

Optical Characterisation of Coatings

Principles of Optics for Reflectometry

Alois Wiesböck

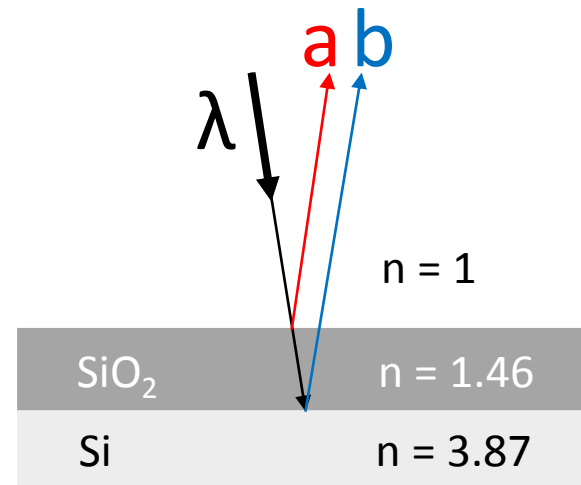
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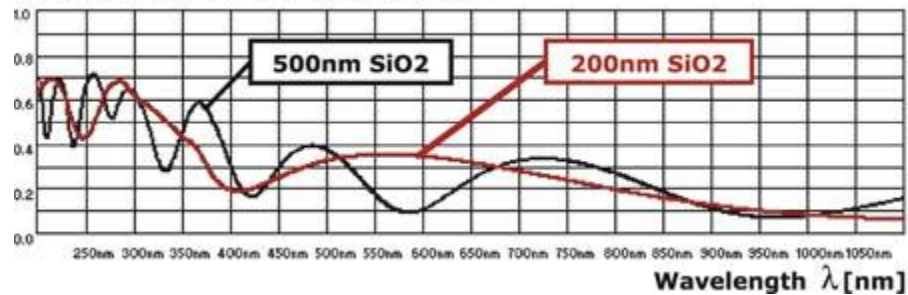
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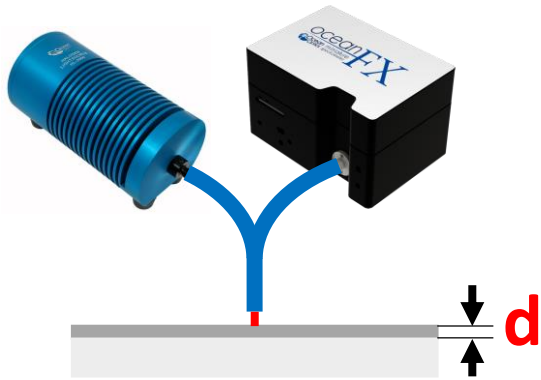
Reflectometry



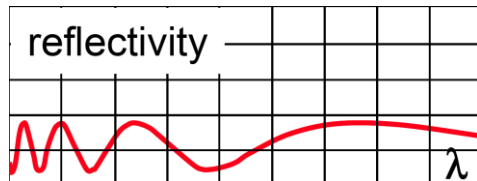
Reflectivity of Silicon-Oxide on Silicon



Approach



reference or calibration



mathematical model

thickness d (+ optical properties)

