

# **some aspects of Optical Coherence Tomography**

**SSOM Lectures, Engelberg**

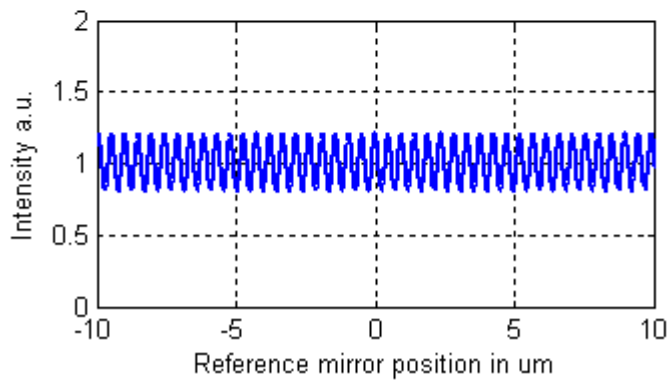
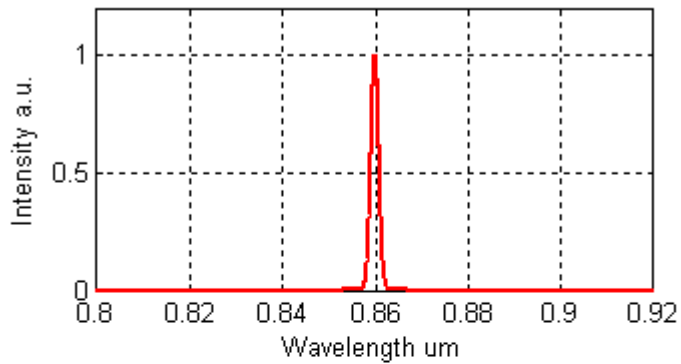
**17.3.2009**

**Ch. Meier**

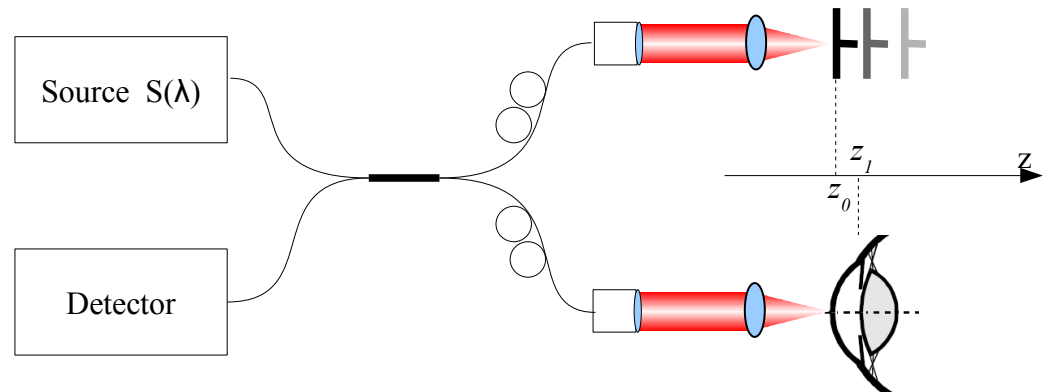
# Contents

1. OCT - basic principles  
(Time Domain – Frequency Domain)
2. Performance and limiting factors
3. Mirror ambiguity in FD-OCT
4. Dispersion in OCT
5. Conclusion

# Time Domain OCT

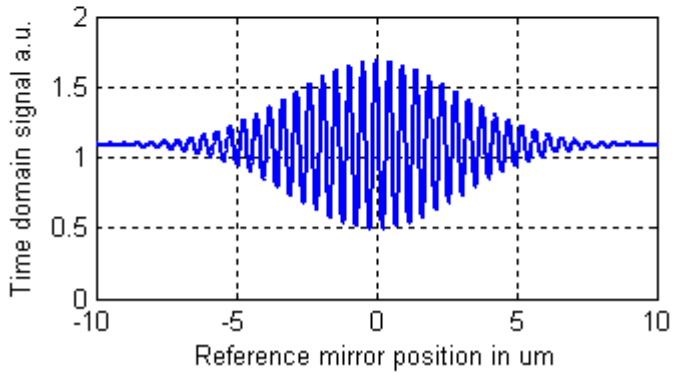
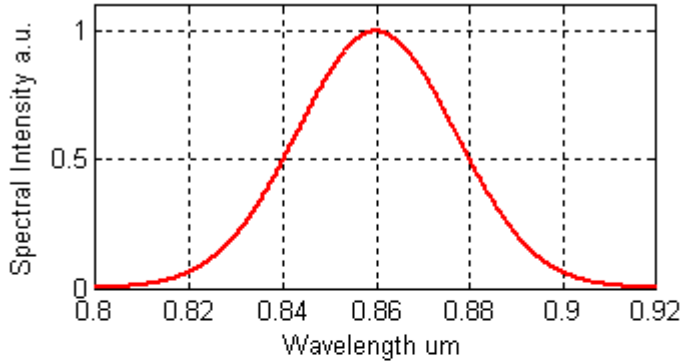


Michelson Interferometer setup with moving reference mirror

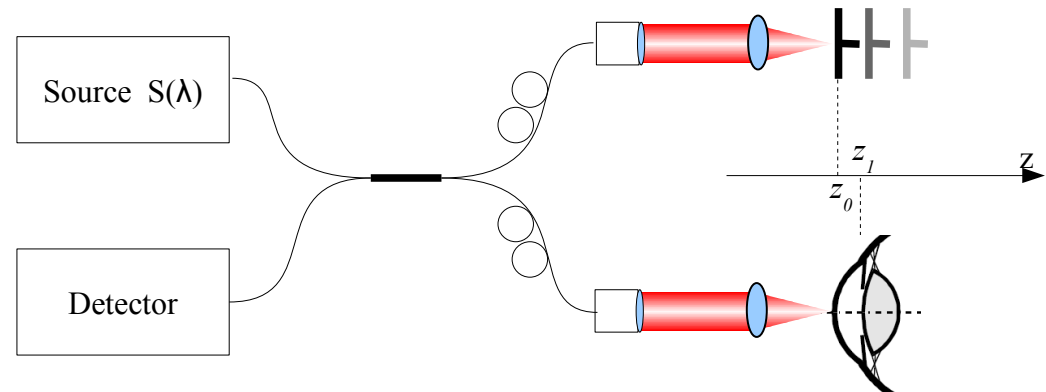


$$TD(z) = r_R^2 + r_s^2 + 2r_R r_s \cos(2k(z_0 - z_1))$$

# Time Domain OCT

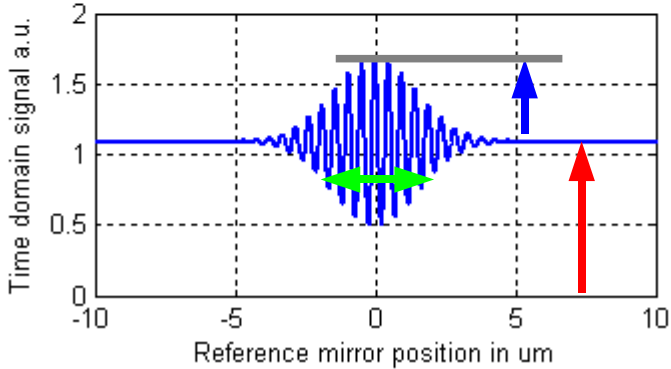
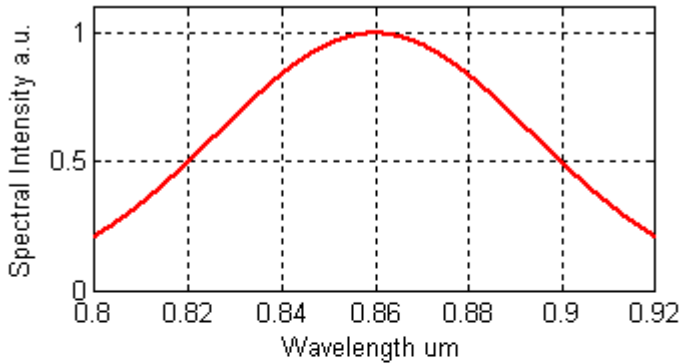


