

Yield improvement in microlens imprint lithography (SMILE) by artificial intelligence

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SUSS MicroOptics is part of the **SUSS MicroTec group*

PHOTONICS-WORKSHOP: «ARTIFICIAL INTELLIGENCE IN PHOTONICS»

PHOTONICS

- + The term **Photonics*** was introduced in 1967 by Pierre Aigrain (1924-2002), a French scientist. In 1973* he claimed: "I believe, that tomorrow, that is to say in 1990, photonics will play an important part in the transmission of information ... Photonics is a technology of tomorrow."
- + Photonics was the compromise when classical optics industry merged with laser industry.
- + **Wikipedia 2019****: "**Photonics** is the physical science of light (photon) generation, detection, and manipulation through emission, transmission, modulation, signal processing, switching, amplification, and sensing."
- + **Photonics market segments**: LED, Lasers, Detectors, Sensors, Imaging, Displays, Optical Communication, Components, Media Technology, Lighting, Photovoltaics, ...



Pierre Aigrain
(1924-2002)

[*] <https://www.laserfocusworld.com/blogs/article/16564148/photonicswhats-in-a-name>

[**] Chai Yeh (2 December 2012). Applied Photonics. Elsevier. pp. 1-. ISBN 978-0-08-049926-0

SEMI: Key to Success is Wafer Manufacturing

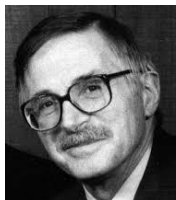
- 1947:** Invention of the transistor by John Bardeen, William B. Shockley and Walter H. Brattain (1956: Nobel Prize)
- 1955:** Shockley Semiconductor Laboratory
- 1957:** The “Traitorous Eight” split from Shockley and start Fairchild Semiconductor: Robert Noyce, Gordon Moore, Jean Hoerni, Eugene Kleiner, Julius Blank, Sheldon Roberts, Jay Last, and Victor Grinich.
- 1959:** Jean Hoerni invents the “parallel process”. Wafer manufacturing is the key to success for semiconductor industry!

Semiconductor manufacturing processes

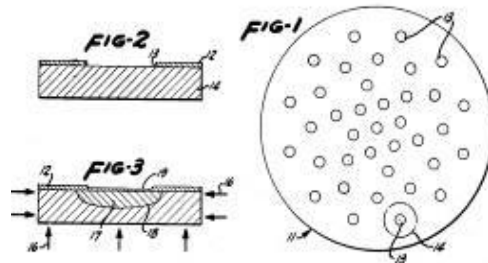


- 10 μm – 1971
- 6 μm – 1974
- 3 μm – 1977
- 1.5 μm – 1981
- 1 μm – 1984
- 800 nm – 1987
- 600 nm – 1990
- 350 nm – 1994
- 250 nm – 1996
- 180 nm – 1999
- 130 nm – 2001
- 90 nm – 2003
- 65 nm – 2005
- 45 nm – 2007
- 32 nm – 2009
- 22 nm – 2012
- 14 nm – 2014
- 10 nm – 2016
- 7 nm – 2018
- 5 nm – 2019
- 3 nm – ~2021

https://en.wikipedia.org/wiki/Semiconductor_device_fabrication



Jean A. Hoerni (1924-1997)



"Wafer"



Computer Generated Holograms (CGH)



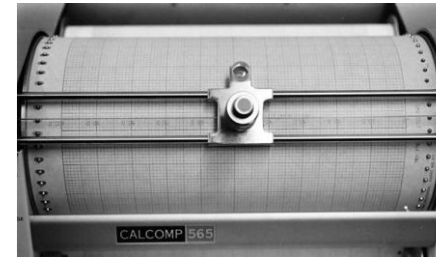
Fig. 7.7. Adolf Lohmann (centre) with Byron Brown and Ronald Kay of IBM, c.1966 (Lohmann collection)



Fig. 7.8. Computer-generated binary hologram and its reconstruction, Lohmann et al., 1967 (Lohmann collection)

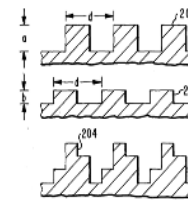


Source: A. W. Lohmann

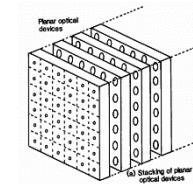


CALCOMP 565 Plotter

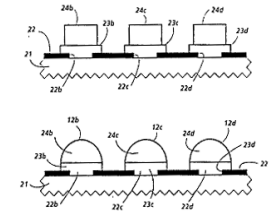
- + 1966 Digital or Planar Optics
- + 1977 Mike Gale: multi-level **diffractive optics**
- + 1982 Kenichi Iga: **stacked** planar optics
- + 1985 Zoran Popovich: melting resist **microlenses**
- + 1986 Adolf Lohmann*: "Electronic Computers reached fundamental limits and can't get much faster anymore. We urgently need to get **Optical Computers**"



Multi-Level DOE



Stacked planar micro-optics



Melting resist microlenses

COMPUTERWOCHE

Hinter den Kulissen wird unbeirrt am optischen Computer gearbeitet:
Bald geht den Rechenzentren ein Licht auf

24.10.1986

[*] My first optics lecture on Nov 6th, 1986 at University of Erlangen Nürnberg

SUSS MICROOPTICS – WE SET THE STANDARDS

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- + World leading supplier of high-quality Micro-Optics
- + More than 200 active customers worldwide
- + We are part of **SUSS MicroTec** group



