

# ALD

# Atomic Layer Deposition

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## **1. Atomic Layer Deposition**

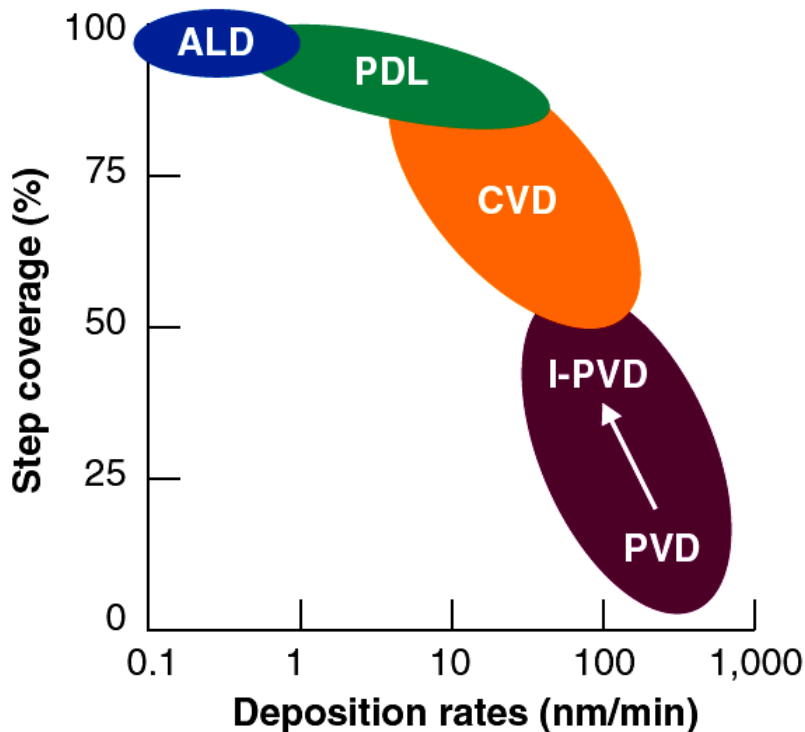
2. ALD set-up: thermal, plasma assisted, batch, temporal vs spatial

3. ALD for SC / Barrier layer / optics

4. Conclusions



**Atomic Layer Deposition (ALD)** is a sort of CVD process where precursors are admitted separately and alternately into the reactor



### Advantages:

Self-limiting surface reactions relatively insensitive to gas pressure and temperature:

→ Conformal in nm-scale features to aspect ratios of *e.g.* 100:1

→ Pinhole-free films

→ Uniform across m-scale objects

→ Low impurity levels, high quality

→ Å-level control of thickness

→ *i.e.* slow

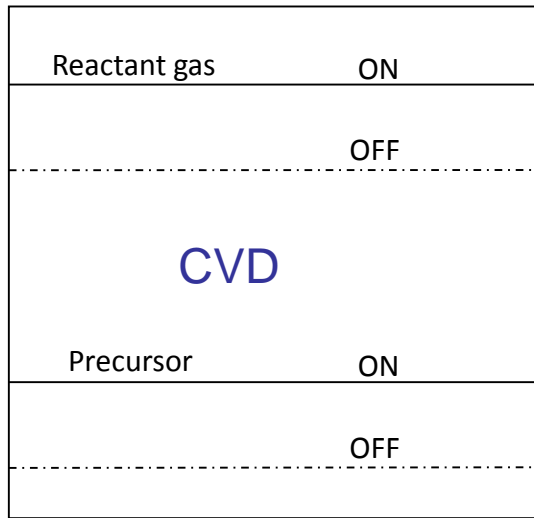
### Disadvantages:

Very low growth rate (1Å/cycle)

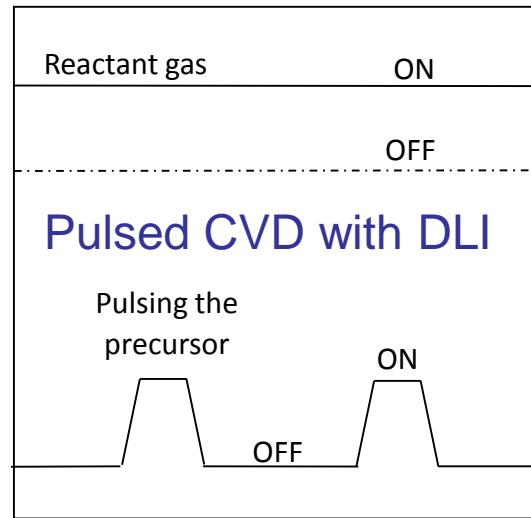
time for cycle ~ few seconds to tens of seconds

Ref: "Technology Backgrounder: Atomic Layer Deposition," IC Knowledge LLC, 24 April 06.

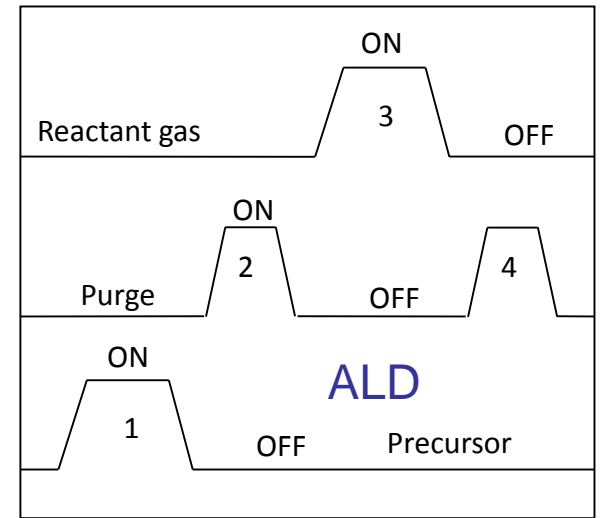
<[www.icknowledge.com/misc\\_technology/Atomic%20Layer%20Deposition%20Briefing.pdf](http://www.icknowledge.com/misc_technology/Atomic%20Layer%20Deposition%20Briefing.pdf)>.



time →

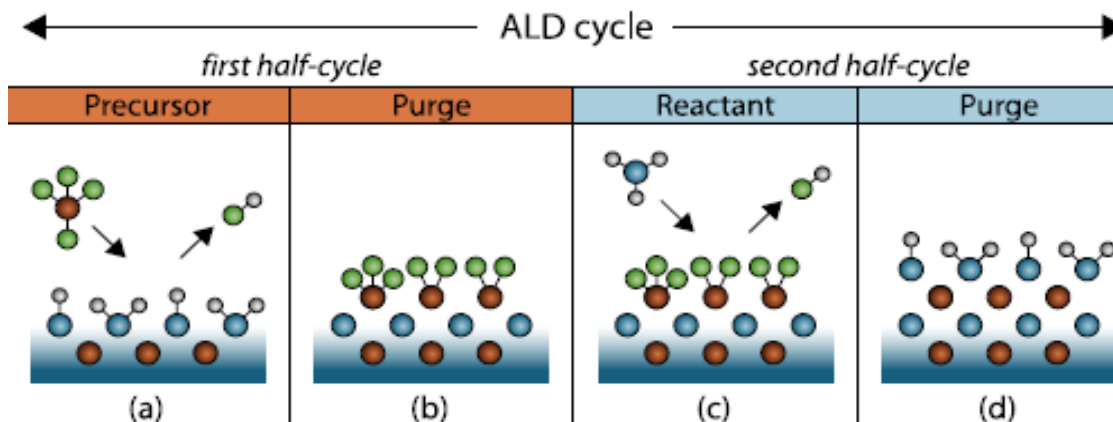


time →



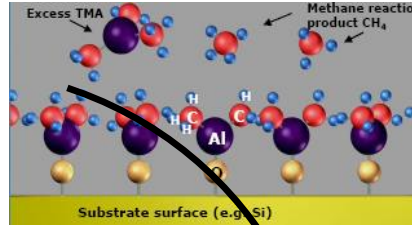
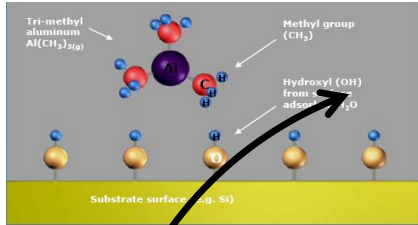
time →