Broadband source = low coherence  
Interferences are localized

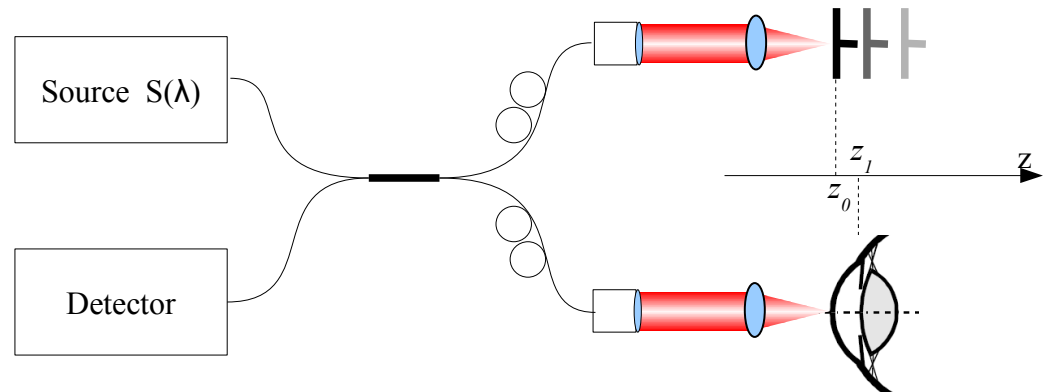


$$TD(z) = I_{DC} + I_{AC} |\gamma(z)| \cos(2k\Delta z)$$

# Time Domain OCT



Broadband source = low coherence, interferences are localized

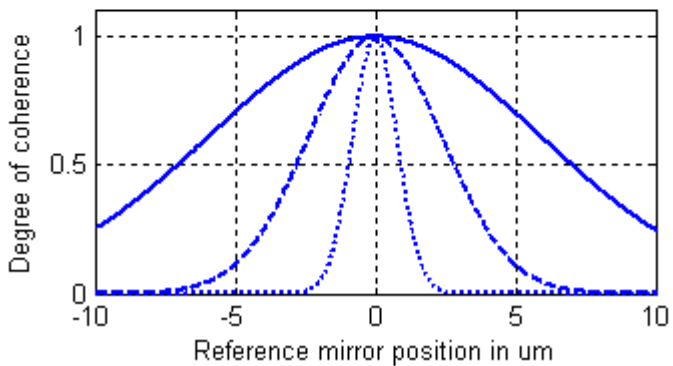
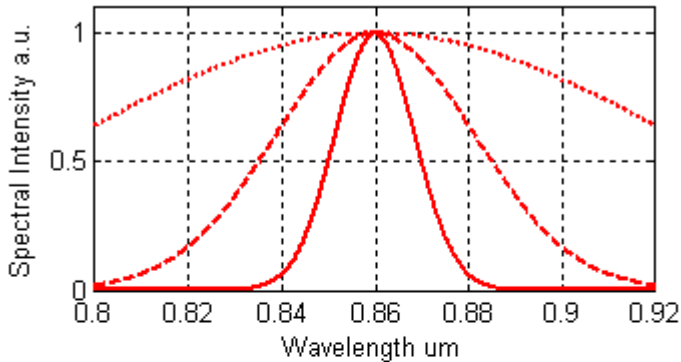


$$TD(z) = I_{DC} + I_{AC} |\gamma(z)| \cos(2k\Delta z)$$

$$\gamma(z) = e^{-4 \ln(2) \left(\frac{z}{l_c}\right)^2}$$

Degree of coherence

# Source Spectrum - Degree of Coherence



Wiener – Khinchin theorem: The correlation function and the spectrum form a Fourier pair

$$\Gamma(\tau) = \mathfrak{F}^{-1}[S(\nu)]$$

The normalized correlation function is the degree of coherence  $\gamma(\tau)$ . For a gaussian Spectrum

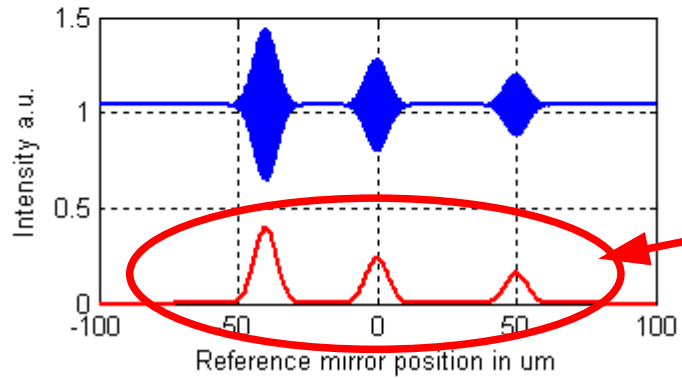
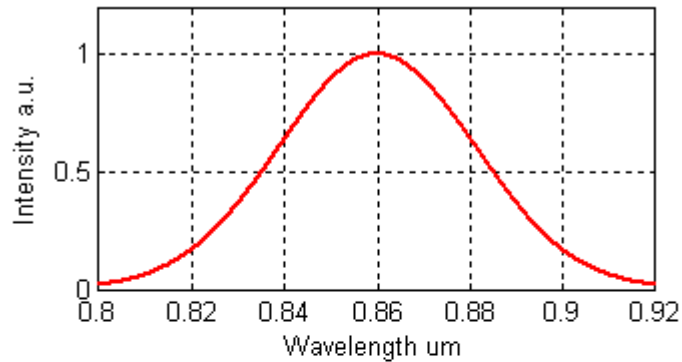
$$|\gamma(z)| = |\gamma(\tau c)| = e^{-4\ln(2) \left(\frac{z}{l_c}\right)^2}$$

The coherence length (FWHM)

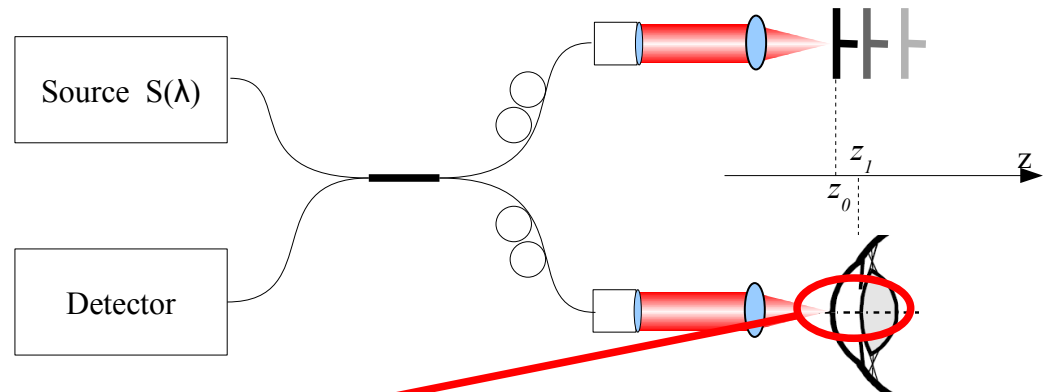
$$l_c = \frac{2\ln(2)}{\pi} \frac{\lambda_m^2}{\Delta\lambda}$$