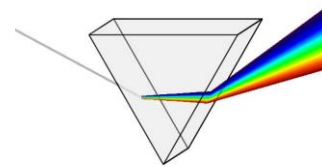
Material properties

Refractive index

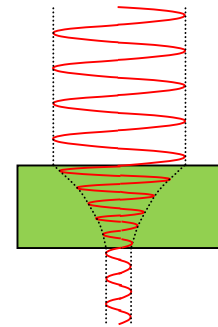
$$n(\text{BK7 - glass}) = 1.5$$

$$n(\text{Si}) = 3.18 \quad k = -0.8$$

Dispersion

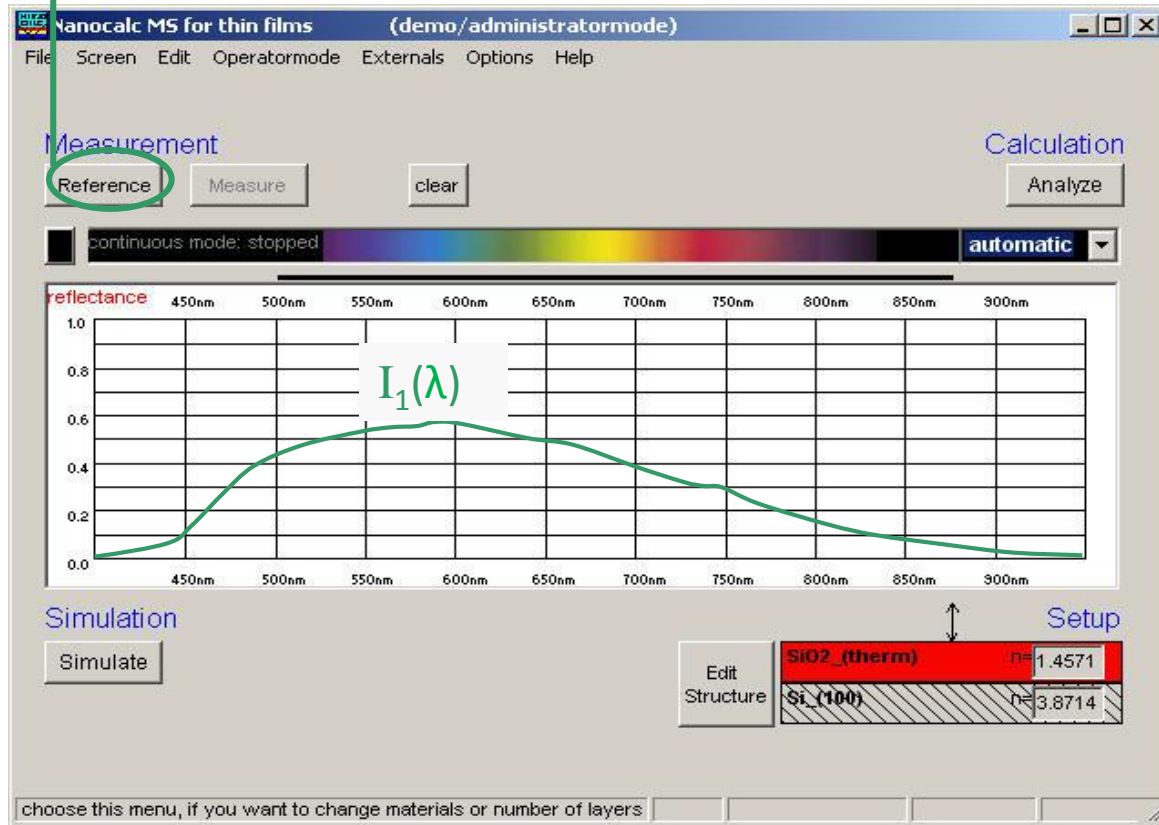


Absorption



Measurement principle - I

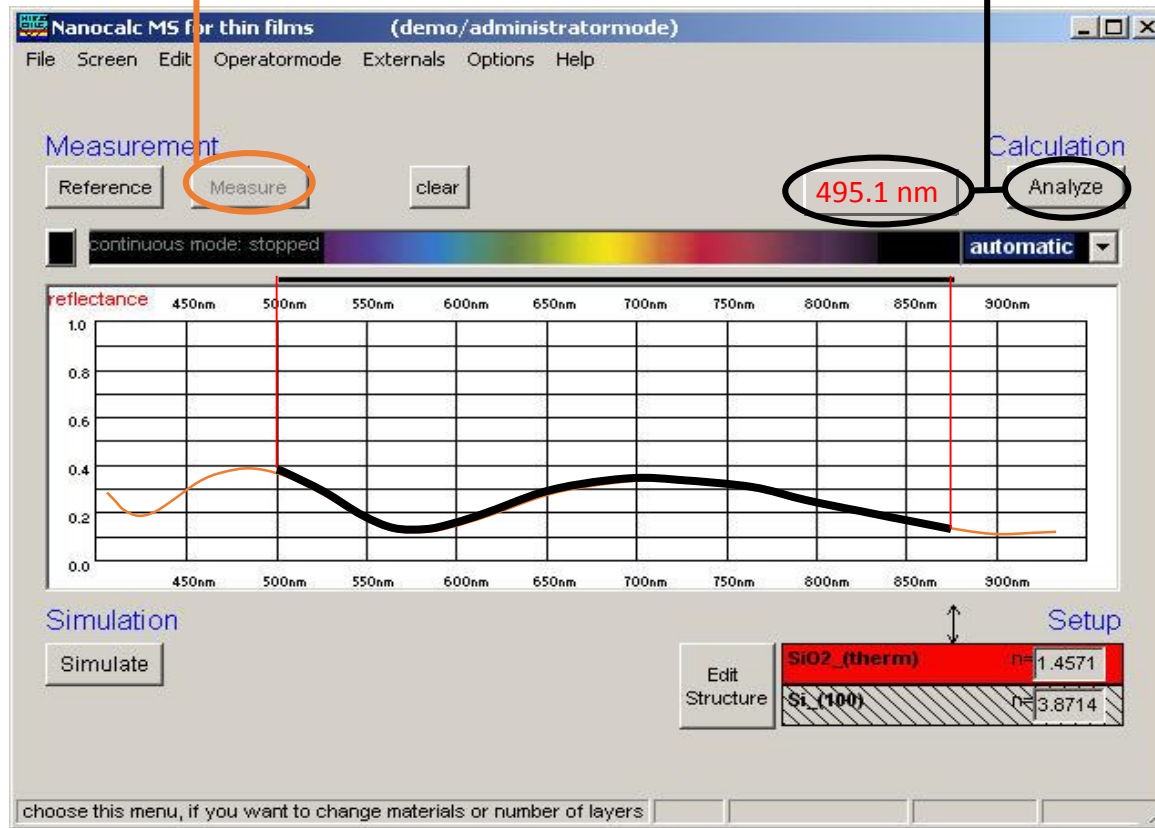
1. reference



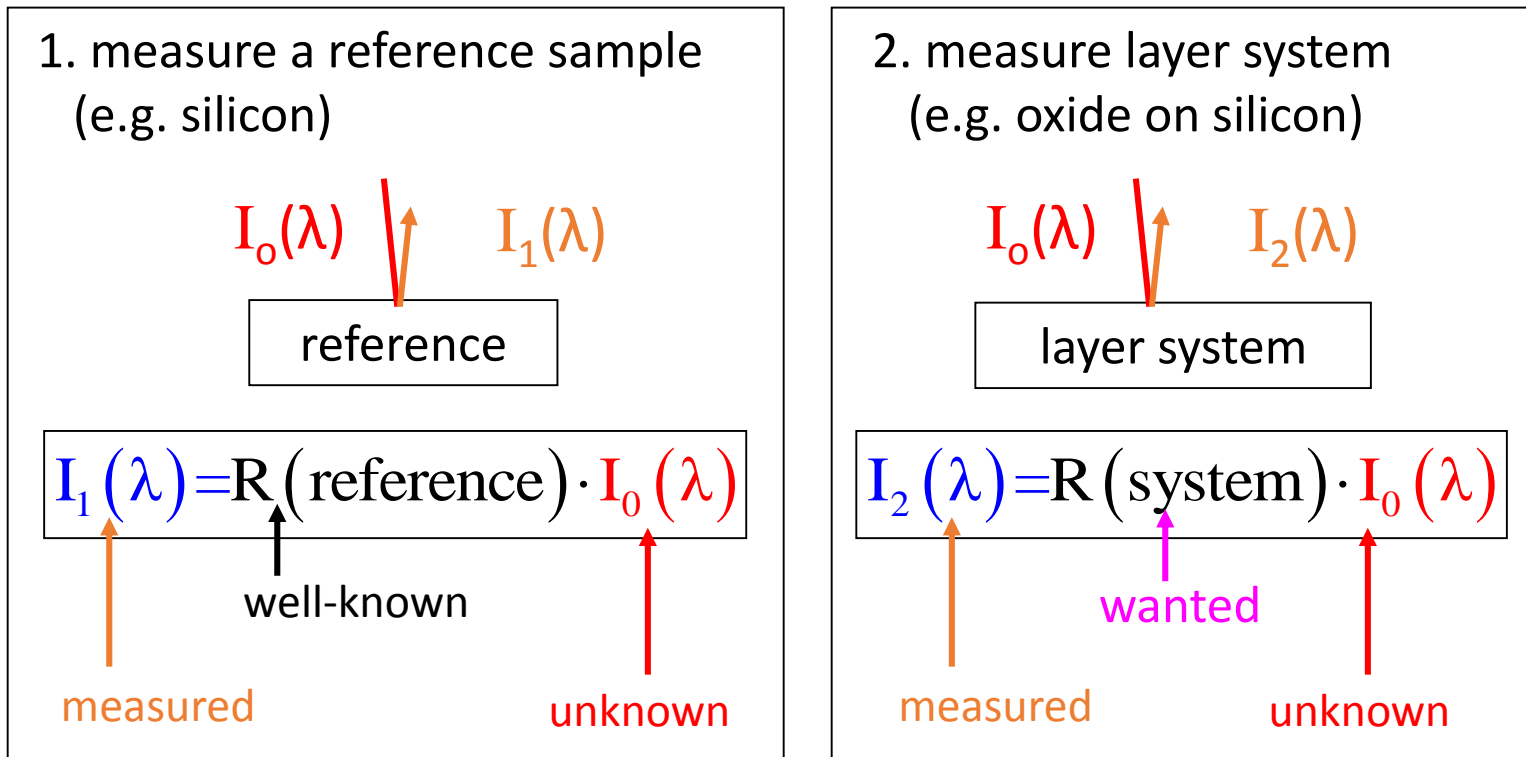
Measurement principle - II

2. measure

3. analyze

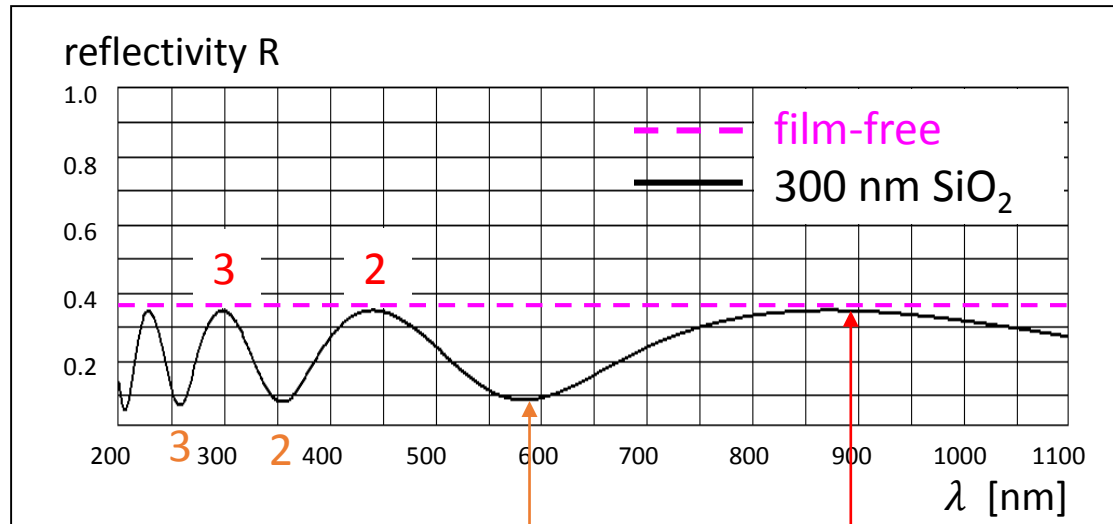


Measurement principle - reflection



$$R(\text{system}) = \frac{I_2(\lambda)}{I_1(\lambda)} \cdot R(\text{reference})$$

Anatomy of a reflectance spectrum



1st-order
minimum

$$d = (2m + 1) \cdot \frac{\lambda}{4n}$$

1st-order
maximum

$$d = (2m) \cdot \frac{\lambda}{4n}$$