1 ALD cycle = 4 steps : precursor / purge / reactant / purge



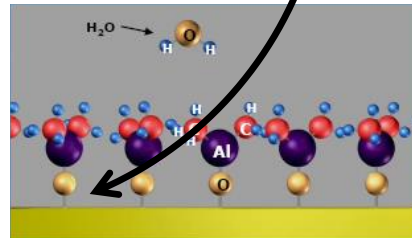
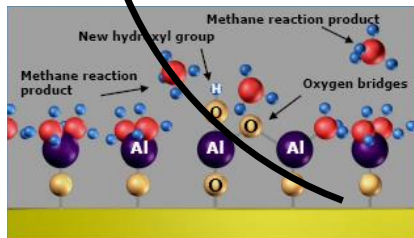
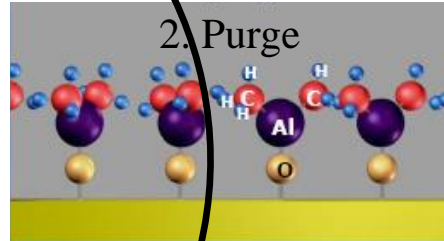
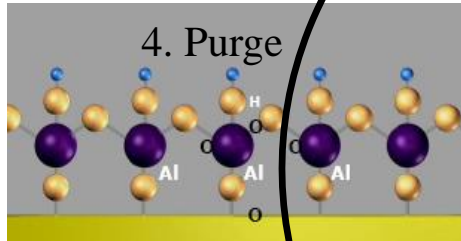


### 1. Precursor pulse

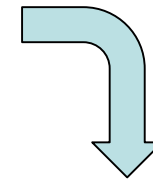


### Example:

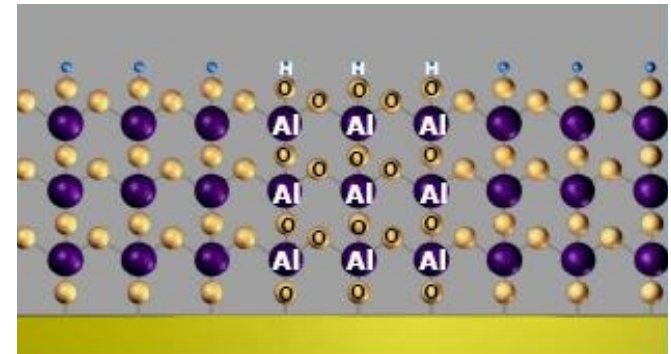
(Thermal) ALD of  $\text{Al}_2\text{O}_3$  from  $\text{Al}(\text{CH}_3)_3$  and  $\text{H}_2\text{O}$



### 3. Reactant pulse



After X ALD cycles





**Video from  
S. Elliott (Tyndall)**



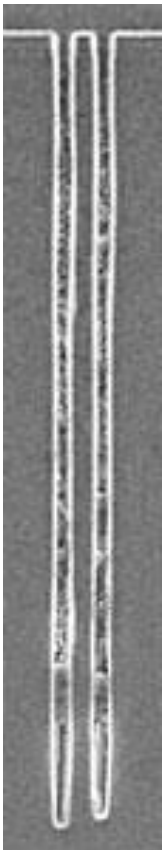
**ALD of hafnium oxide from  $\text{HfCl}_4$  and water**





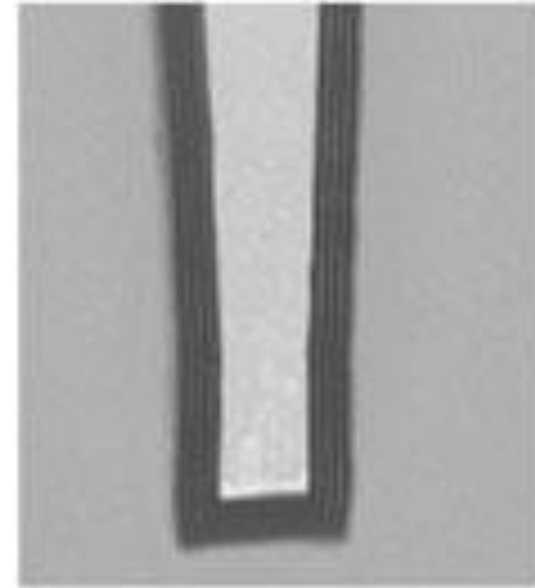
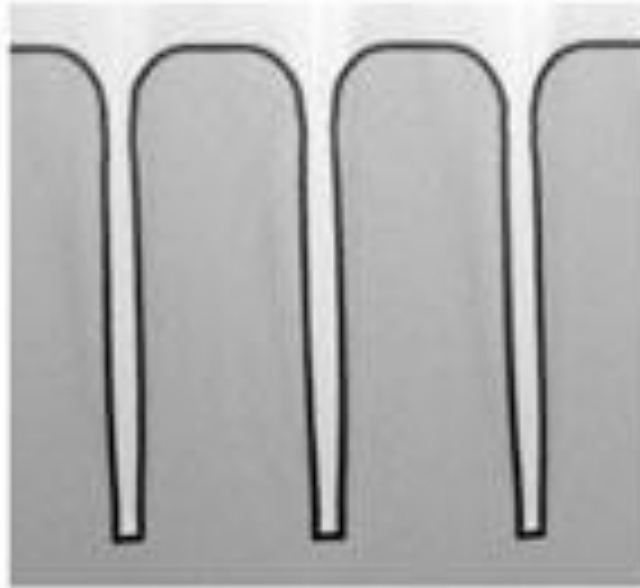
Growth rate is no more proportional to free radicals incident flux

→ It is possible to coat all surfaces, features and object shapes conformally down to the nanoscale, and uniformly across meter-scale



*Trench filling (aspect ratio 35:1) by  $\text{HfO}_2$*

« ALD break materials, conformality barriers », A.E. Braun,  
Semiconductor Int. October 2001



*MEB picture of multilayer  $\text{Al}_2\text{O}_3$  (5 couches de 14 Å) and  $\text{Ta}_2\text{O}_5$  (5 couches de 27 Å)*



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## Cross flow / Traveling Wave Reactor