Bandwidth	Coherence length
20 nm	14 μm
50 nm	5.6 μm
150 nm	1.9 μm

# Time Domain OCT



The signal envelope represent the reflectivity depth profile  $r_s(z)$



# Resolution

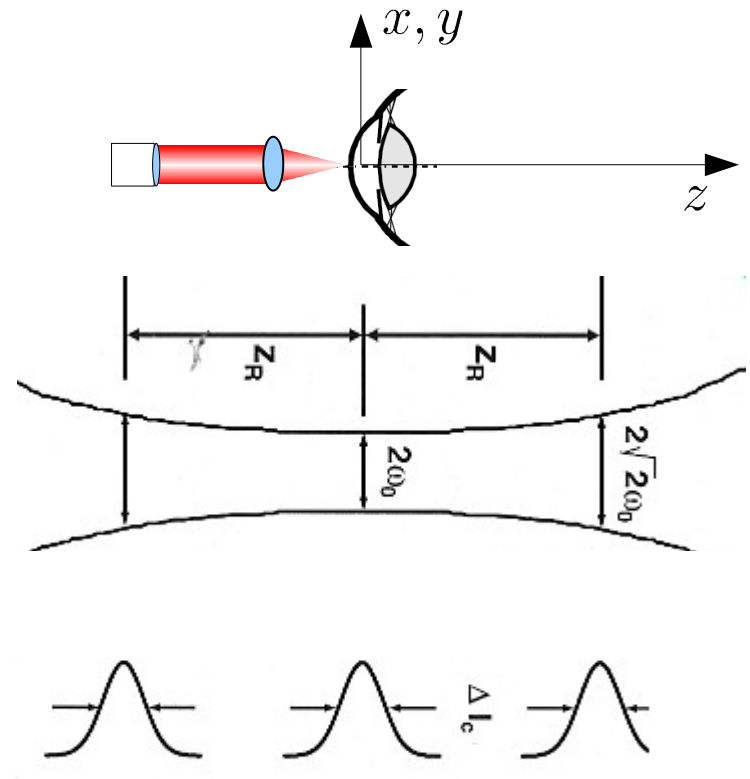
**Lateral resolution** = spot diameter

$$\Delta x = \frac{4\lambda f}{\pi d} \sim \frac{1}{N_A}$$

Beam diameter  $d$  and focal length  $f$

**Axial resolution** = coherence gate

$$\Delta z = l_c = \frac{2 \ln(2)}{\pi} \frac{\lambda_m^2}{\Delta \lambda}$$



In OCT Systems axial resolution

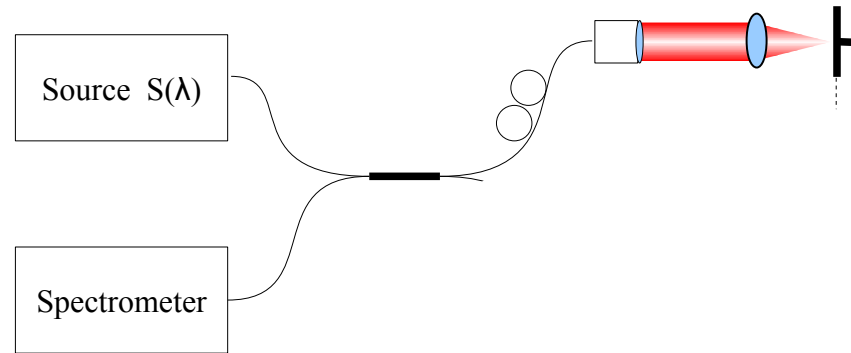
- is independent of Numerical Aperture NA !
- depends on source spectrum



# Frequency/Fourier – Domain OCT

Broadband source coupled to SM fiber

Suppose gaussian Spectrum with bandwidth

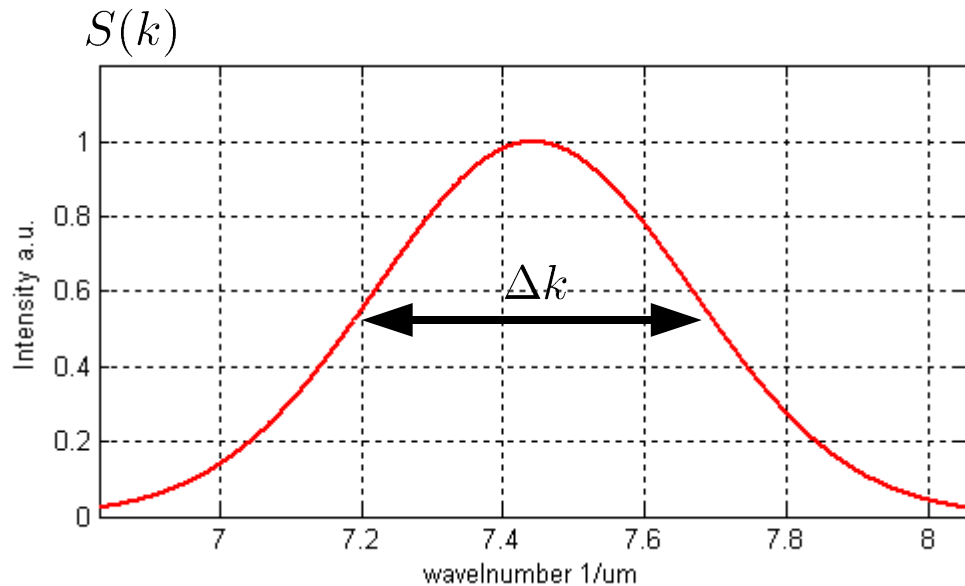


$$\Delta\lambda$$

In wavenumber:

$$k = \frac{2\pi}{\lambda}$$

$$\Delta k = 2\pi \frac{\Delta\lambda}{\lambda_m^2}$$



# Frequency/Fourier – Domain OCT

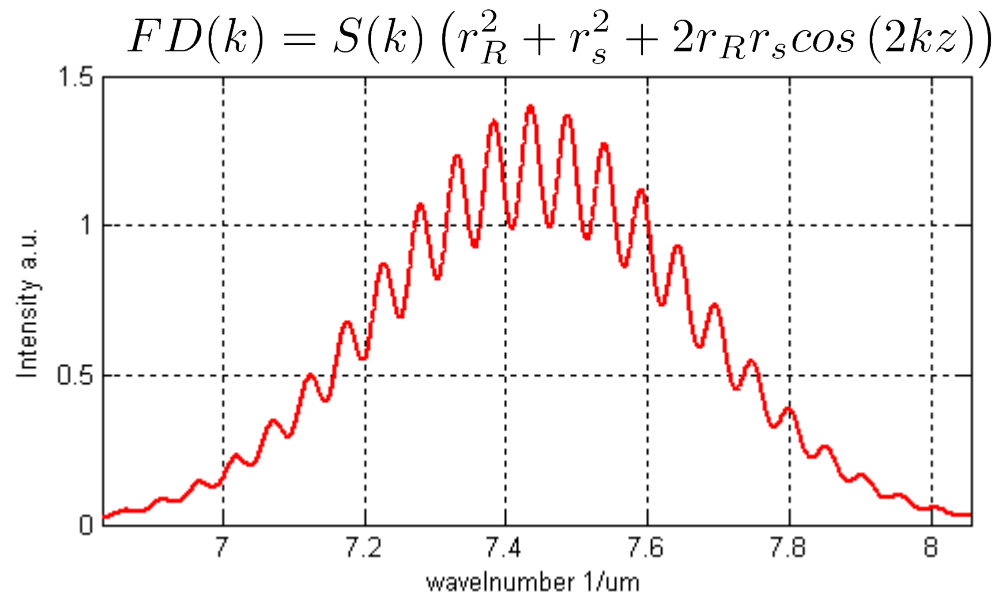
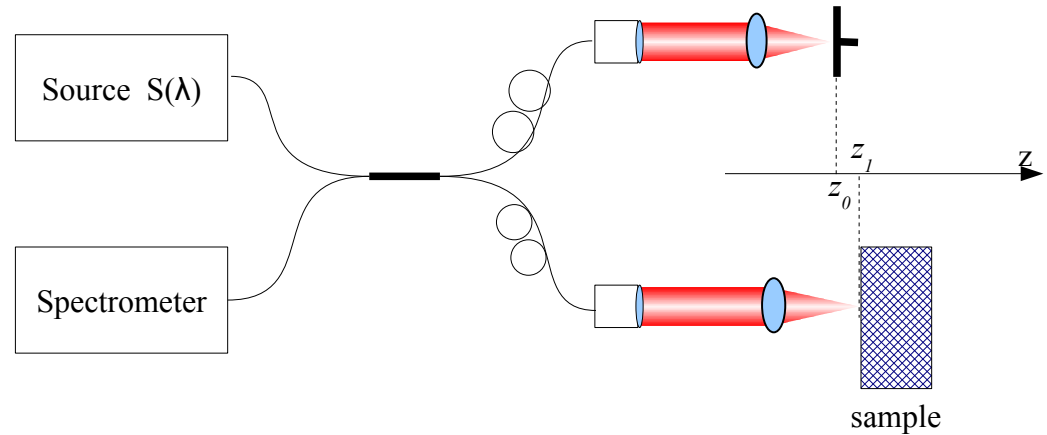
Interferences due to optical path difference