M=1: $d = 3 \cdot 584 \text{ nm} / 4 \cdot 1,46 = 300 \text{ nm}$

Measurement examples – multiple layers

The screenshot displays the NanoCalc NC_10nk software interface. The 'Measurement' section shows a 'measure' button and a 'clear' button. The 'Calculation' section shows 'd2 = 53202.1 nm' and 'd1 = 1193.3 nm', with an 'analyze' button. A status bar indicates 'continuous: OFF' and 'full search'. The main plot shows a reflectance graph with a black line representing the measured data and a red line representing the simulation fit. The 'Simulation' section includes a 'simulate' button and parameters: $R = 0.349$ and $\lambda = 762 \text{ nm}$. A small inset window shows a table of layer parameters:

Thickness	n	k
1.1	1.5151	0.0000
1.2	1.6233	0.0000

The 'Setup' section shows a layer stack diagram with the following layers from top to bottom: Air, BK7_1.5_mm (n=1.5151), Air (n=1.0000), AZ1518 (n=1.6233), Si (n=3.8809), and Air. The 'edit structure' button is visible next to the diagram.

properties of reflectometry

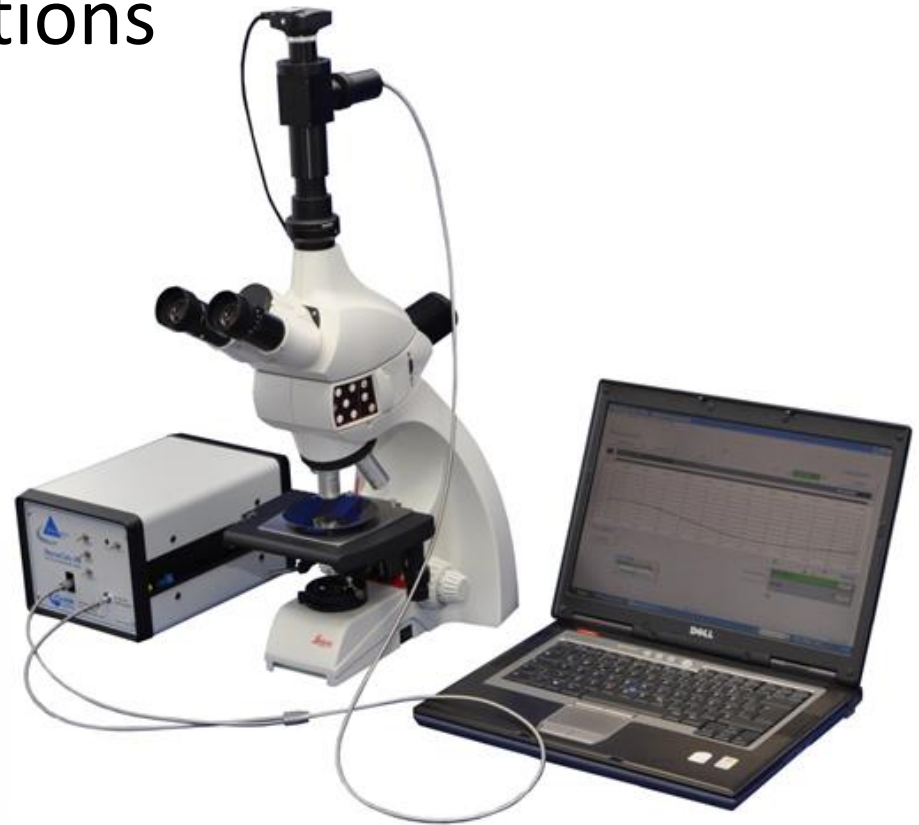
- measurement property: thickness of transparent and semi-transparent layers
- in some cases also measurement of dispersion (n&k) using Cauchy or Scout models
- **very fast** and **non destructive**
- **small measurement spot** (1 μm – 400 μm)
- single layer or multilayer (up to 10 layers)
- thin layers (1nm -100nm): UV range
(Please discuss layers below 20 nm with us. Maybe an ellipsometer is needed)
- thick layers (up to 250 μm): VIS to NIR range