Typical pressure 1-10 Torr

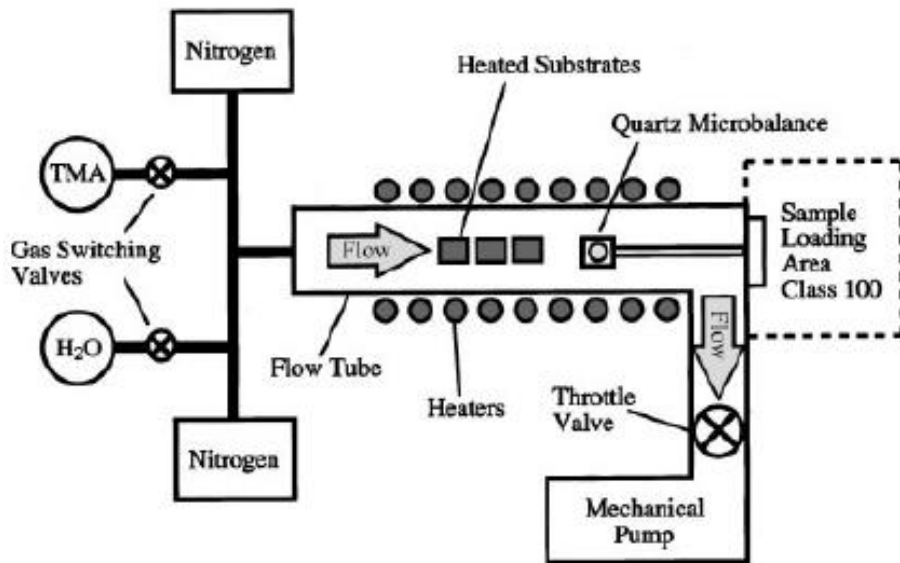


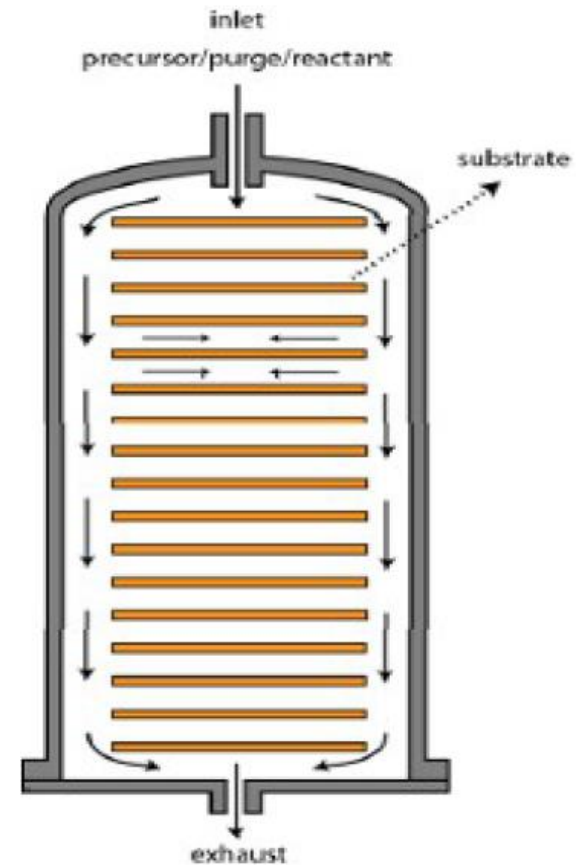
FIG. 1. Schematic view of viscous flow reactor for ALD.

Sources: Univ. Colorado

## Batch ALD

Large reactor volume

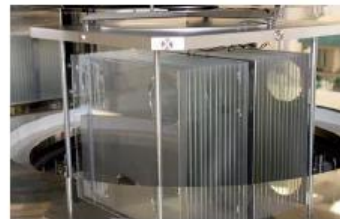
Typically long deposition runs with  
50-100 planes substrates in parallel





*Examples of the use of ALD in various industrial products.*

Product	ALD film function
Medical implants (dental, joint), surgical fixators	Bioactive layer to enhance osseointegration, biocompatible encapsulant
Implantable medical devices (e.g. pacemakers, Cochlear implants)	Biocompatible encapsulant
Collector coins	Anti-tarnish coating
Watch parts	Anti-tarnish and/or decorative coating
Jewelry	Anti-tarnish and/or decorative coating
PCBs	Protection against tin whiskering and corrosion



*Picosun's batch ALD technology allows cost-efficient processing of e.g. surgical implants, coins, printed circuit boards, jewelry items, and watch parts.*

**Picosun**

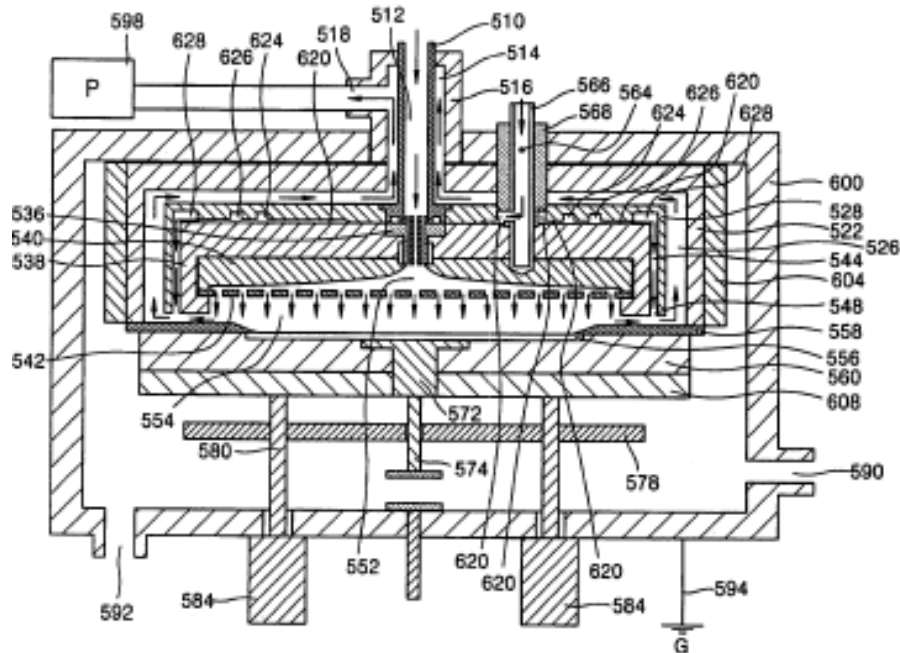
**PICOSUN™ P-1000 Pro ALD System**



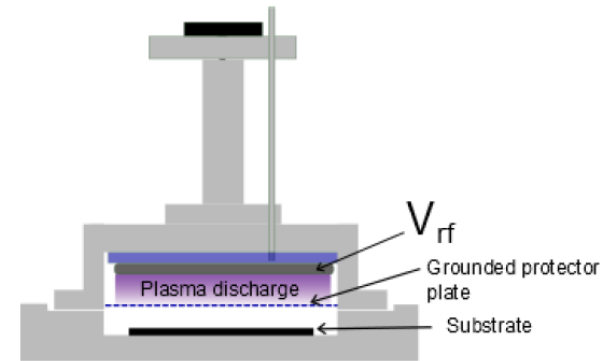
## Showerhead Reactor

Well adapted for wafers

Can be integrated with plasma by tuning showerhead into electrode

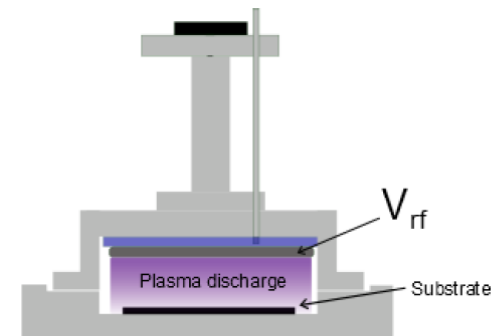


Sources: ASM ; Aviza



### □ Remote plasma

- Most common PEALD type
- No ion bombardment on substrate



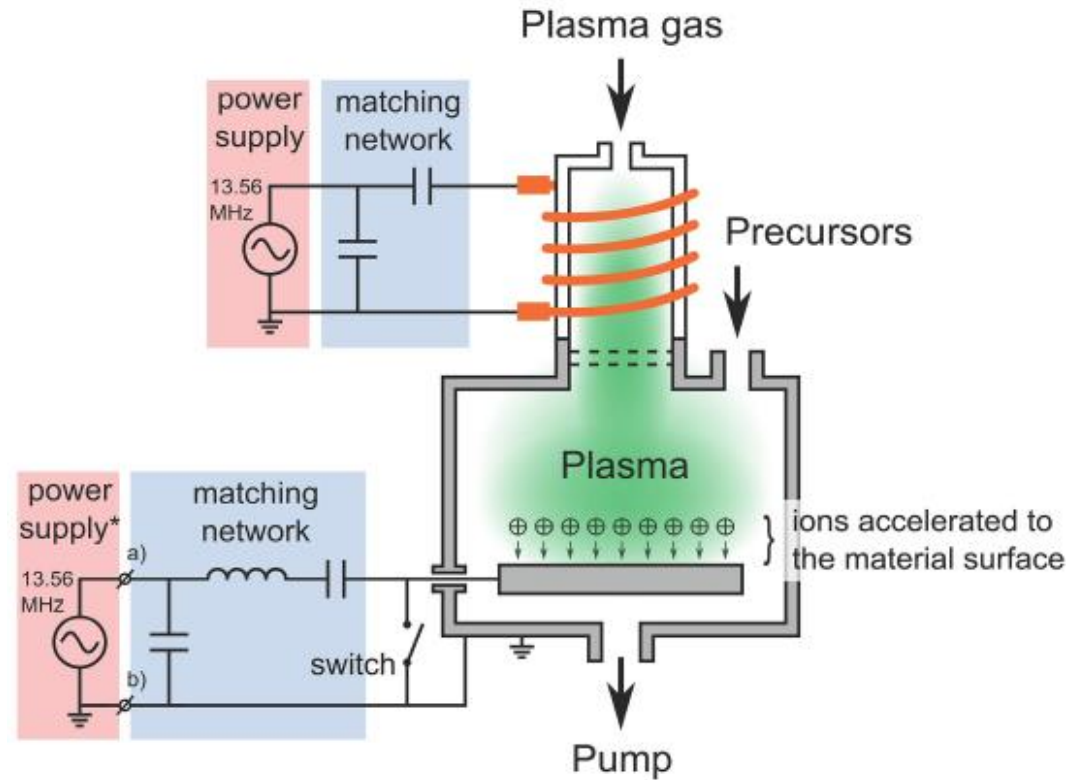
### □ Direct plasma

- Possible to tune film properties e.g. film stress
- Adhesion pre-treatments etc... possibilities