$$OPD = 2k(z_1 - z_0)$$

Amplitude reflectivities

$$r_R, r_s$$

Frequency in k-space is proportional to OPD



# Frequency/Fourier – Domain OCT

Interferences due to optical path difference

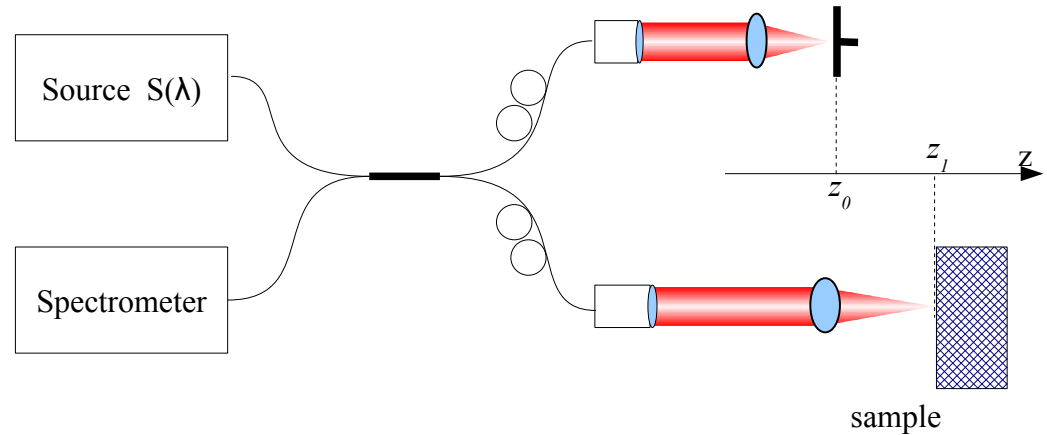
$$OPD = 2k(z_1 - z_0)$$

Amplitude reflectivities

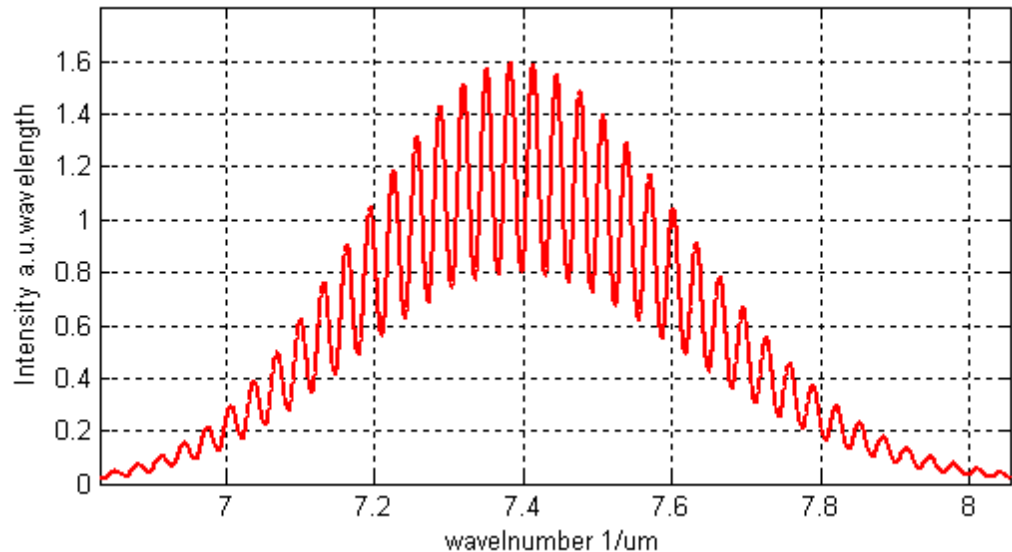
$$r_R, r_s$$

Frequency in k-space is proportional to OPD

Time domain signal is obtained by a Fourier transformation



$$FD(k) = S(k) (r_R^2 + r_s^2 + 2r_R r_s \cos(2kz))$$

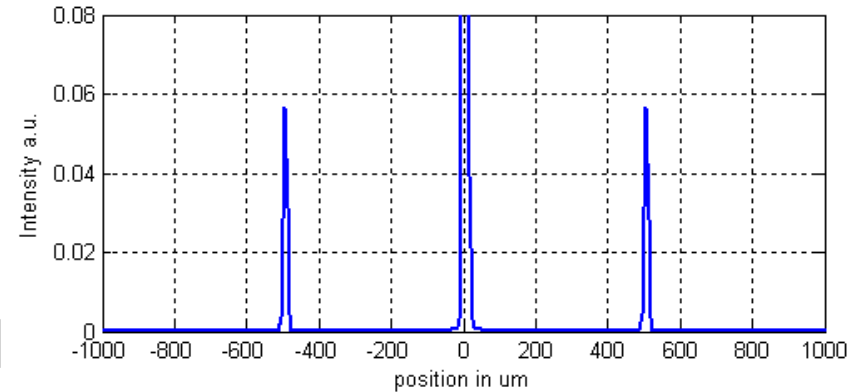


# FD OCT, post processing

Signal after Fourier Transformation

$$TD(z) = \mathfrak{F}^{-1} [FD(k)]$$

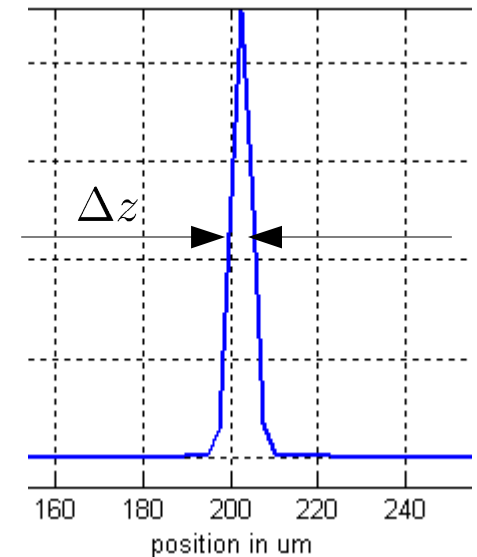
$$TD(z) = \mathfrak{F}^{-1} [S(k)] \otimes \mathfrak{F}^{-1} [2r_R r_s \cos(2kz)]$$



Axial resolution 
$$\Delta z = \frac{2 \ln(2) \lambda_m^2}{\pi \Delta \lambda} = \frac{4 \ln(2)}{\Delta k}$$

The Fourier transformation of a real signal is symmetric.

Only the half measuring range is usable



# FD OCT, post processing

Signal power drops for higher OPD due to finite spectrometer resolution.

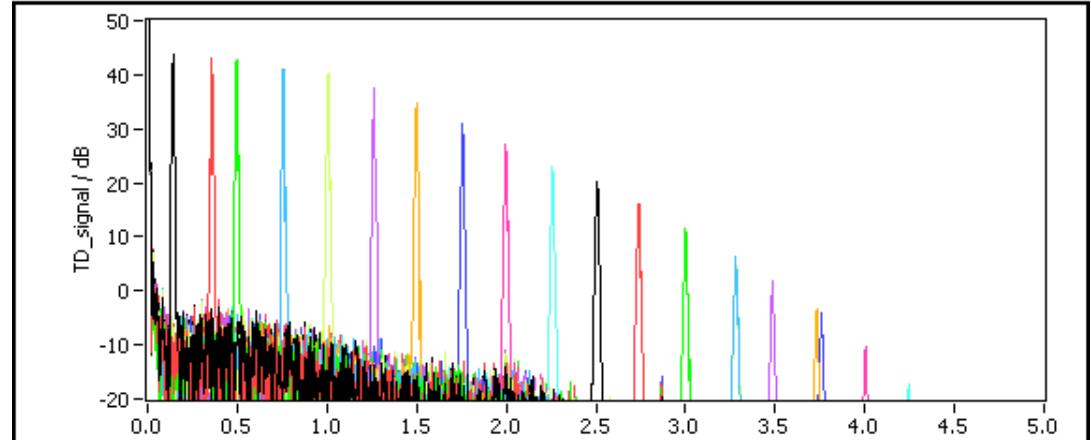
Scanning range or measuring range

$$z_{max} = \frac{1}{4} \frac{\lambda_m^2}{N \delta \lambda} = \frac{1}{2} \frac{\pi}{\delta k}$$