Application examples

- Semiconductor industry: Lithography (Resist)
- PECVD: SiO₂, Si₃N₄ ;or Poly, amorph Si, Si
- Glass/Integrated optics: ARCs und HC; SiO₂, TiO₂, ZrO₂...
- Tribology (friction, lubrication and wear)
- PV Industry, ARCs , Si₃N₄, TCOs: ZnO, ITO
- OLEDs, most semitransparent layers
- Anti corrosion (PECVD): most SiO₂
- Plastic industry, HC on PC, PET, PMMA, etc.
- Medical technology: coating of syringes, Parylene on heart stents, Balloon cardiac catheter(wall thickness)

- Si membranes (thin-etched or ground) 20µm to 200µm (InGaAs)
- DLC, depending on the production, transparent from 600nm or in the NIR (InGaAs)
- coatings on mech. Components (watches) - microscope application
- Wafer with structure, µm spot down to 1µm, microscope
- Air gap in mask technology, (10µm – 200µm) NIR

Let's discuss your questions and applications



Alois Wiesböck

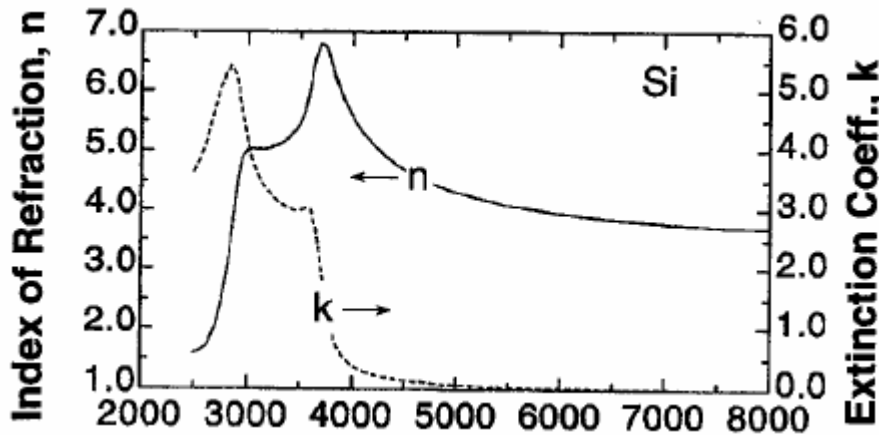
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Measurement principle - III