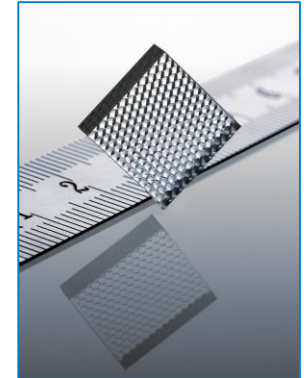
8" Wafer Cleanroom Fab

20Years

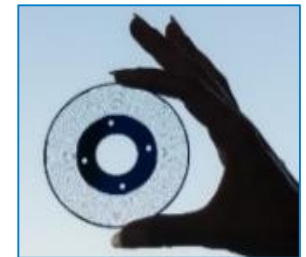
of Micro-Optics FAB Experience

IATF 16949

Automotive Qualified



Microlens Array



Nipkow Disk



MO Exposure Optics

BUSINESS DEVELOPMENT

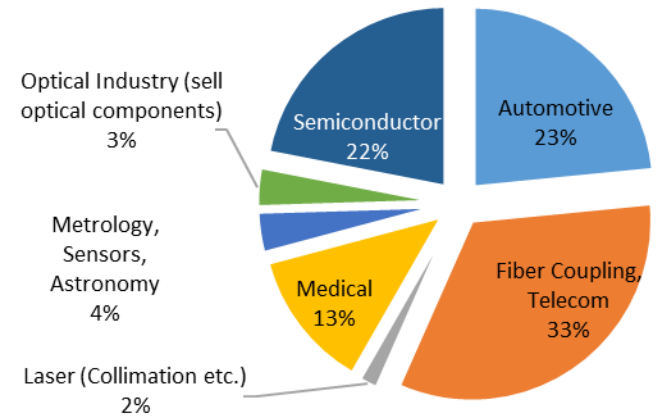
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- + Strong growth in Niche Markets
- + 2012: New Cleanroom Fab @Innoparc I
- + 2018: New production line for Automotive Lighting
- + 2019: 2nd Cleanroom Fab @Innoparc IV

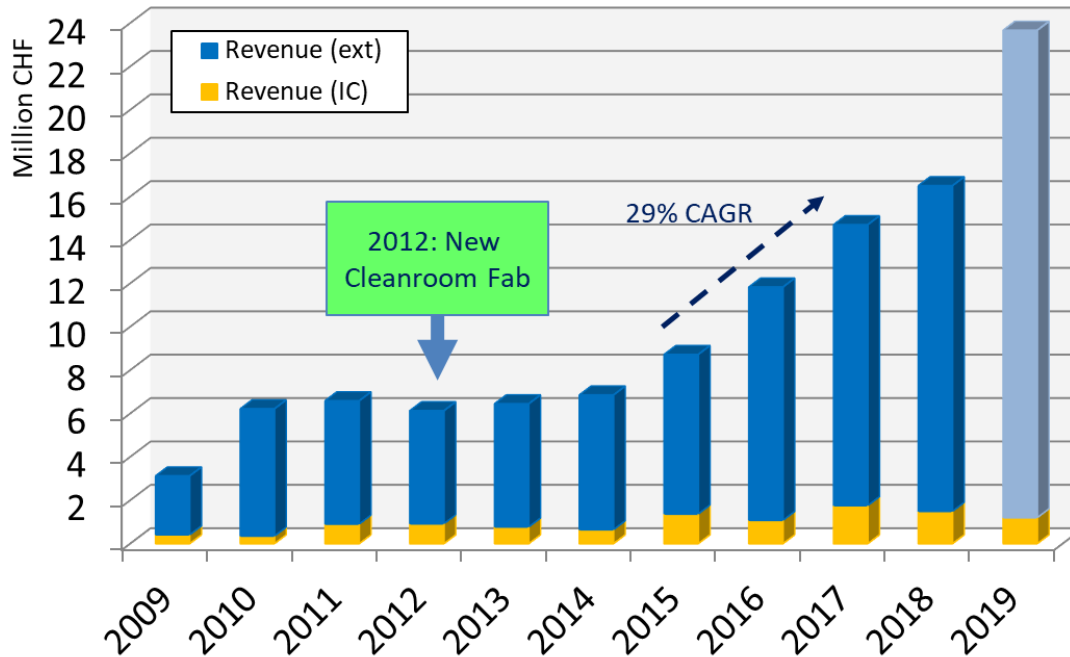
20 Years
of Micro-Optics FAB Experience

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Automotive Qualified Imprint Production

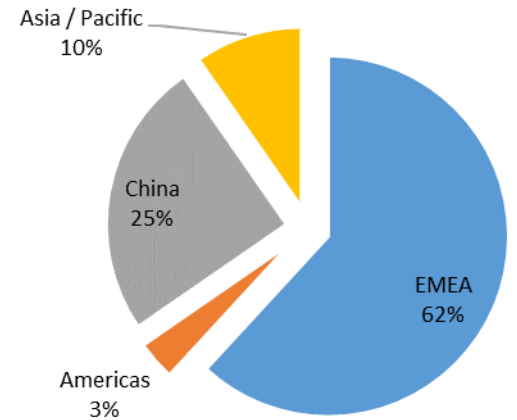
Revenue by Market 2018



REVENUE [CHF]