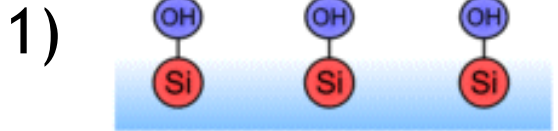




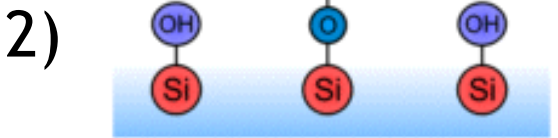
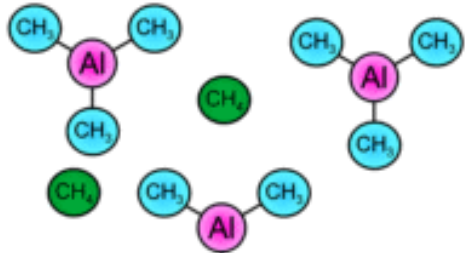
## PEALD with remote plasma



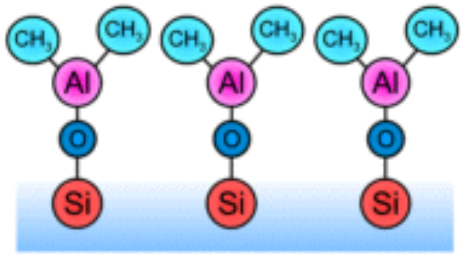
Source: TU Eindhoven



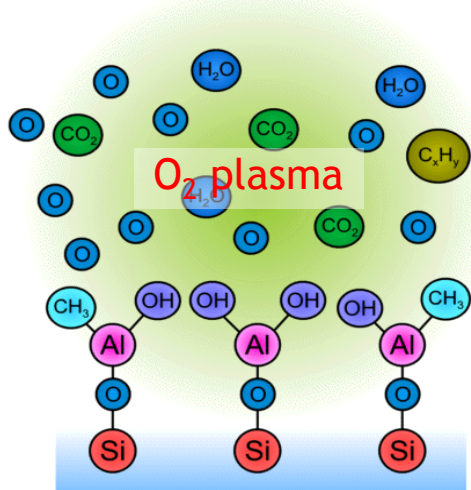
Surface Initiale



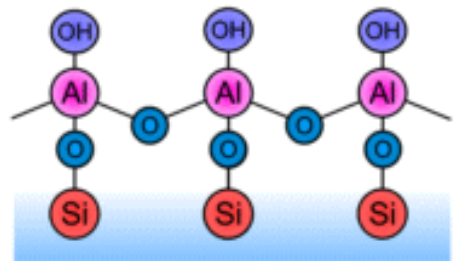
Al(CH<sub>3</sub>)<sub>3</sub> exposure



Purge



O<sub>2</sub> plasma exposure



Purge

From Oxford instrument

Profijt *et al.*, J. Vac. Sci. Technol. A 29 (5) 050801 (2011)

## Comparison between thermal ALD and PE-ALD

Al<sub>2</sub>O<sub>3</sub> - Al(CH<sub>3</sub>)<sub>3</sub> and O<sub>2</sub> plasma



Plasma ALD is (may) using same precursors than thermal ALD  
Only the gas nature of the activation step is modified

<b>Material</b>	<b>ALD precursor</b>	<b>Plasma gas</b>
Oxide	H <sub>2</sub> O ou O <sub>3</sub>	O <sub>2</sub>
Nitride	NH <sub>3</sub>	N <sub>2</sub> /H <sub>2</sub>
Metal	O <sub>2</sub> , N <sub>2</sub> /H <sub>2</sub> , ...	H <sub>2</sub>

from Oxford Instrument

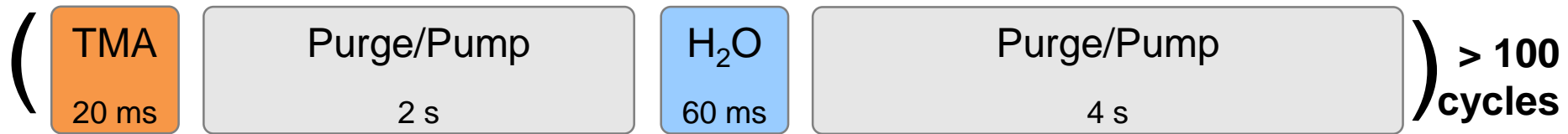




## TEMPORAL ALD:

Precursor pulses alternate in time, separated by purges.

Substrate is stationary and is exposed to each precursor for a limited period of time.



time →

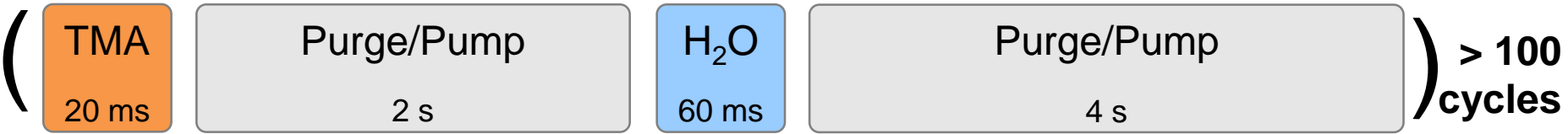
**Temporal** ALD: deposition rate < 2 nm/min @ 100 mtorr