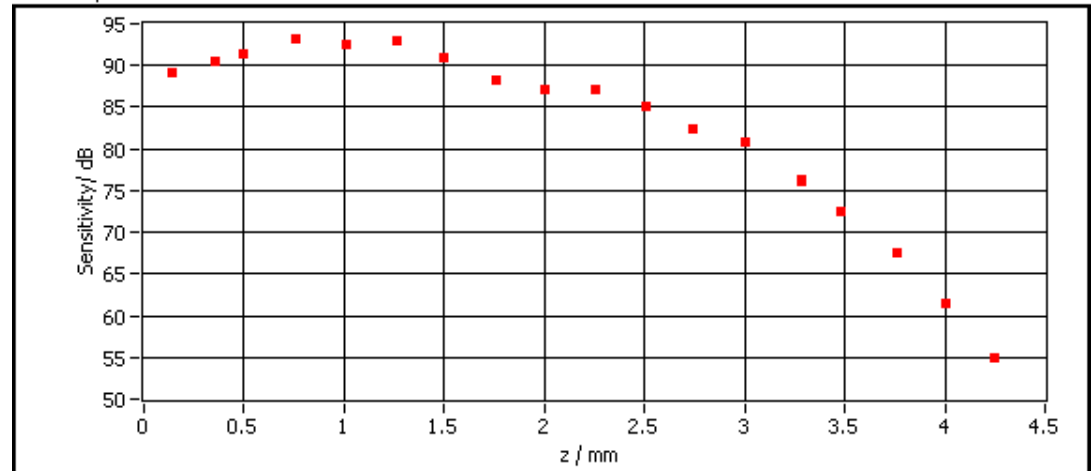
$\delta k$  Spectral resolution

Measurement from home build FD System (12.3.09)

Reflection on glass (R=0.04), ND Filter(T=0.086) in smple arm.



Sensitivity



# Time Domain vs Frequency Domain

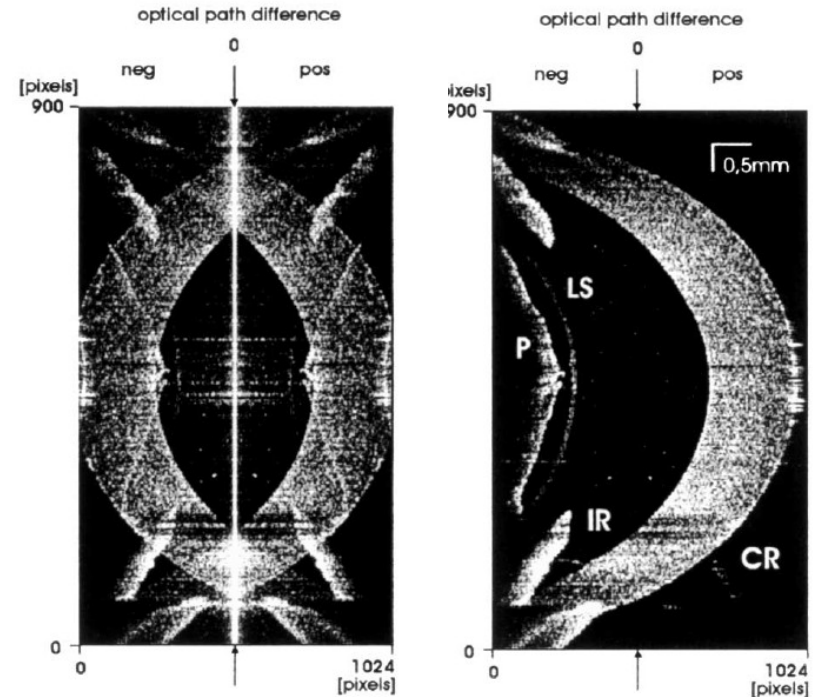
	Time Domain	Frequency Domain
Scan rate	Slow (< 1kHz)	Fast ( 50 kHz, >100 kHz with swept source and CMOS cameras)
Mechanics	Mechanical scanning reference arm	no movable parts
SNR	$\sim r_S^2$	$\sim r_S^2 \frac{N}{2}$
Scan range	Limited by reference arm	Signal power decreases with depth  Mirror ambiguity bisect scanning depth

# FD OCT, post processing

The symmetry property of the Fourier Transform can produce image artifacts.

How to overcome the mirror ambiguity in FD-OCT ?

Several systems are proposed to achieve full range FD-OCT



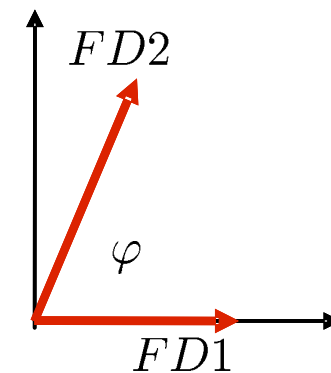
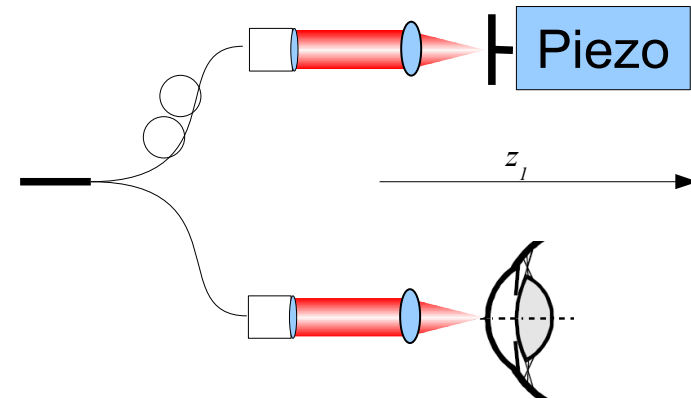
Bildquelle: Wojtkowski

# Full Range FD-OCT by Phase shifting

Consecutive acquisition of two or more phase shifted signals

$$FDc(k) = FD1(k) + e^{j\phi} FD2(k)$$

- Time consuming
- Expensive



## References

Wojtkowski, .. Optics Letters, 2002  
 Leitgeb,.. Optics Letters 2003



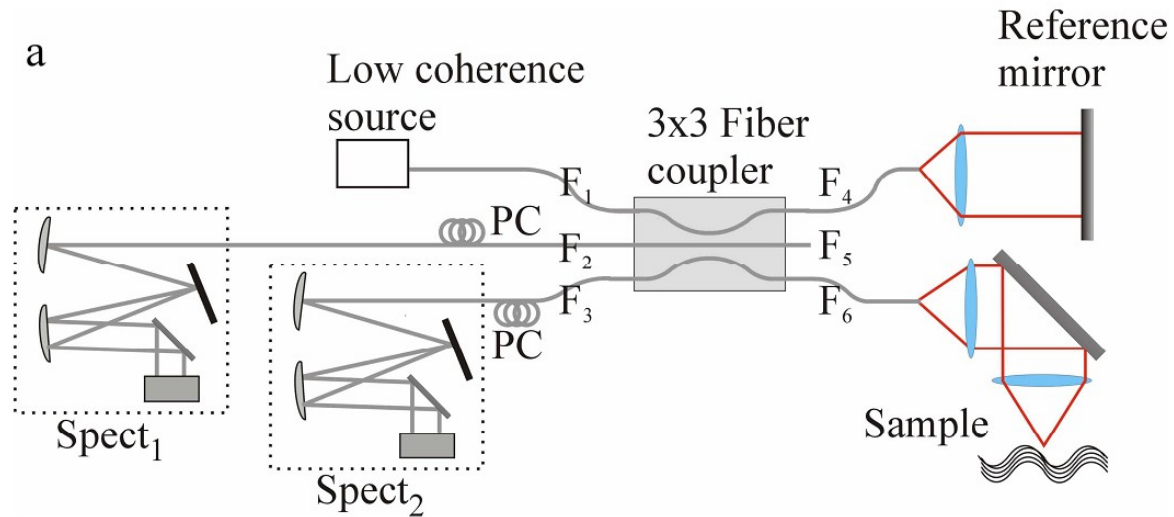
# Full Range FD-OCT by 3x3 coupler

Parallel acquisition with a 3x3 fiber coupler

120° phase delay  
between output ports

(for even power  
splitting ration )