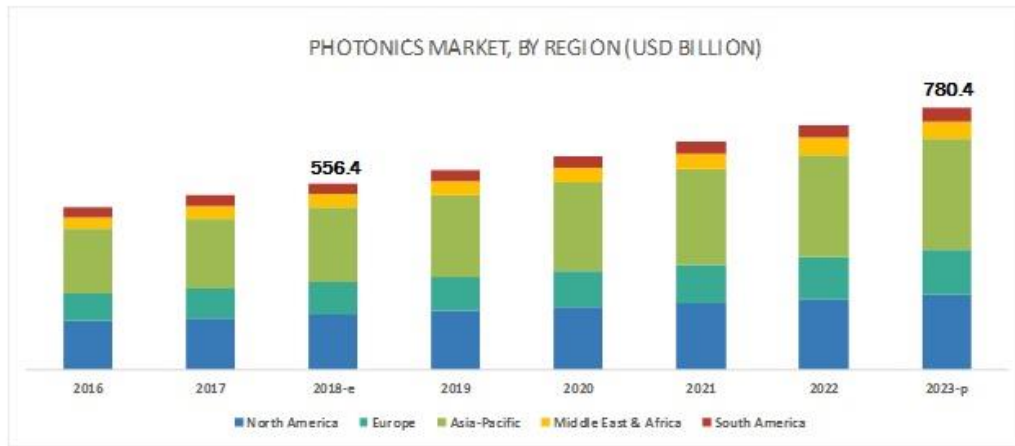
Revenue by Region 2018



SEMI VERSUS PHOTONICS

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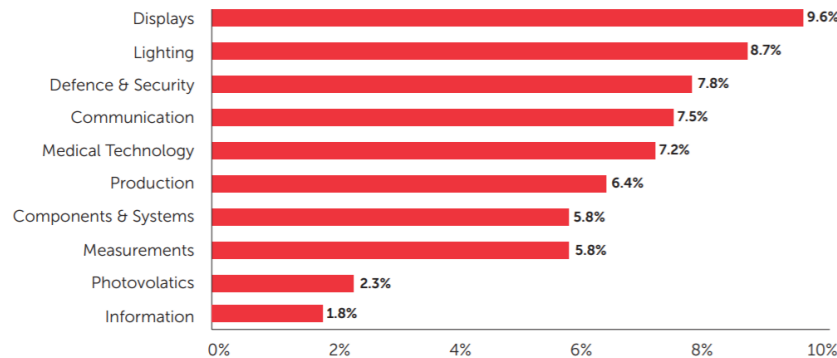
- + Global semiconductor revenue was \$477* billion in 2018
- + Global photonics revenue was \$556** to \$640*** billion in 2018



Kennzahlen Photonik Schweiz 2017

4 MRD. CHF Produktionswert
 80% Exportquote
 6-8% Wachstum pro Jahr
 9% F+E-Quote
 2017 → 2025
 40 → 70% Produkte mit photonischen Subfunktionen
Inwieweit Abschätzung der Schweizer Fachgruppe Photonics

Growth rate on Euro Basis – CAGR 2011-2015 in %



2018 Rank	2017 Rank	Vendor	2018 Revenue	2018 Market Share (%)	2017 Revenue	2017-2018 Growth (%)
1	1	Samsung Electronics	75,854	15.9	59,875	26.7
2	2	Intel	65,862	13.8	58,725	12.2
3	3	SK hynix	36,433	7.6	26,370	38.2
4	4	Micron Technology	30,641	6.4	22,895	33.8
5	6	Broadcom	16,544	3.5	15,405	7.4
6	5	Qualcomm	15,380	3.2	16,099	-4.5
7	7	Texas Instruments	14,767	3.1	13,506	9.3
8	9	Western Digital	9,321	2.0	9,159	1.8
9	11	ST Microelectronics	9,276	1.9	8,031	15.5
10	10	NXP Semiconductors	9,010	1.9	8,750	3.0
Top-10			283,088	79.3	238,815	18.5
Others (outside top 10)			193,605	20.7	181,578	6.6
Total Market			476,693	100.0	420,393	13.4

Source: Gartner (January 2019)

[*] <https://www.gartner.com/en/newsroom/press-releases/2019-04-10>
 [**] <https://www.marketsandmarkets.com/Market-Reports/photonics-market-88194993.html>
 [***] <https://www.mordorintelligence.com/industry-reports/photonics-market-market>

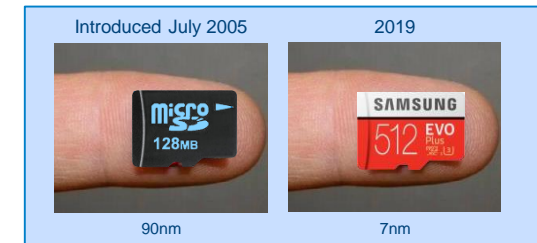
What are the big differences between Photonics and Semiconductor Industry?

SEMI

- + Wafer-based manufacturing using Ø200mm and Ø300mm wafers
- + High degree of standardization and automatization
- + Highly parallel manufacturing processes
- + Standardized manufacturing equipment (\$65 billion annual spending)
- + Price reduction of 30% per year since 1960 for logic and memory

PHOTONICS

- + Mostly manual processes and one-piece flow manufacturing
- + Fewer standardization and high diversity
- + Wafer-based manufacturing is < 15% of the global Photonics revenue
- + Often low automatization makes up-scaling a challenge



THE NEXT BIG THING FOR SEMI IS ARTIFICIAL INTELLIGENCE

What does this mean for Photonics?