## SPATIAL ALD:

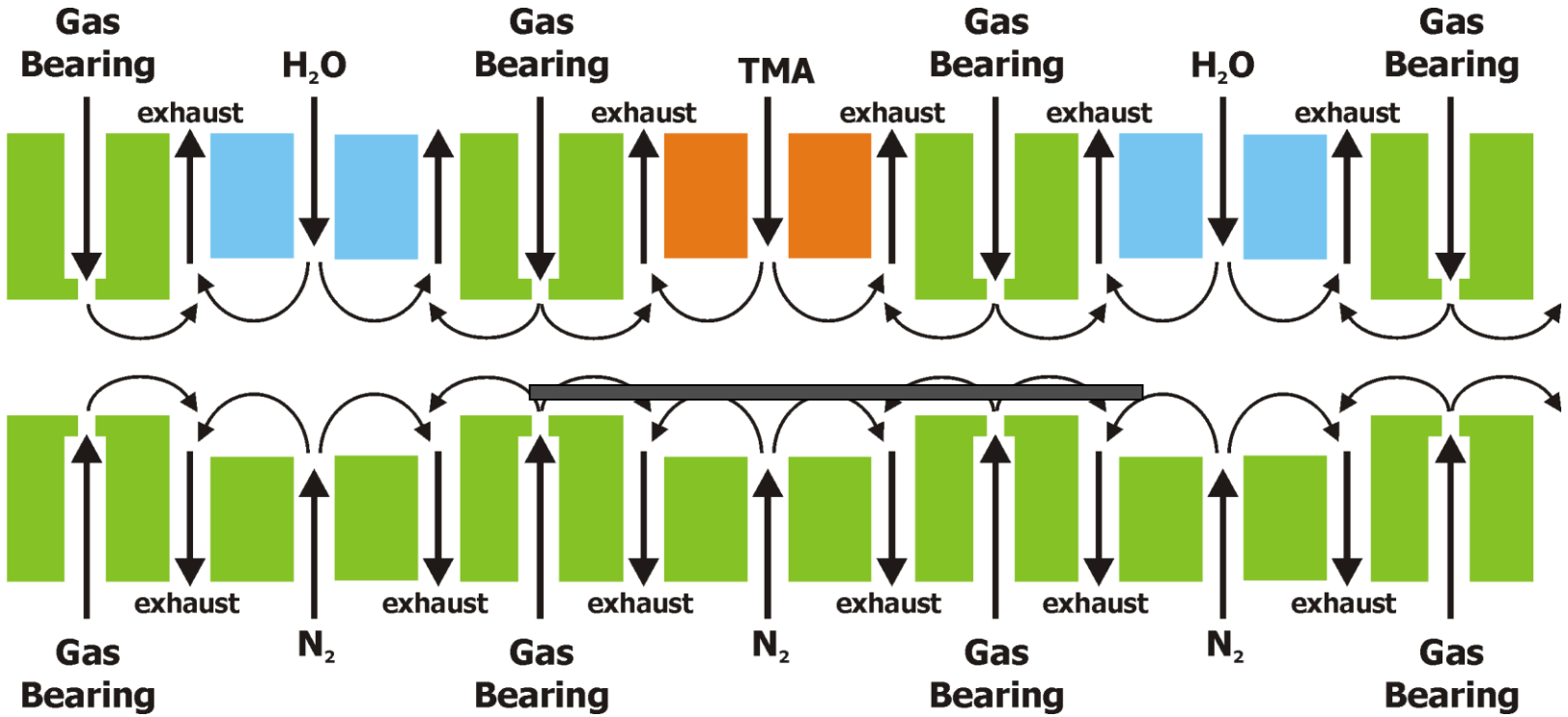
Continuous flow of precursors limited to certain zones in space, separated by inert gas curtains.

Substrate moves so as to alternate periodically between precursor zones.

# Temporal vs. spatial processing: *the thermal ALD case (Al<sub>2</sub>O<sub>3</sub>)*



time → **Temporal** ALD: deposition rate < 2 nm/min @ 100 mtorr

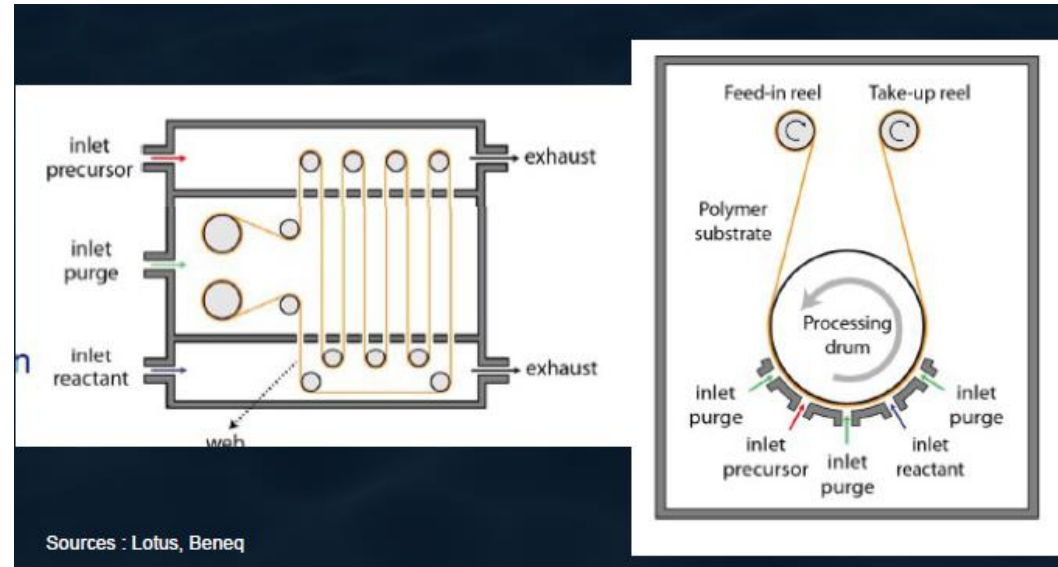


**Spatial** ALD: deposition rate up to 70 nm/min @ 1 atm.





## Spatial ALD with Roll to Roll system



From Lotus Technology, Beneq



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2. ALD set-up: thermal, plasma assisted, batch, temporal vs spatial

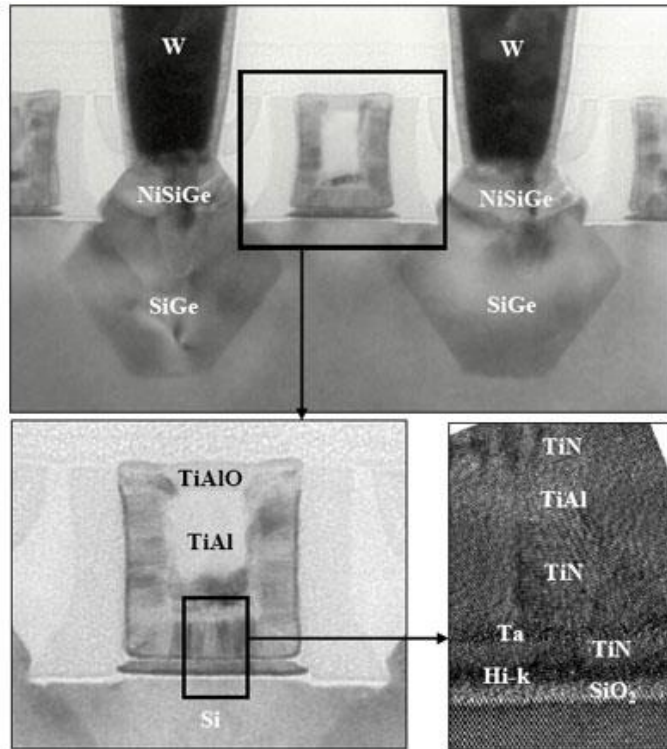
**3. ALD for SC / barrier layer / optics**

4. Conclusions



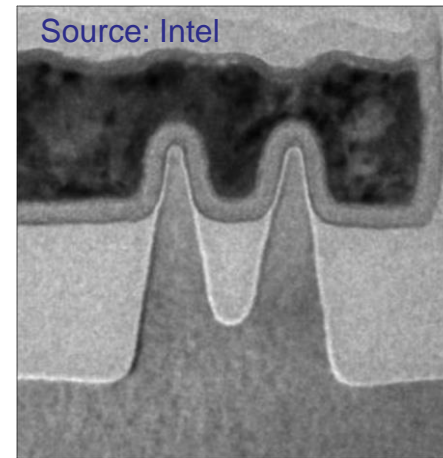


# HfO<sub>2</sub> for CMOS: mass production by Intel since 2007 (45 nm node)

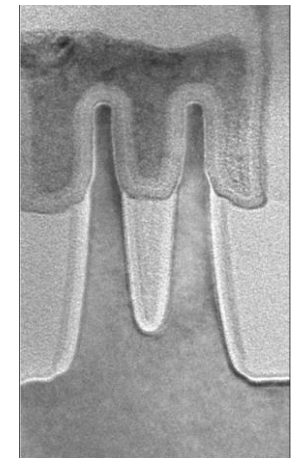


Semiconductor International, 5/6/2008

Intel Xeon PMOS transistor features embedded SiGe (25-30% Ge) and a replacement high-k/metal gate

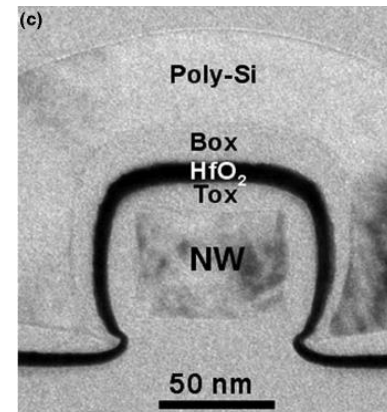
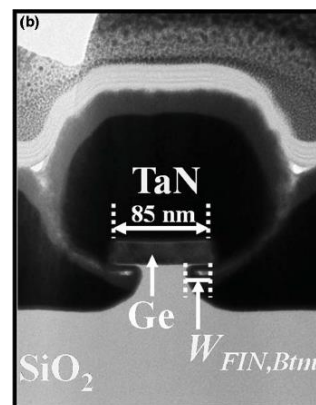