- Expensive

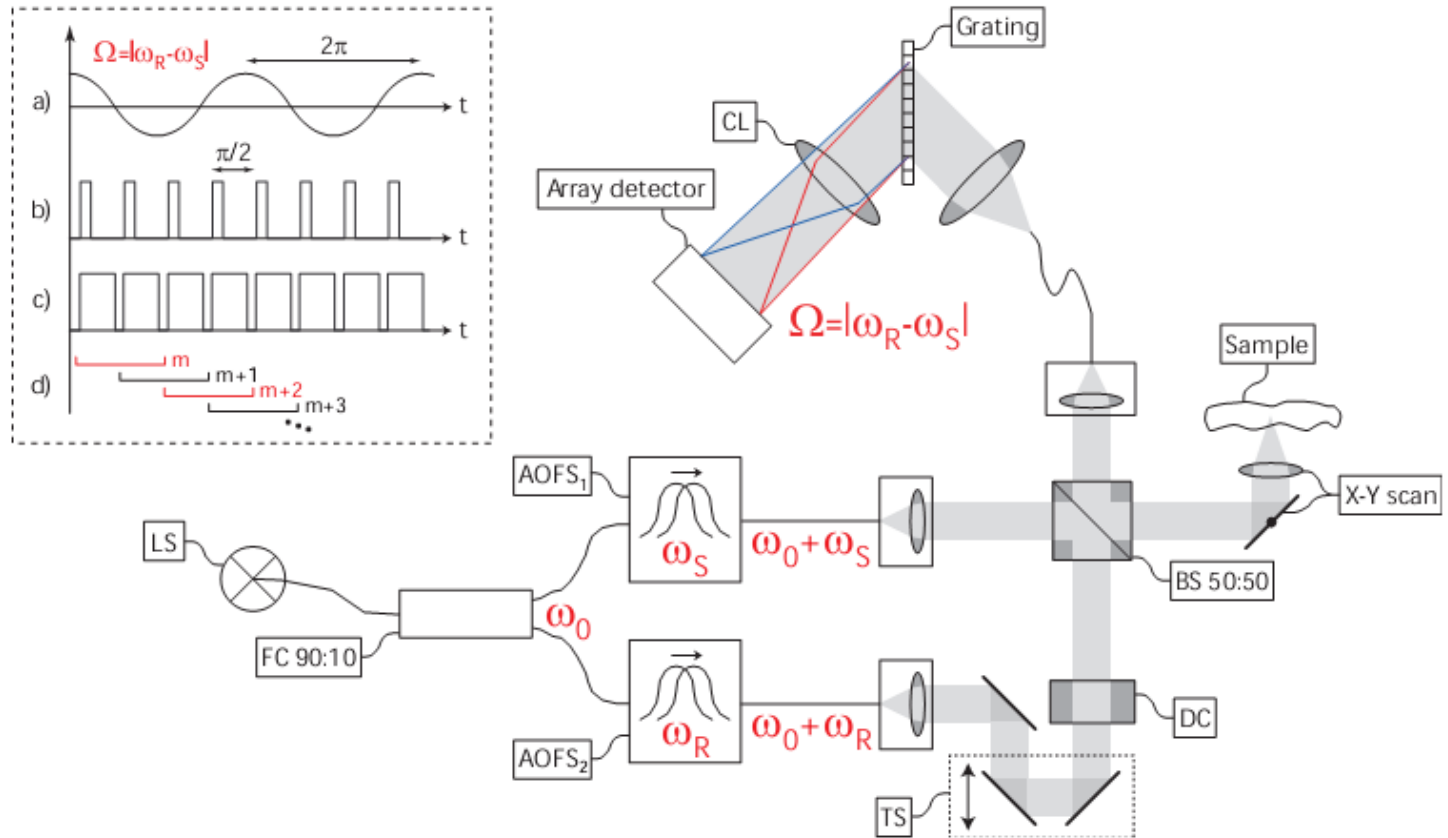


Bildquelle: Sarunic 2005

## References

Sarunic, Optics Express, 2005

# Full Range FD-OCT by heterodyne techniques



Reference

Bachmann, Optics Express 2006

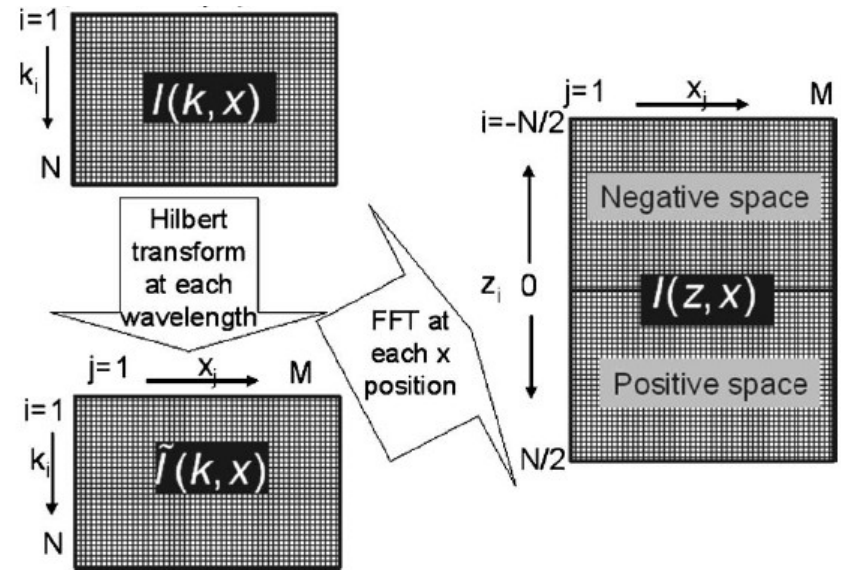
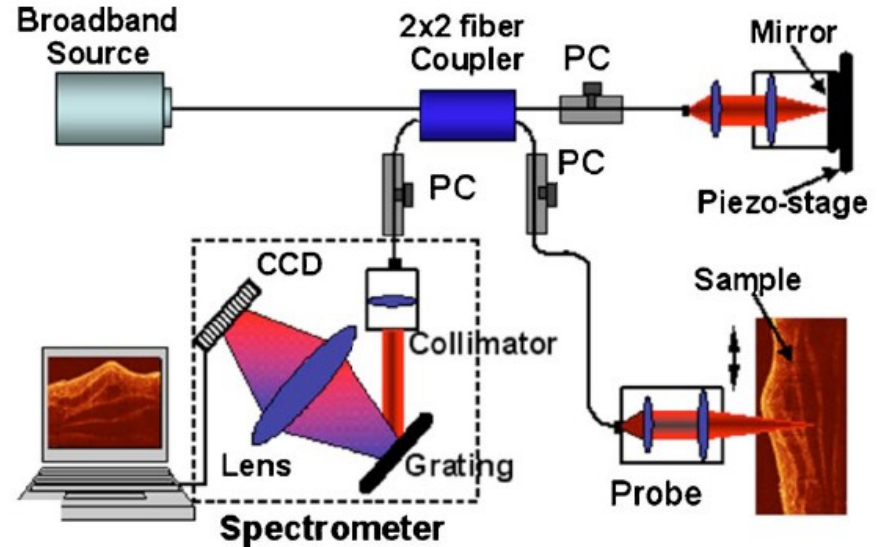
# Full Range FD-OCT

Phase shift by moving reference mirror over one B-scan

Construction of complex signal by Hilbert Transformation in x direction

## References

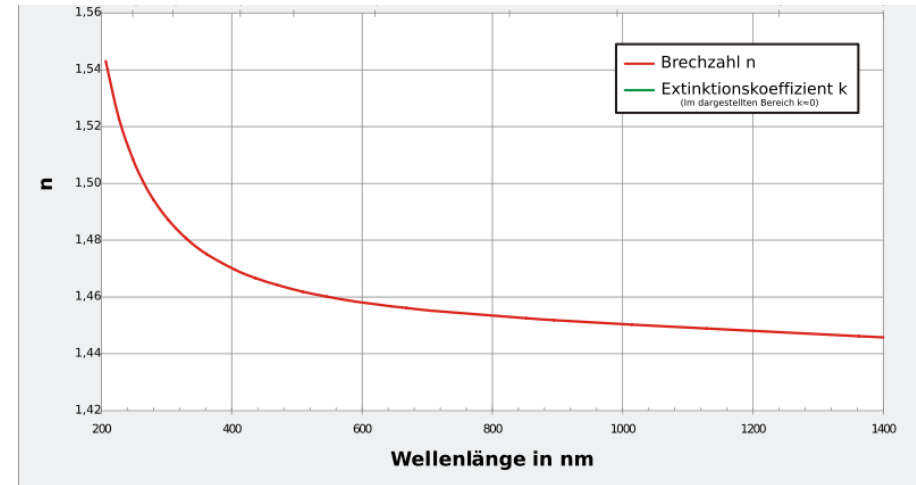
Wang, Applied Physics Letters, 2007



## Full Range FD-OCT by Dispersion encoding

The dispersion mismatch in the interferometer can be used to

- Improve signal quality
- perform full range FD-OCT



The algorithm was recently published by B. Hofer, group of W. Drexler, Cardiff.