THE NEXT BIG THING IS ARTIFICIAL INTELLIGENCE (AI)

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Market Research Future*

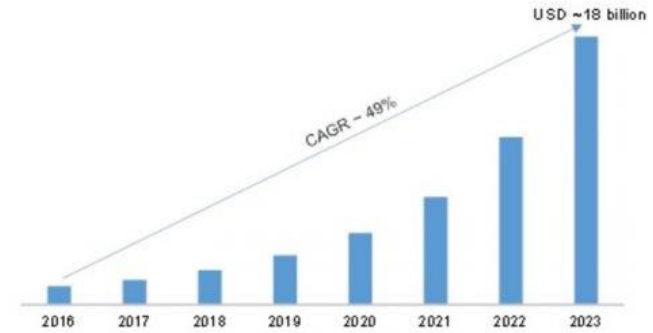
- + "Global Artificial Intelligence (AI) chipset market will grow 2018 to 2023 at 31% CAGR and reach more than \$16 billion by 2023."

Forbes**

- + "AI and machine learning have the potential to create an additional \$2.6T in value by 2020 in Marketing and Sales, and up to \$2T in manufacturing and supply chain planning."

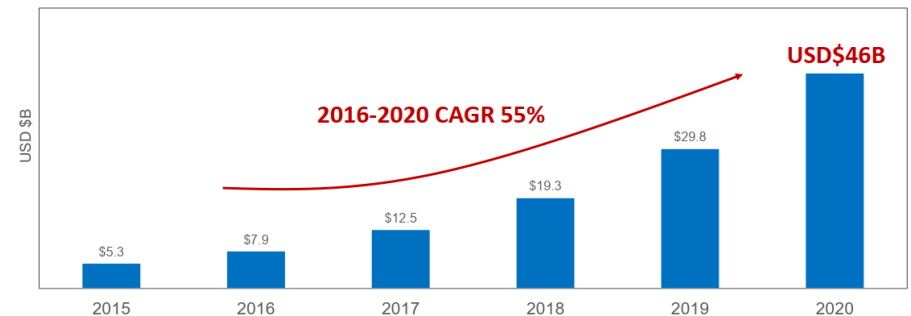
Gartner***

- + Business value created by AI will reach \$3.9T in 2022.



AI chipset market growth by Market Research Future*

Worldwide spending on cognitive and AI systems



Source: IDC Cognitive/AI Spending Guide 2017

Artificial Intelligence Semiconductor sales growing rapidly

Phenomenal growth rate of AI Semiconductors

AI chip development intensifying

Source: Gartner Forecast: AI Neural Network Processing Semiconductor Revenue, Worldwide, 2018 - 11 January 2018
Charts/graphics created by Tokyo Electron based on Gartner research.

AI devices expected to grow at an annualized rate of almost 70%

TEL

Artificial Intelligence Semiconductor Sales by TEL at SEMI Taiwan 2018***

[*] <https://www.marketresearchfuture.com/reports/artificial-intelligence-chipset-market-4987>
 [**] [http://Akihisa_SEKIGUCHI, Tokyo Electron Limited talk at SEMI Taiwan IC Forum 2018](http://Akihisa_SEKIGUCHI_Tokyo_Electron_Limited_talk_at_SEMI_Taiwan_IC_Forum_2018)
 [***] <https://www.forbes.com/sites/louiscolumnbus/2019/03/27>

IT THIS HYPE REAL? WHAT DOES IT MEAN FOR PHOTONICS?

IS ARTIFICIAL INTELLIGENCE (AI) ALSO THE NEXT BIG THING FOR PHOTONICS?

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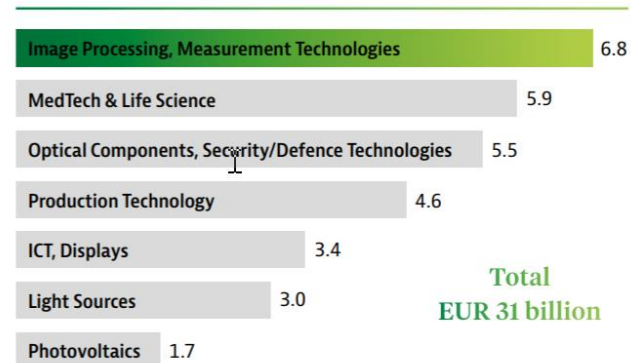
- + SEMI and Software will get the major part of the cake!
- + Classical Photonics companies will profit indirectly as AI will further generate the need for more
 - Sensors, cameras, LiDAR, metrology, ...
 - Communication, fiber optics, optical switches, data center, ...
 - Lasers, VCSEL, LED, displays, ...
- + Production equipment for SEMI manufacturing (stepper, lithography, laser, ...)
- + Optical computing, quantum computers?
- + Low-hanging fruits: **Yield improvement in wafer-based manufacturing for Semiconductor AND Photonics industry!**