22 nm 1<sup>st</sup> generation Tri-gate Transistor

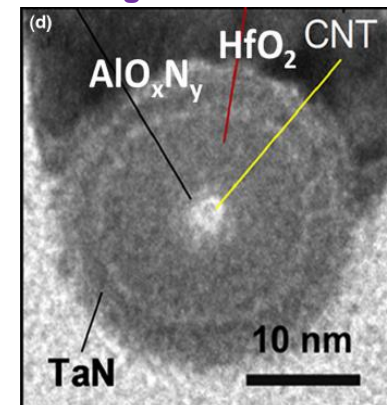


14 nm 2<sup>nd</sup> generation Tri-gate Transistor

b: Ω-gate structure c: pi-gate structure



d: Carbon nanotube with gate all around

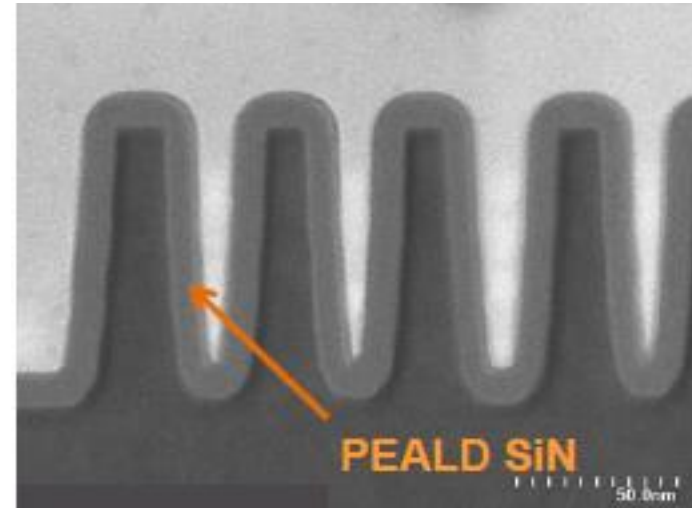
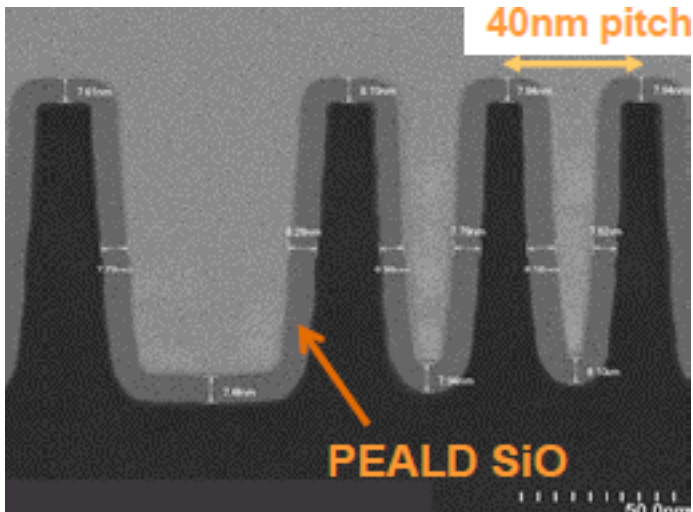




## SiO<sub>2</sub> spacer



## Si<sub>3</sub>N<sub>4</sub> spacer



ALD deposition at 25°C

ALD from 250°C

Low T° SiO<sub>2</sub> is mature process for SC

Si<sub>3</sub>N<sub>4</sub> low T° deposition not yet in HVM

**Manufacturing:** cost reduction  
throughput improvement, defect, reduction

Only films deposited at high T° (>550°C) are used in mass production

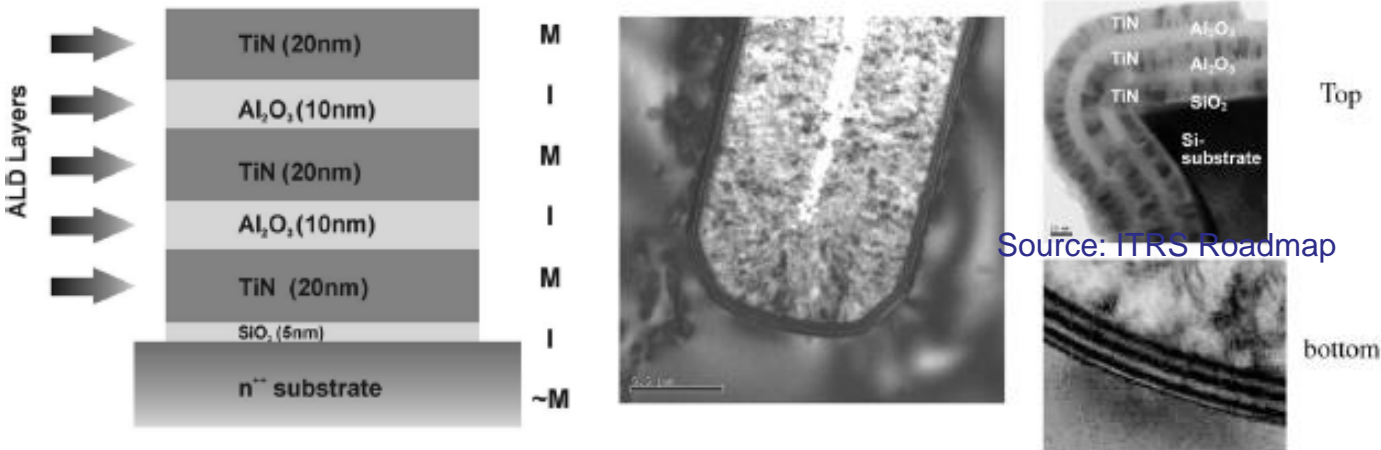
**Engineering:** process tuning for WER and plasma improvement for conformality





ALD allows multilayers structures

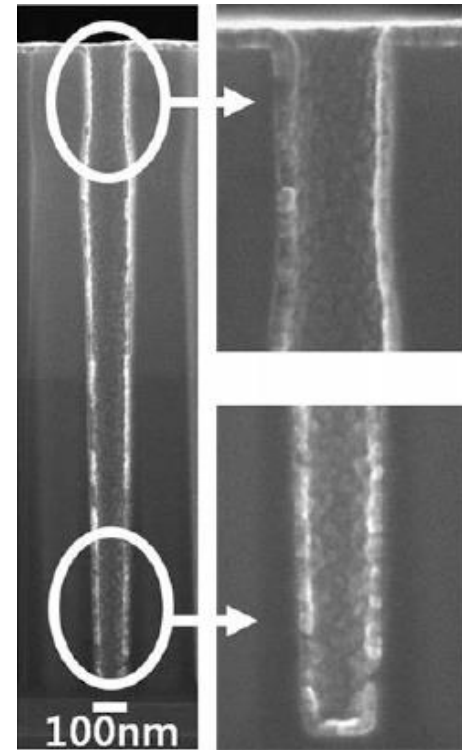
⇒ whole MIM stack could be made by ALD



KLOOTWIJK *et al.*: ULTRAHIGH CAPACITANCE DENSITY FOR MULTIPLE ALD-GROWN MIM CAPACITOR STACKS

IEEE ELECTRON DEVICE LETTERS, VOL. 29, NO. 7, JULY 2008

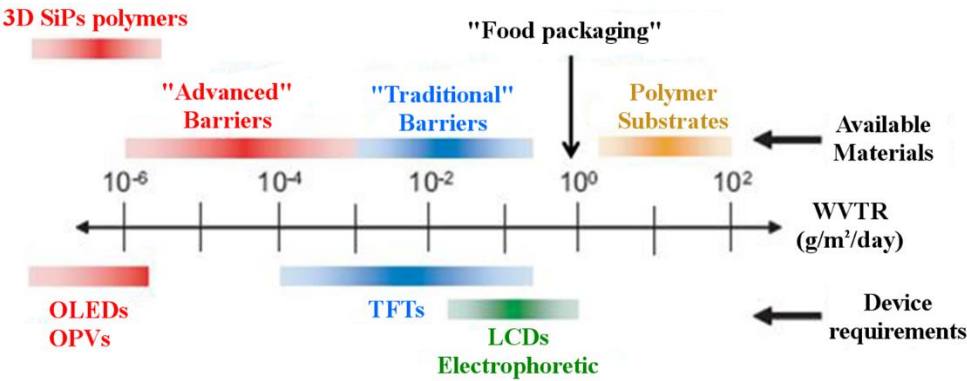
Kim *et al.*, JES 154 (2),  
D95:D101 (2007)  
**Ru on SiO<sub>2</sub> (AR 17)**