$$R(Si) = \frac{(n - 1)^2 + k^2}{(n + 1)^2 + k^2}$$

↑
„well-known“
↓

$$R(system) = \frac{I_2(\lambda)}{I_1(\lambda)} R(reference)$$

↑
measured

mathematical models



layer thickness / refractive indices

Refractive index

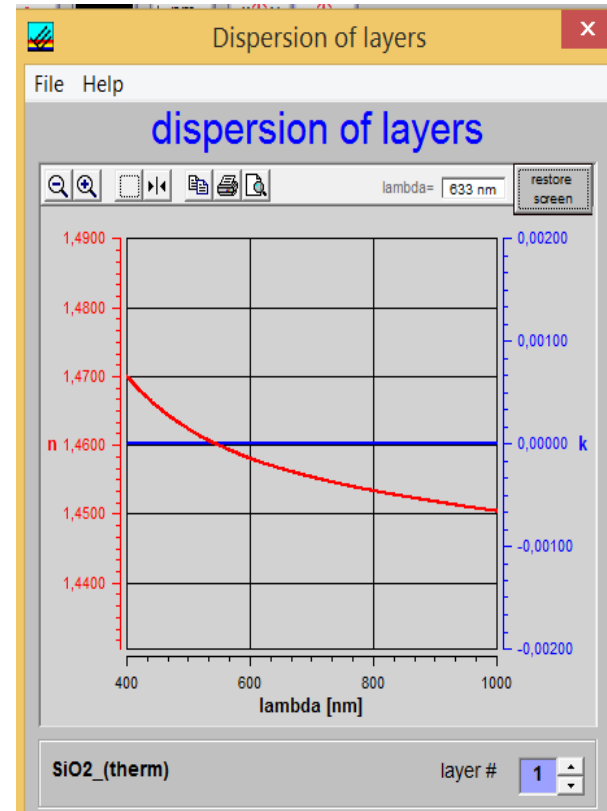
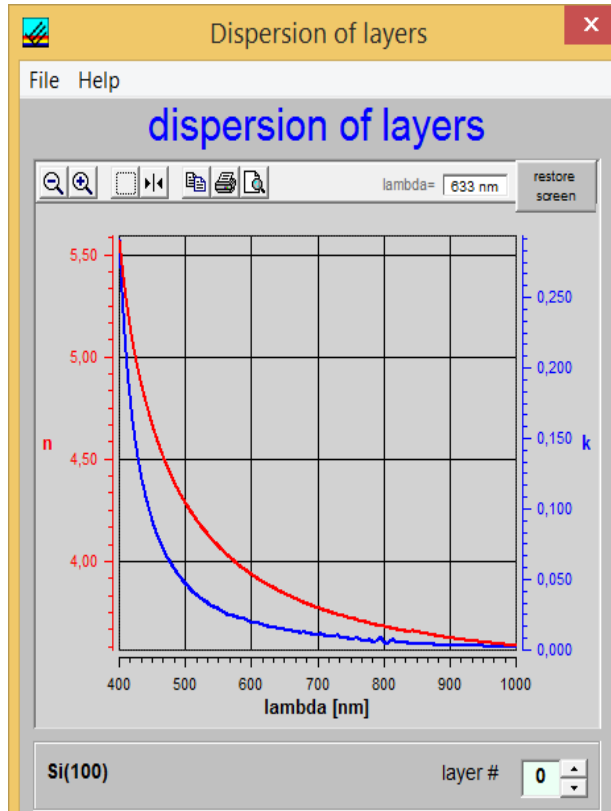
C_0 = speed of light in vacuum ~ 300000 km/s

Refraction index
$$n = \frac{c(\text{vacuum})}{c(\text{matter})} = \frac{c_0}{c}$$

Examples: $n(\text{BK7} - \text{glass}) = 1.5$
 $n(\text{Si}) = 3.18 \quad k = -0.8$

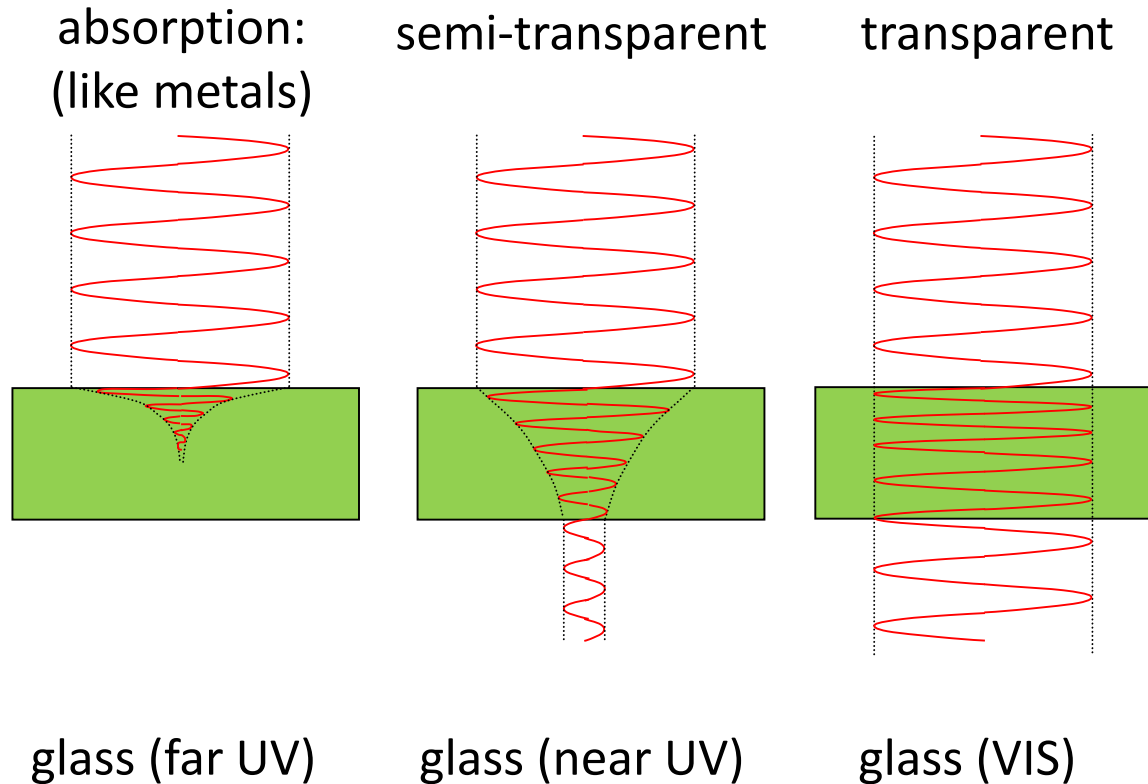
The refractive index is a complex number with a real part and an imaginary part. Both are depending on λ , so they show dispersion. And k = absorption