Photonics Value Chain



Core components to enabled services

Domestic Photonics Production Germany 2016 in EUR billion



Source: OPTECH CONSULTING 2017

IMPROVE YIELD IN SEMI AND PHOTONICS PRODUCTION

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- + **YIELD:** "The proportion of devices on the wafer found to perform properly is referred to as the yield. Manufacturers are typically secretive about their yields, but it can be as low as 30%. **Process variation** is one among many reasons for low yield."
- + **SEMI foundries** use AI tools to combine equipment know-how and manufacturing statistics in managing massive **Fault Detection (FD)** data.
- + AI enables the **real-time collection** and **monitoring** of massive amounts of processing data and **alerts** system administrators of any hardware failures or other manufacturing abnormalities.
- + AI also makes it possible to adopt **Run-to-Run (R2R)** control to automate **manufacturing process adjustments** and **corrections** by providing feedback that can drive higher processing efficiency.
- + Supporting the customer to improve the yield in production is a **MUST** for all **SEMI equipment manufacturers**:
- + **AI READY!**

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		Top-10	283,088	79.3	238,815	18.5
		Others (outside top 10)	193,605	20.7	181,578	6.6
		Total Market	476,693	100.0	420,393	13.4

Source: Gartner (January 2019)

PRODUCT PORTFOLIO FOR SUSS MICROTEC

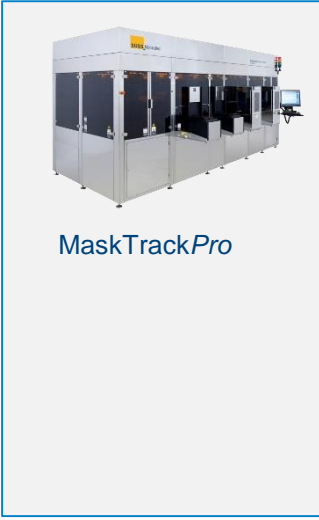
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Equipment for Wafer-Based Manufacturing: SEMI, Photonics, MEMS, ...

Frontend Mid- und Backend



Photomask Processing



Laser Equipment



Exposure-systems



Coater and Developer



Wafer Bonder



MICROLENS IMPRINT LITHOGRAPHY AS AN EXAMPLE FOR ARTIFICIAL INTELLIGENCE IN PHOTONICS

Wafer-Level Photonics

1997 MICROLENS IMPRINT - SUSS MASK ALIGNER

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+ CSEM Zurich (Mike Gale)

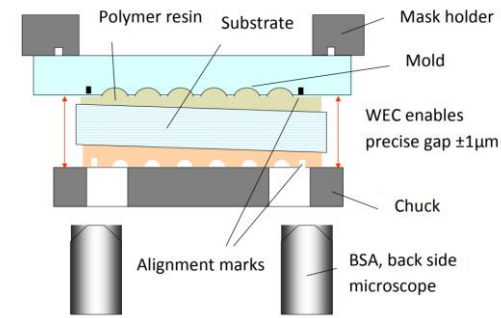
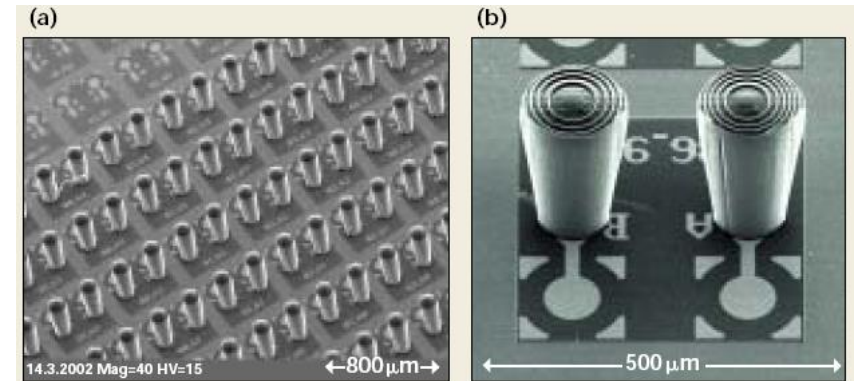


Figure 6. Wafer scale UV embossing of sol-gel components. (a) and (b) Replicated sol-gel microlenses on a VCSEL device wafer. The lenslets couple light from the VCSEL into an optical fiber. [Courtesy of Avalon Photonics, Zurich, Switzerland.] (c) Replicated sol-gel alignment microstructures for optical components. [Courtesy of Leica Geosystems, Heerbrugg, Switzerland.]

M.T. Gale, "Replication," Chapter 6 in *Micro-Optics: Elements, Systems and Applications*, H.P. Herzig, Ed., Taylor and Francis, London (1997).

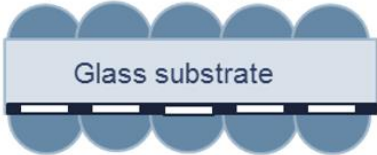
Brite-Euram Project BE97-464 1, DONDODEM, Development of new dielectric and optical materials and process-technologies for low cost electrical and/or optical packaging and testing of precompetitive demonstrators, (1998-2001).