Years	2009 – 2016	2017 – 2024
Top electr.	TiN	Ru, RuO <sub>2</sub> , Ir, IrO <sub>2</sub>
High-k	ZrO <sub>2</sub> , HfO <sub>2</sub> , Ta <sub>2</sub> O <sub>5</sub>	TiO <sub>2</sub> , ATO, STO, BST
Bottom electr.	TiN	Ru, RuO <sub>2</sub> , Ir, IrO <sub>2</sub> , SrRuO <sub>2</sub>



## □ ALD barrier for OLEDs, OPVs, 3D SiPs polymers...



Device	WVTR (g.m <sup>-2</sup> .day <sup>-1</sup> )	OTR (cm <sup>3</sup> .m <sup>-2</sup> .day <sup>-1</sup> )
Snack food	0.2 – 5 [1]	/
LCDs	0.1 [2]	0.1 [2]
CIGS solar cells	10 <sup>-4</sup> [3]	0.1 [3]
Organic solar cells	10 <sup>-5</sup> [3]	10 <sup>-5</sup> [3]
OLEDs	10 <sup>-6</sup> [2]	10 <sup>-3</sup> – 10 <sup>-5</sup> [2]

### Barrier performance requirements

[1] «Packaging of snack food»

[http://www.iip-in.com/foodservice/22\\_snackfood.pdf](http://www.iip-in.com/foodservice/22_snackfood.pdf)

[2] J. S. Lewis *et al.*, *IEEE Journal of Selected Topics in Quantum Electronics*, Vol. 10, p. 45 (2004).

[3] Fraunhofer ISC Annual Report 2004.

[4] P.F. Carcia *et al.*, *Applied Physics Letters*, Vol. 89, pp. 031915 (2006).

Material	WVTR (g.m <sup>-2</sup> .day <sup>-1</sup> )	OTR (cm <sup>3</sup> .m <sup>-2</sup> .day <sup>-1</sup> )
Polyethylene (PE)	1.2 [2]	5.9 [2]
PETerephthalate (PET)	3.9 – 17 [3]	1.8 – 7.7 [3]
15 nm Al/PET	0.18 [3]	0.2 – 2.29 [3]
100 nm PECVD SiN	0.02 [4]	/
<b>25 nm ALD Al<sub>2</sub>O<sub>3</sub></b>	<b>1.7 10<sup>-5</sup> [4]</b>	<b>&lt; 5 10<sup>-3</sup> [4]</b>

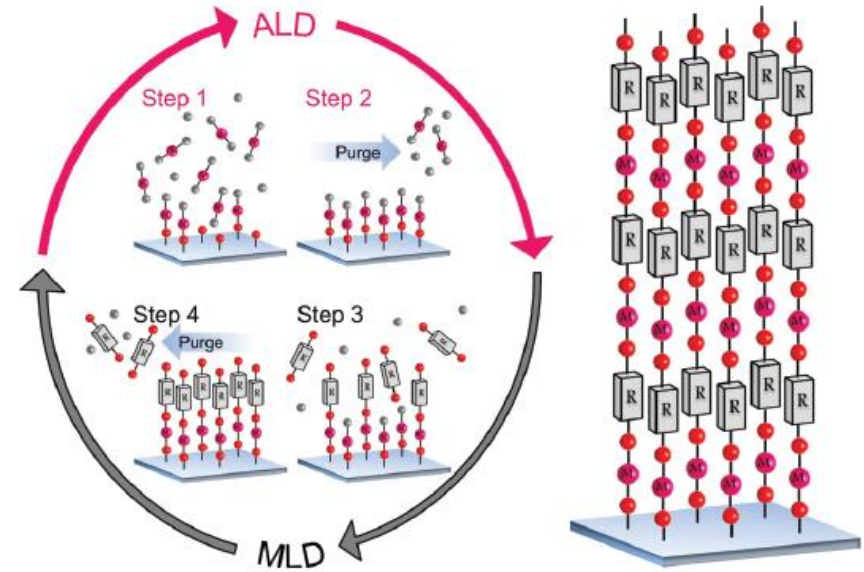
Barrier performances for different materials



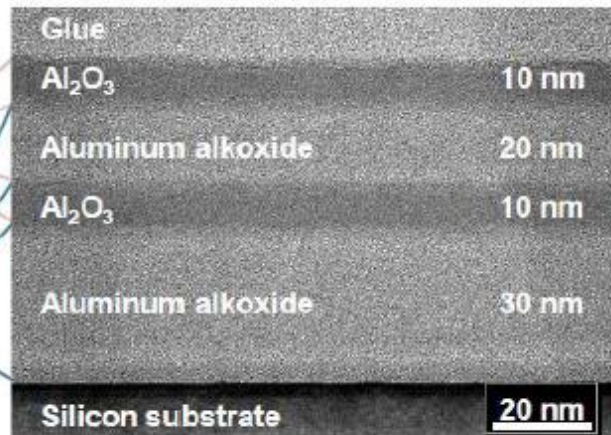
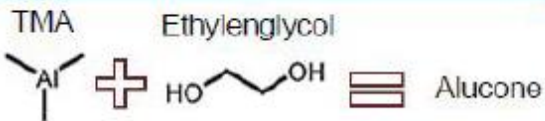
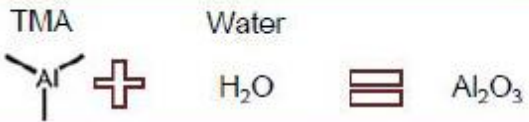
## □ Laminates Inorganic/Organic (ALD/MLD)

**Pia Sundberg**

Atomic/molecular layer deposition of hybrid inorganic-organic thin films



### deposition with ALD and MLD



**U. Schröder**

ALD and MLD passivation layers for flexible OLED and OPV





<http://mldtech.com/technology/atomic-layer-deposition/>

## **ALD process delivers pinhole-free, very large optics**

MLD Technologies has scaled up its atomic-layer-deposition (ALD) process to provide uniform (less than  $\pm 1\%$  variation), low-loss (typically less than 50 ppm total loss) precision optical coatings on substrates up to 800 mm in diameter.

Coatings are pinhole-free and can be deposited from a number of metal-oxide film materials.

The production-scale ALD chamber designed and built by MLD is capable of coating planar, 3D, and large curved optical elements..