Bernd Hofer, Boris Povay, Boris Hermann, Angelika Unterhuber, Gerald Matz, Wolfgang Drexler  
**Dispersion encoded full range frequency domain optical coherence tomography,**  
 Opt. Express, 2009

## Dispersion in OCT

The propagation constant depend on frequency  
 In a Taylor series expansion we have:

$$e^{j(2\beta(\omega)z - \omega t)}$$

$$\beta(\omega) = \beta(\omega_0) + \frac{d\beta}{d\omega}(\omega - \omega_0) + \frac{1}{2} \frac{d^2\beta}{d\omega^2}(\omega - \omega_0)^2 + \dots$$

The interpretation of the three terms are:

Wave number  $k = \beta(\omega_0) = n(\lambda) \frac{2\pi}{\lambda}$

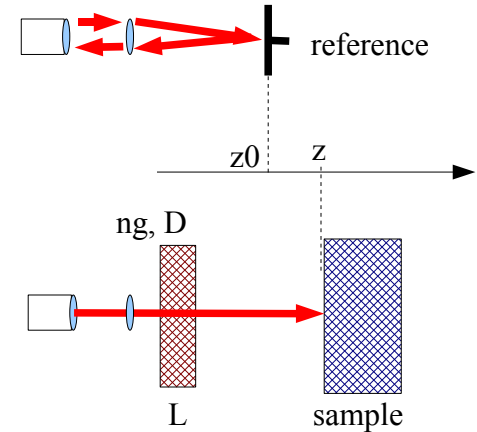
Group velocity  $\frac{1}{v_g} = \frac{d\beta}{d\omega} = \frac{1}{c} \left( n - \lambda \frac{dn}{d\lambda} \right)$

Second Order Dispersion  $D = 2\pi \frac{d^2\beta}{d\omega^2} = \frac{\lambda^3}{c^2} \frac{d^2n}{d\lambda^2}$

With  $c$ ,  $\lambda$  vacuum speed of light and the vacuum wavelength

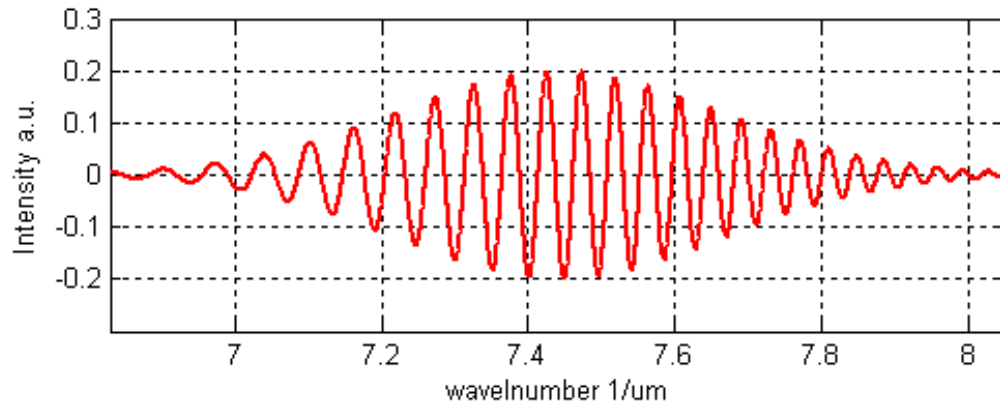
# Dispersion in OCT

The dispersion mismatch is modeled by the element with thickness  $L$ , group index  $n_g$  and second order dispersion  $D$



$$FD(k) \sim \cos(\varphi_0 + 2\beta(\omega)z)$$

$$FD(k) \sim \cos\left(\varphi_0 + 2(z + n_g L)k + \frac{c^2 LD}{2\pi} k^2 + \dots\right)$$



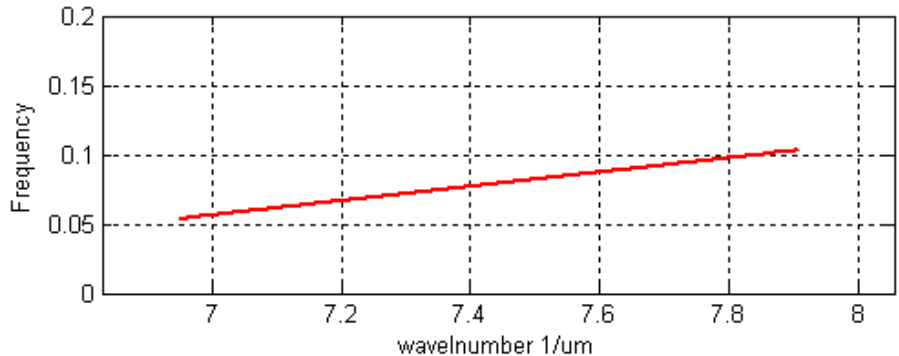
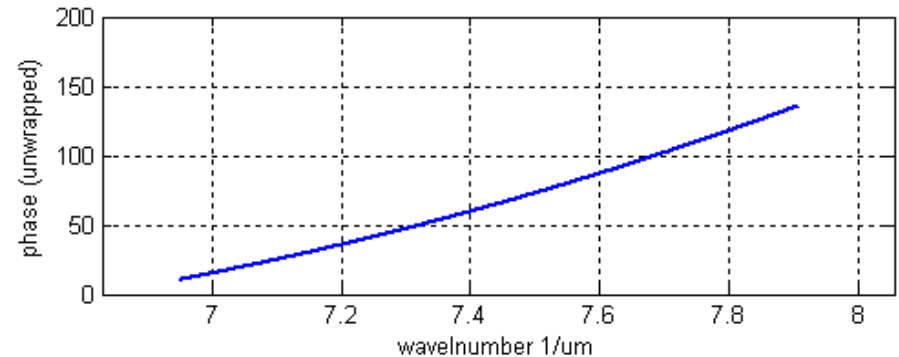
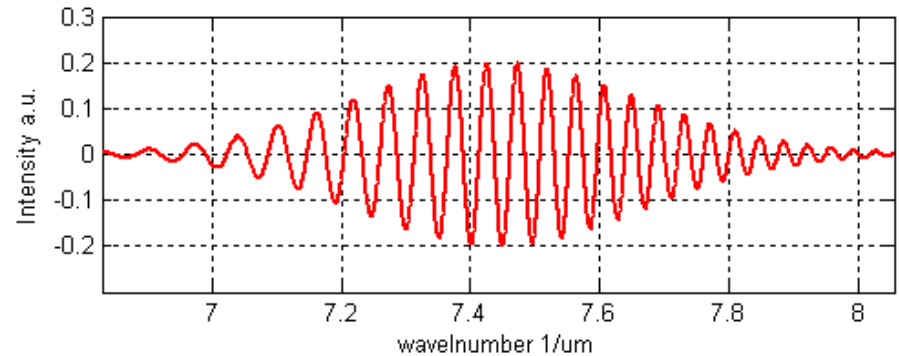
# Dispersion mismatch

Signal without DC term

- one reflecting surface
- Positive Dispersion
- Positive OPD

Phase is determined by Hilbert transformation

- First derivative is prop. to frequency
- Second derivative is prop. to dispersion mismatch