WELCOME LIGHT CARPET FOR CARS

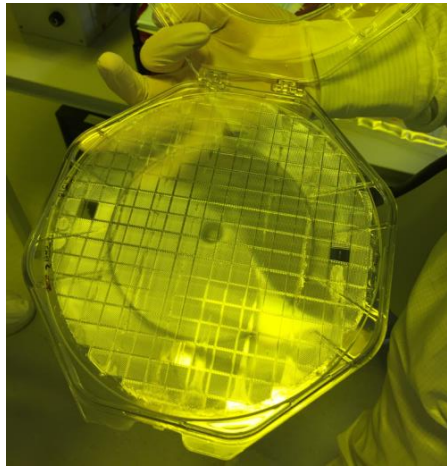
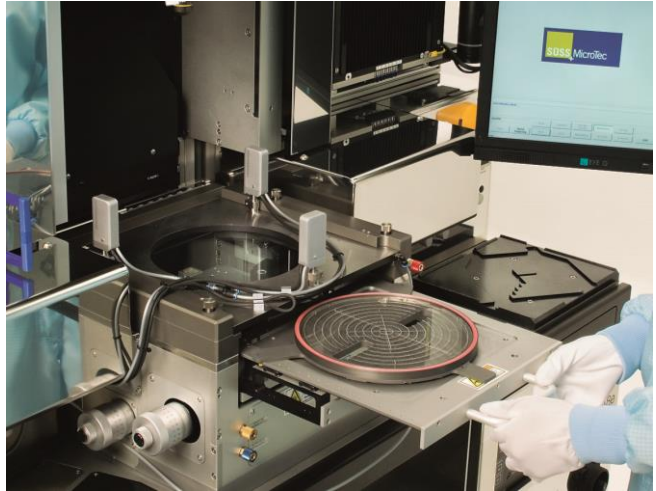
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Replicated Imaging lens

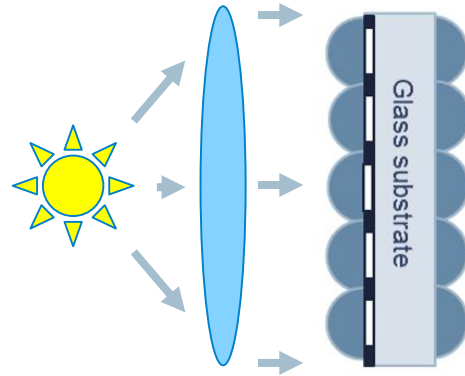


Replicated Illumination (condenser) lens

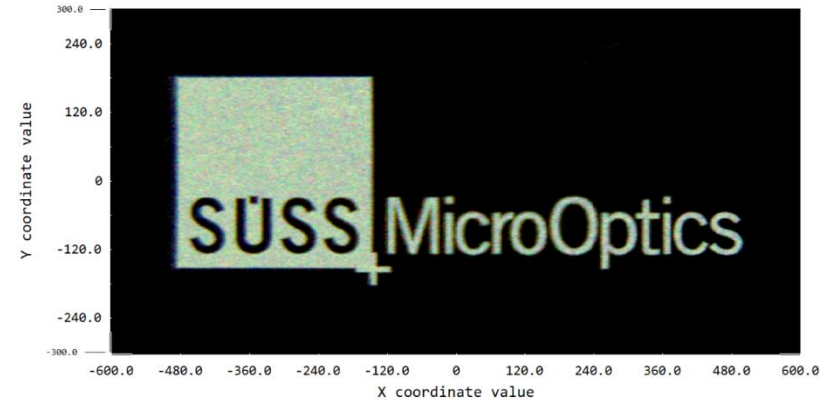


MICROLENS IMPRINT LITHO FOR LIGHT CARPETS

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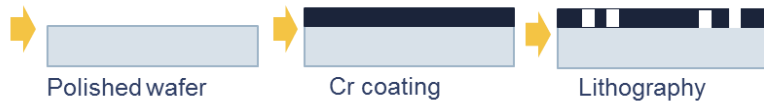


Array Projector (Moiré Magnifier)

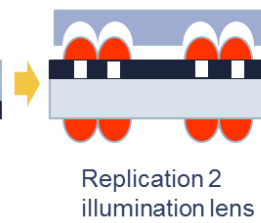
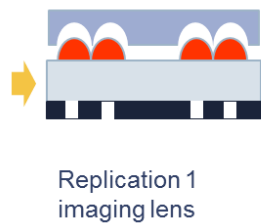


Superposition of Microlens Array Projection on Target (Simulation SMO)

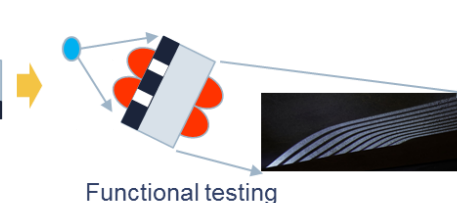
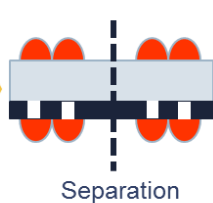
Cr pattern lithography



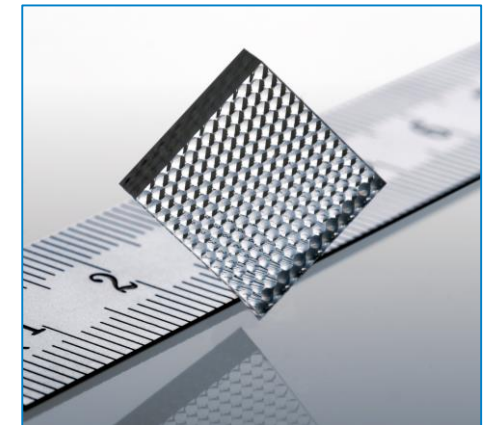
Replication



Back end



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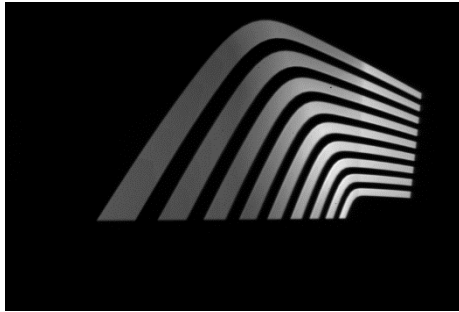
Microlens Array (MLA) for Light Carpets