## **Metal-like coatings for watch parts**

Challenge: decorative coating on fine mechanics and watch parts is challenging due to the conformal nature of the parts

Beneq solution: a thin ALD-based optical coating stack providing the desired color while providing environmental protection on corrosive parts



## **Optical coatings for lenses in high power lasers**

Challenge: High-power lasers require high-performance optical coatings on conformal optics lenses. Due to the complex 3D shapes, the components are difficult to coat and beyond the reach of conventional coating technologies such as PVD

Beneq solution: a conformal high- and low-index multilayer optical coating on customer optics, deposited by TFS 500 batch ALD system

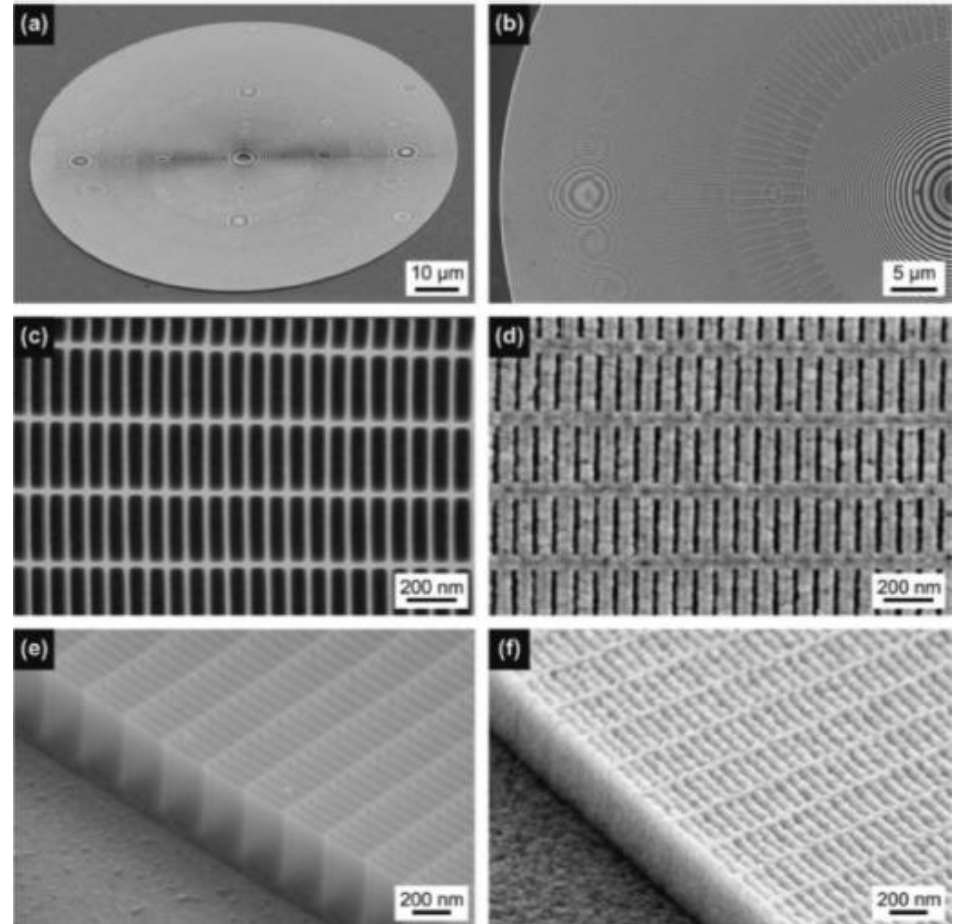


## Optique / photonique

(a, b) Scanning electron micrographs of a diffractive Fresnel zone plate X-ray lens where ALD Ir has been deposited on a hydrogen silsesquioxane resist template to double the frequency of the diffractive rings.

(c–f) High-magnification top and tilted images

(c, e) before and (d, f) after ALD Ir coating.



(17) Vila-Comamala, J.; Gorelick, S.; Färm, E.; Kewish, C. M.; Diaz, A.; Barrett, R.; Guzenko, V. A.; Ritala, M.; David, C. *Opt. Express* **2011**, *19*, 175.





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**4. Conclusions**



ALD is a unique self-limiting process for growing ultra-thin pinhole-free films that is enabling new developments in high-tech manufacturing sectors such as electronics, energy and coatings

ALD gives highly conformal and dense films (1 – 100 nm)

Just a few review articles:

S. M. George, *Chem. Rev.* **110**, 111 (2010)

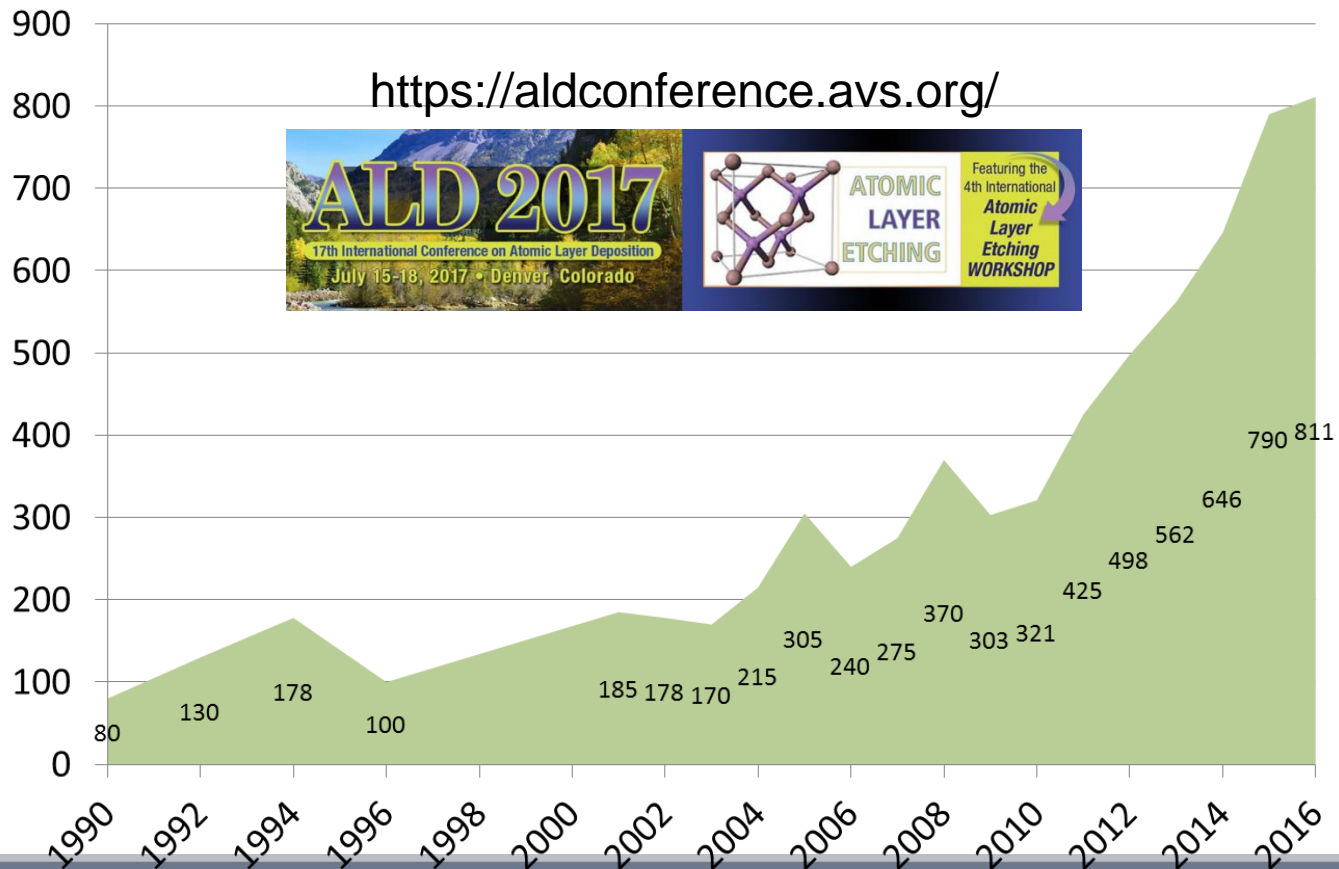
R. L. Puurunen, *J. Appl. Phys.* **97**, 121301 (2005)

V. Miikkulainen, M. Leskelä, M. Ritala, R. L. Puurunen, *J. Appl. Phys.* **113**, 021301 (2013)

H.B. Profijt et al, *J. Vac. Sci. Technol. A* 29, 050801 (2011)



Number of delegates at annual *International Conference on Atomic Layer Deposition* has grown by factor of 4 in the last decade. At the 2016 conference, 150 companies attended, comprising 50% of the delegates, of which 50 were exhibitors and 26 were sponsors.





## European action for ALD: COST HERALD

*Hooking together European research in Atomic Layer Deposition*



<http://www.european-ald.net/>

French research group: GDR RAFALD + workshop RAFALD

*Réseau des Acteurs Français de l'ALD*

7-9 Nov. Montpellier (France)

<https://sites.google.com/site/rafaldepot/home>



**Thank you for your attention**