Dispersion of Si and a SiO₂ layer

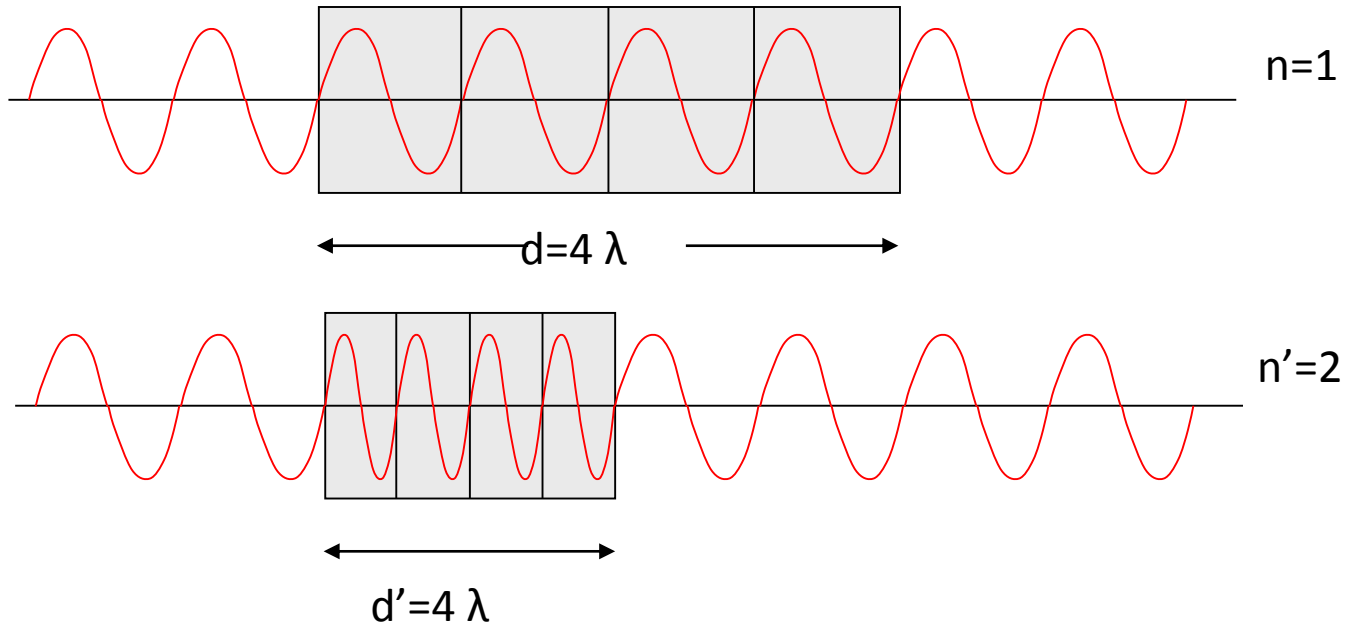


Absorption

We can measure only transparent and semitransparent layers



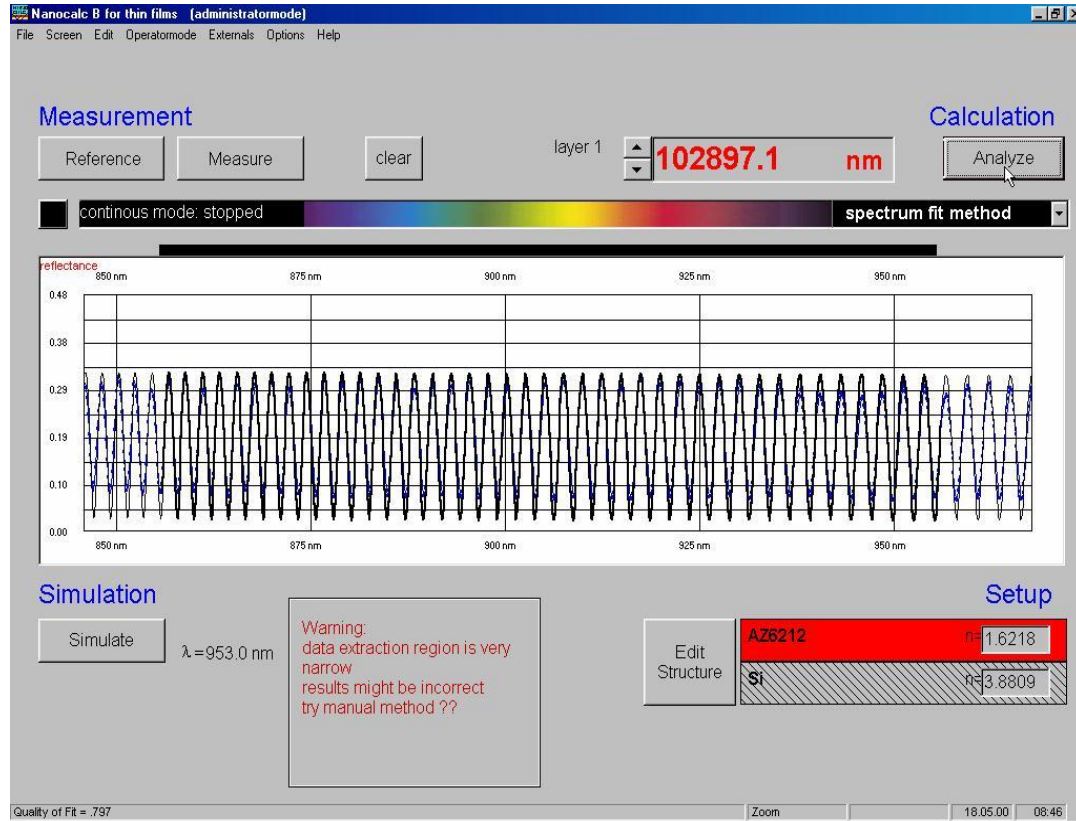
optical length



product $d \cdot n = d' \cdot n' = \text{const} !!$ (= same number of wavelengths)

optical length = geometrical length · refractive index

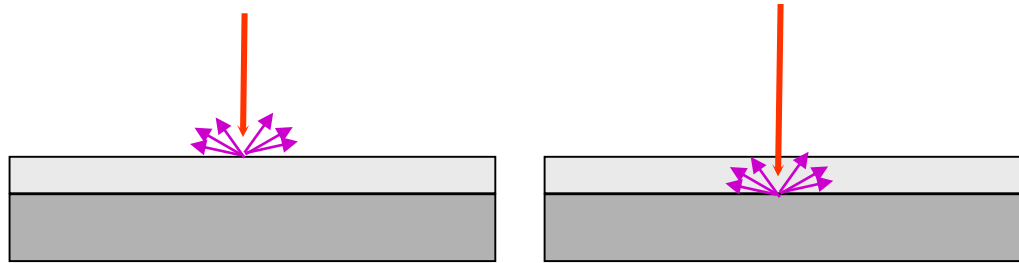
Measurement examples – very thick thin films