QUALITY CONTROL FOR IMPRINTED MLA

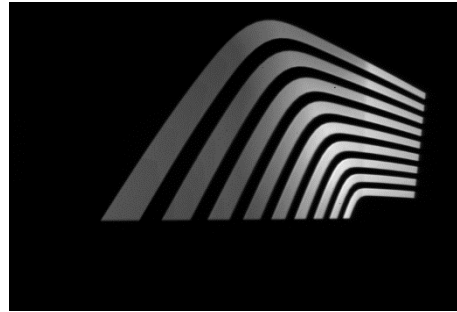
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+ Classic rule-based sorting

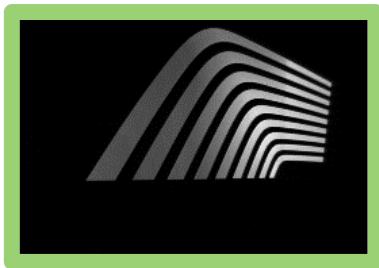
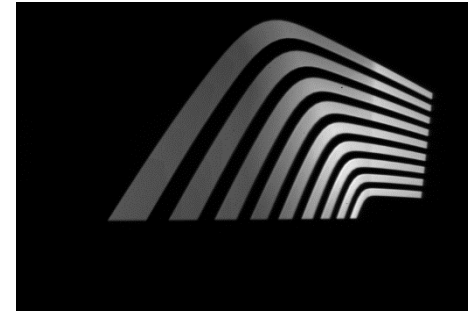
Check Rule 1



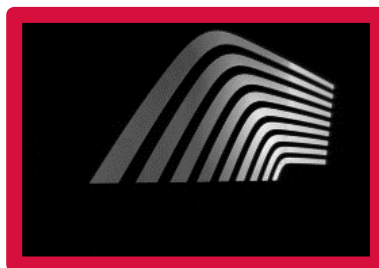
Check Rule 2



Check Rule 3



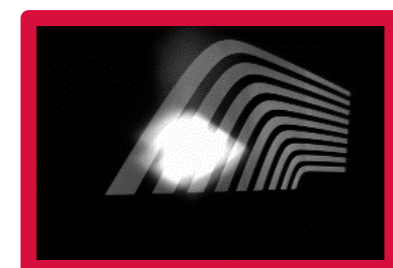
Good



Error 1

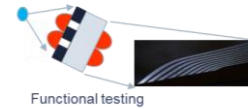


Error 2



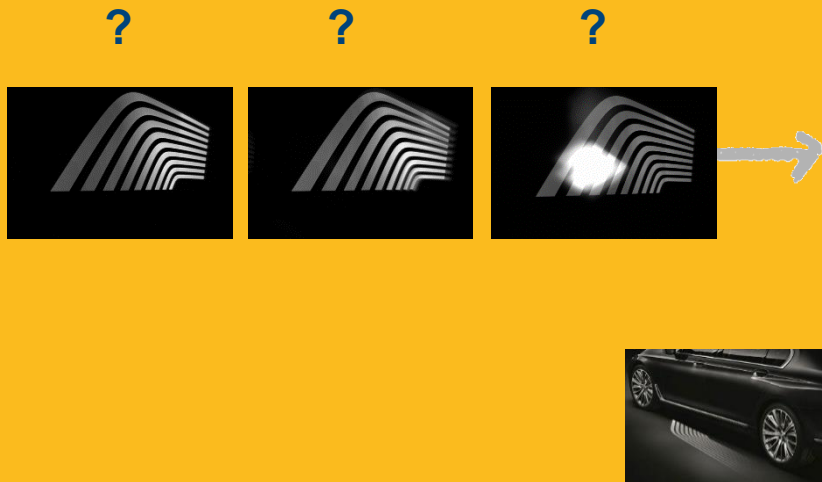
Error 3

UTILIZE DEEP LEARNING ON PROOF BOX IMAGES



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Input



Output

OK

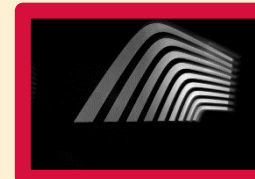


Defective



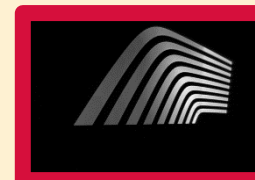
Class 1

- Proof Box issue
- Adjust setup and repeat



Class 2

- Blurry pattern
- Root cause: e.g. lens shape
- Action: e.g. stamp too old



Class 3

- Issue 3
- Increase x in process step y

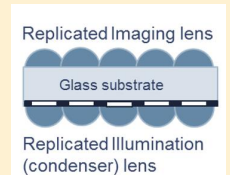
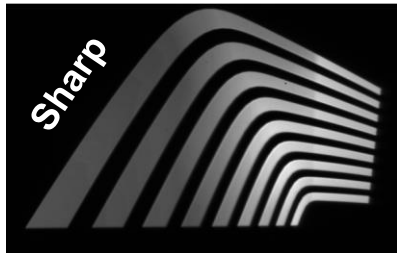


