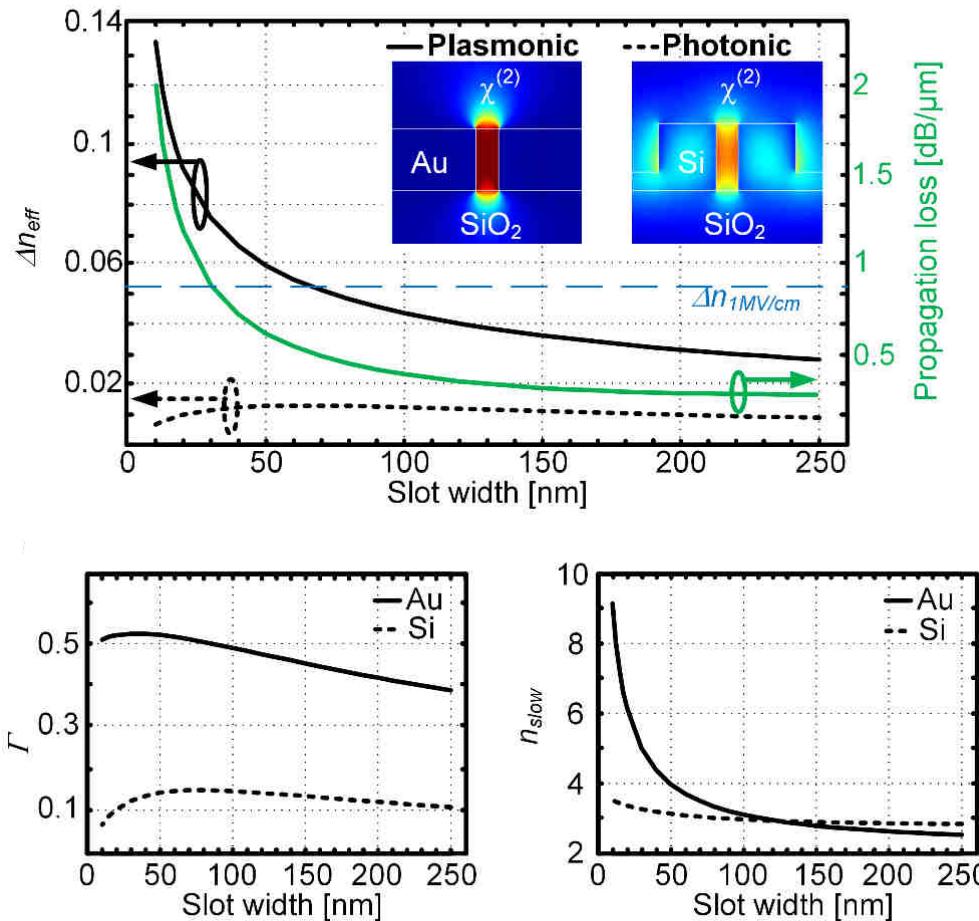


Limited bandwidth of RF components:
Pre-distortion (54 Gbd) and post-equalization (54 Gbd, 72 Gbd)

Ref. W. Heni, Proc. OFC'2015

Origin of Large Linear Electro-Optic Effect

- The configuration: MZI gives a factor 2
- Short & open Circuit: MZI gives a factor 2
- The plasmonic configurations adds a factor 10



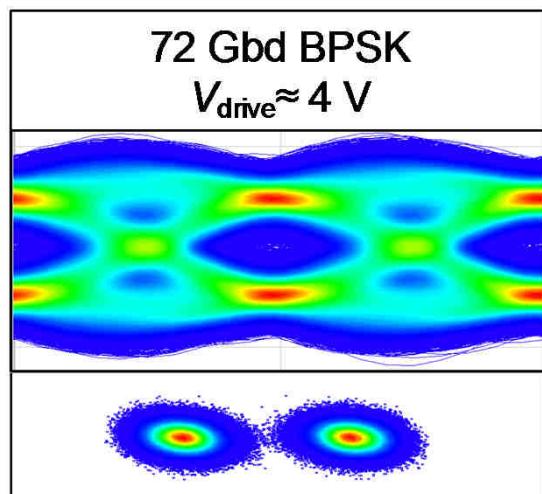
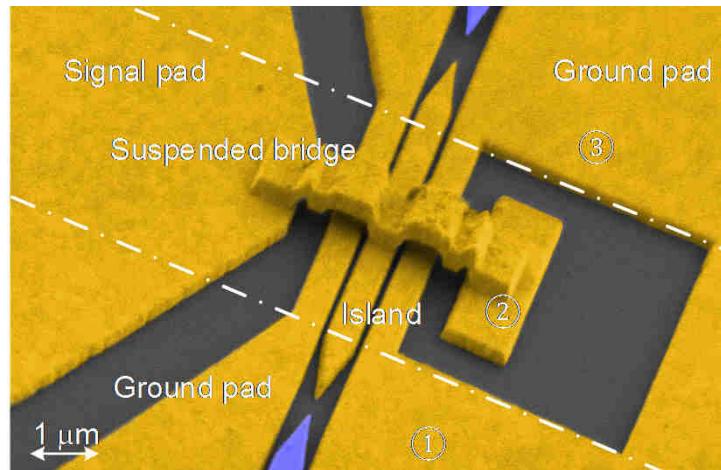
$$\Delta n_{\text{eff}} \cong \Gamma \cdot \frac{\Delta n_{\text{mat}}}{n_{\text{mat}}} \cdot n_{\text{slow}}$$