an example with 103 μm of photoresist on silicon

Measurement examples – rough films

parts of the incoming light is lost at the outer and inner surface



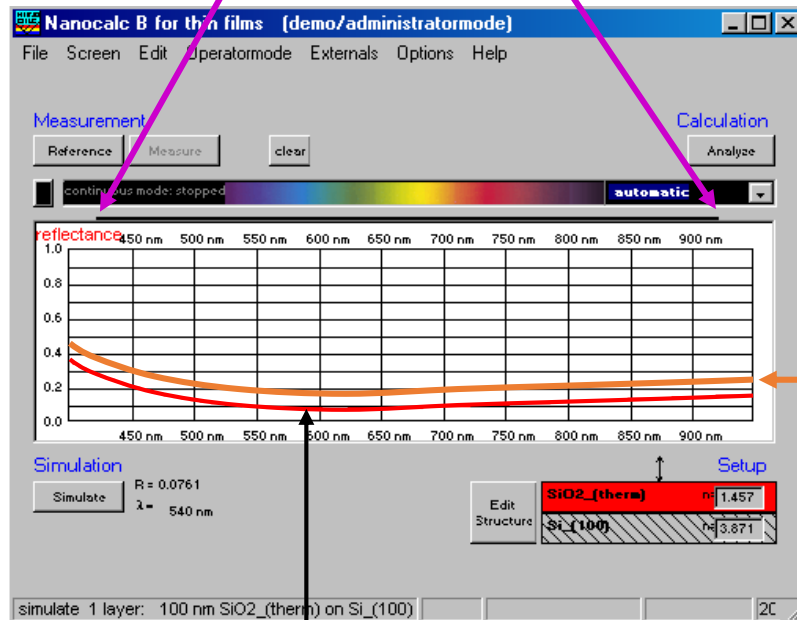
mathematically hard to describe as surface structure is unknown

- position of extrema remains the same
- amplitude vanishes



Measurement examples – very thin films

sensitive to narrow extraction region



no wiggles

sensitive to intensity variations

1st order minimum is hard to identify