IMAGE SHARPNESS AS QUALITY FEATURE

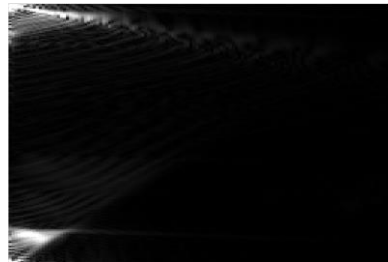
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- + Sharpness measure via autocorrelation
- + Idea: Determine sharpness based in the full image with comparable values for each image

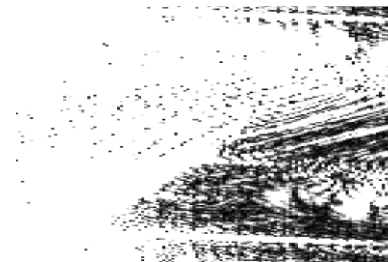
Original Image



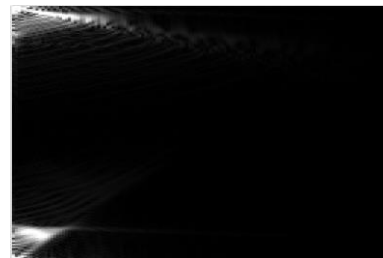
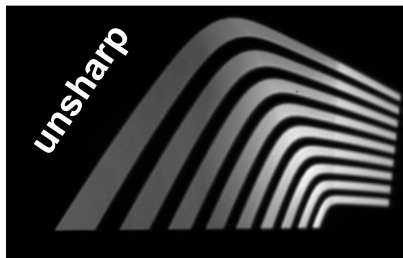
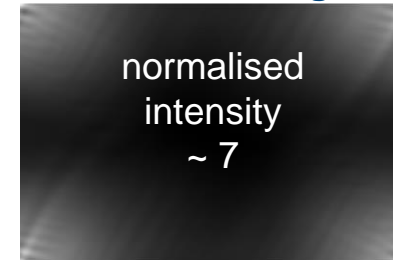
FFT of the full image



Autocorrelation image



RFT of the auto - correlated image



IMPROVE YIELD ON IMPRINT LITHOGRAPHY PROCESS

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Specification

OK



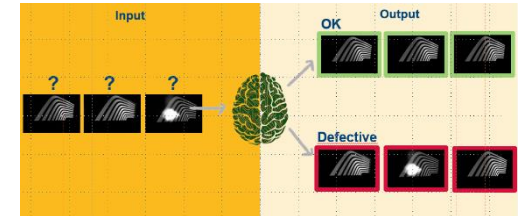
Defect



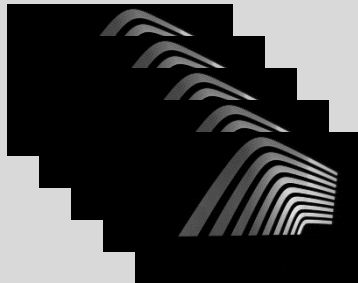
Data Transfer & Annotation



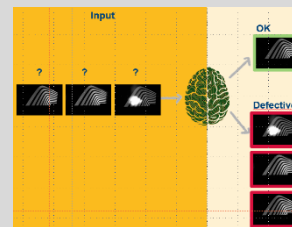
Algorithm Prototype



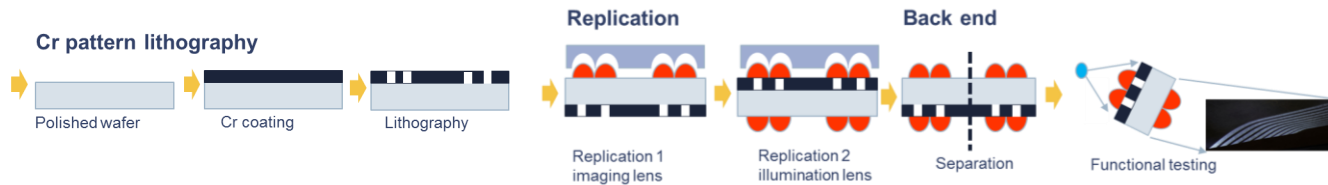
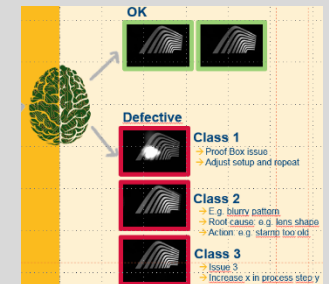
Data Acquisition



Algorithm Optimization



Correlation to Process

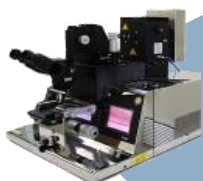


EVOLUTION OF SUSS IMPRINT EQUIPMENT

confidential



MA/BA6



MJB4



MA/BA8 Gen4



MA/BA8 Gen4 Pro



MA12



XLC200

**Mask & Bond
Alignment**

**Nano-Imprint
Lithography**

**Micro-Imprint
Lithography**

**WLO
Stacking**

Thank you!



7-8 November 2019

EPIC Meeting on Wafer Level Optics at
SUSS MicroOptics

Neuchatel, Switzerland

Registration open