Plasmonic Confinement
/ /

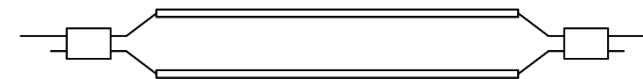
Large Electro-Optic Effect

/
Plasmonic Slow-Down Effect

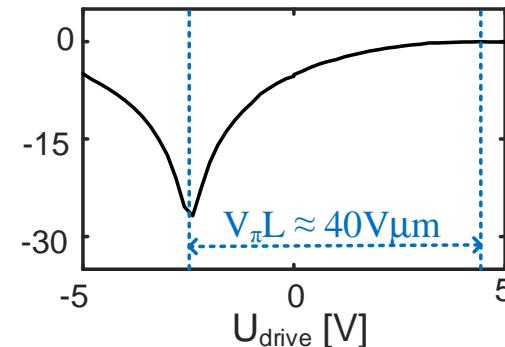
All-Plasmonic MZM



3 dB Splitter Phase shifter Combiner



6 μm long device



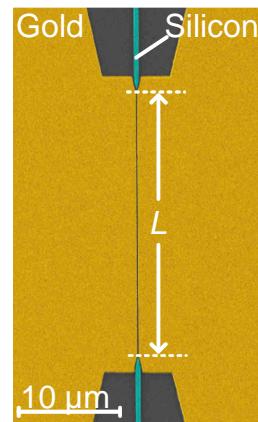
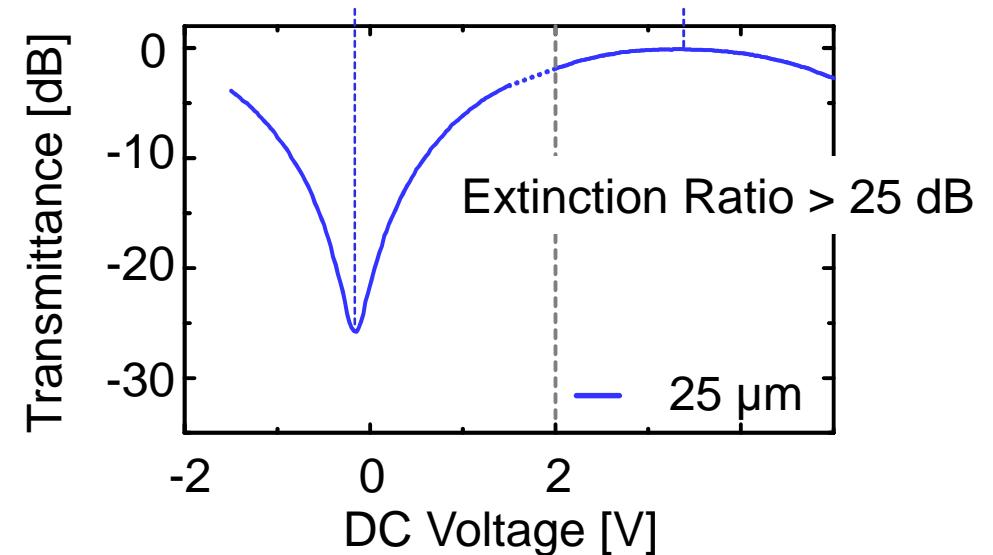
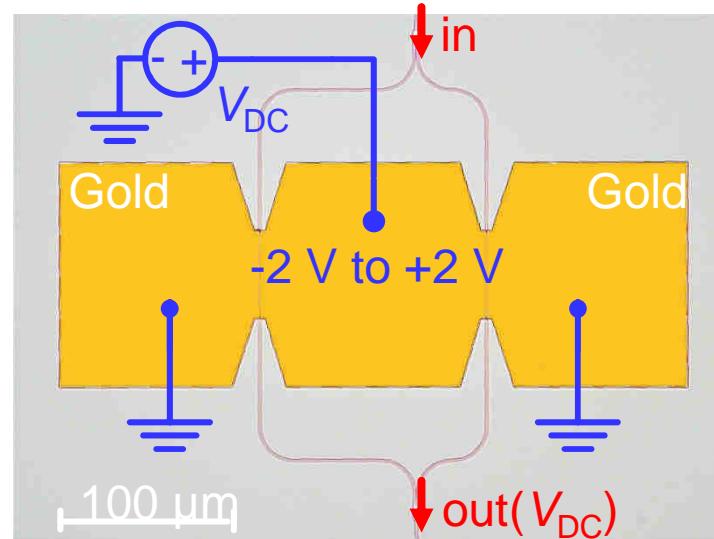
Plasmonic MZM

- Record footprint ($10 \times 1.5 \mu\text{m}^2$)
- Speed – among the best 72 GBd
- World record 20 fJ/bit @ 72 GBd
- Chip-losses of 8 dB

Haffner, C. et al. *Nature Phot.*, (2015)

Institute of Electromagnetic Fields (IEF)

A Sensitive Interferometer for Bio-Applications?



Functionalize one arm → Sensitive to phase changes

Ref. W. Heni, Proc. OFC'2015

Thank you