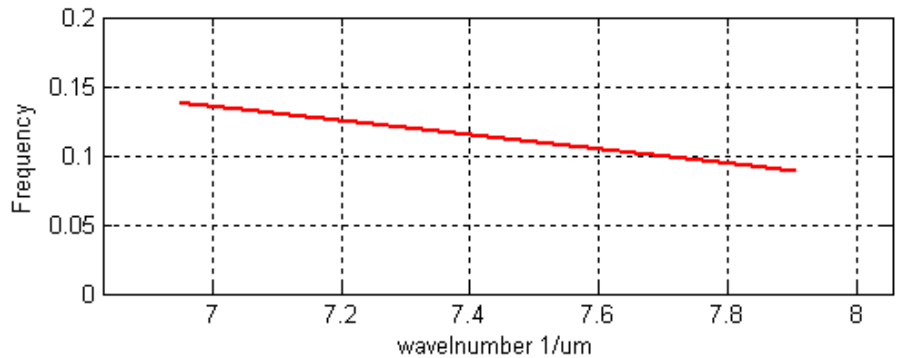
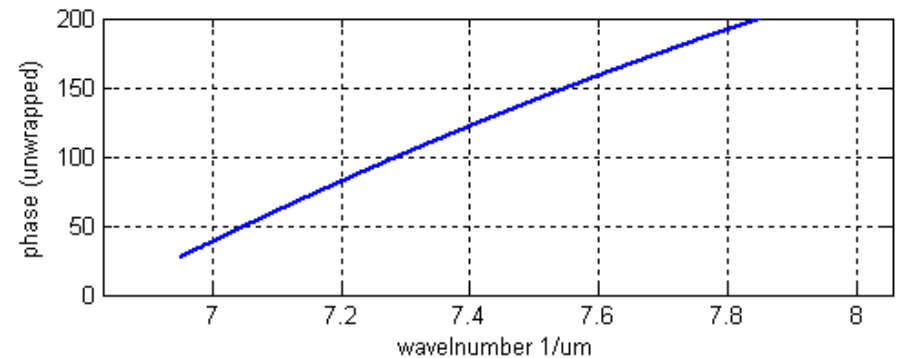
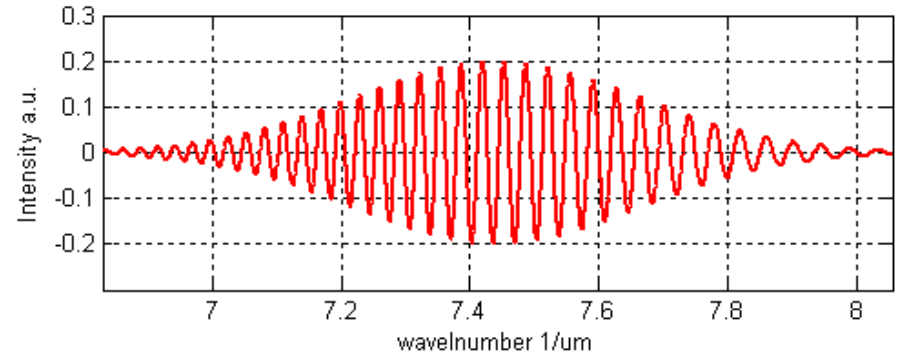


# Dispersion mismatch

Signal without DC term

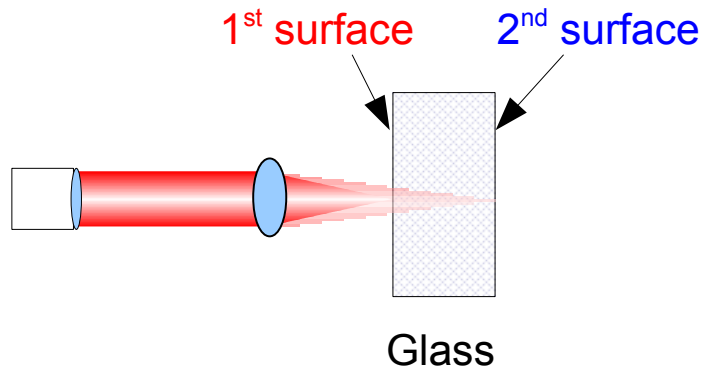
- one reflecting surface
- Positive Dispersion
- Negative OPD

The sign of OPD = sign of the measured dispersion



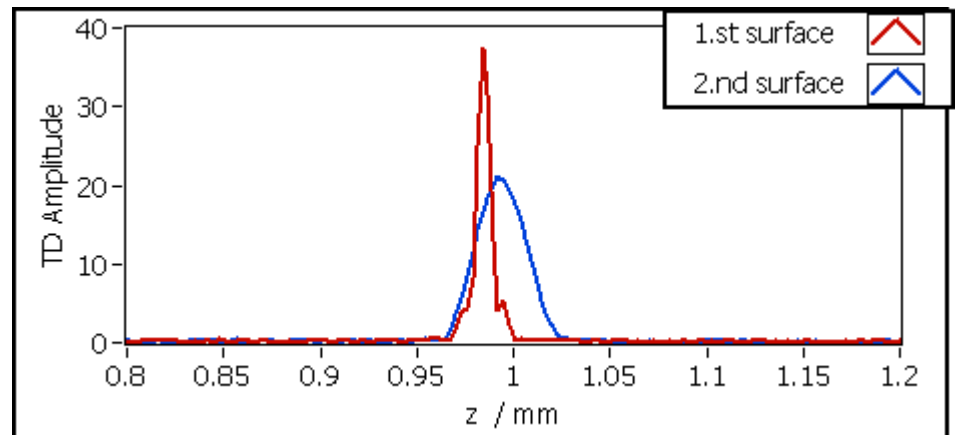
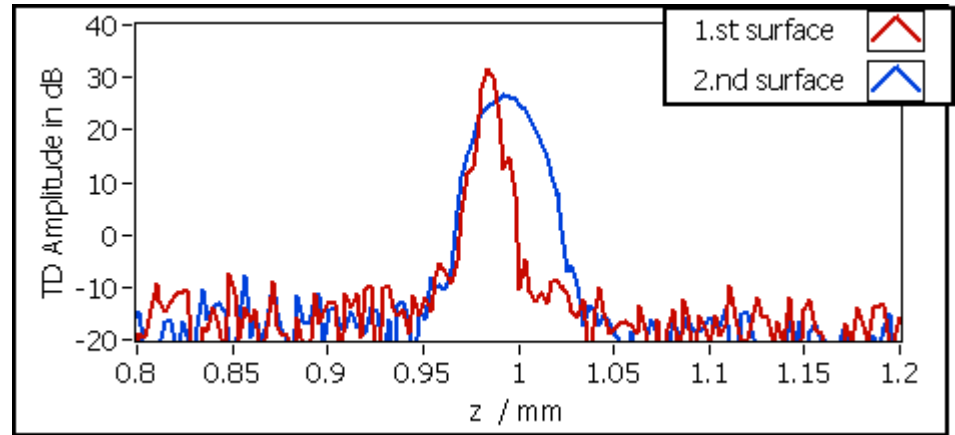


# Dispersion Compensation



Dispersion in the interferometer degenerate:

- axial resolution
- SNR

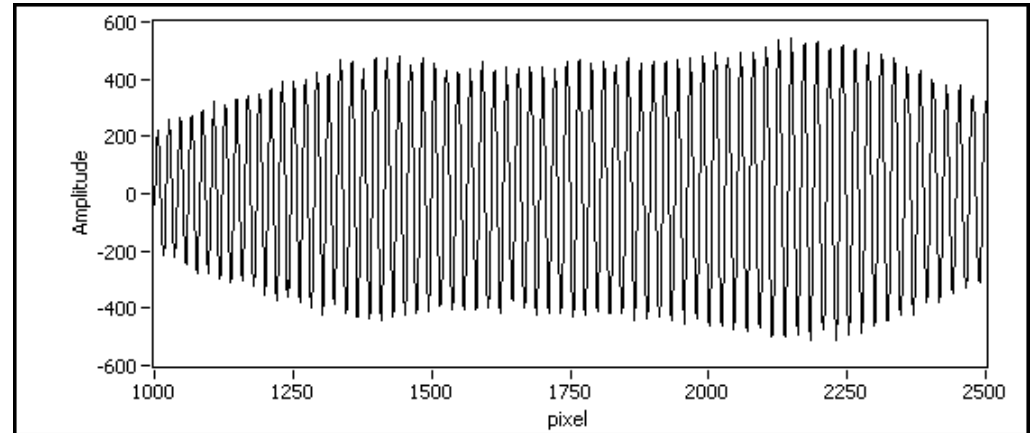


# Dispersion Compensation

The FD-signal is multiplied by a complex phase factor

$$FDc(k) = e^{-j\psi(k)} FD(k)$$

$$\psi(k) = a_2 k^2 + a_3 k^3 + \dots$$



The factors  $a_2, a_3, \dots$  are determined by the second derivative of the phase function.

$$FD(k) \propto \cos\left(\varphi_0 + 2(z + n_g L)k + \left(\frac{c^2 LD}{2\pi}\right)k^2 + \dots\right)$$

# Dispersion Compensation

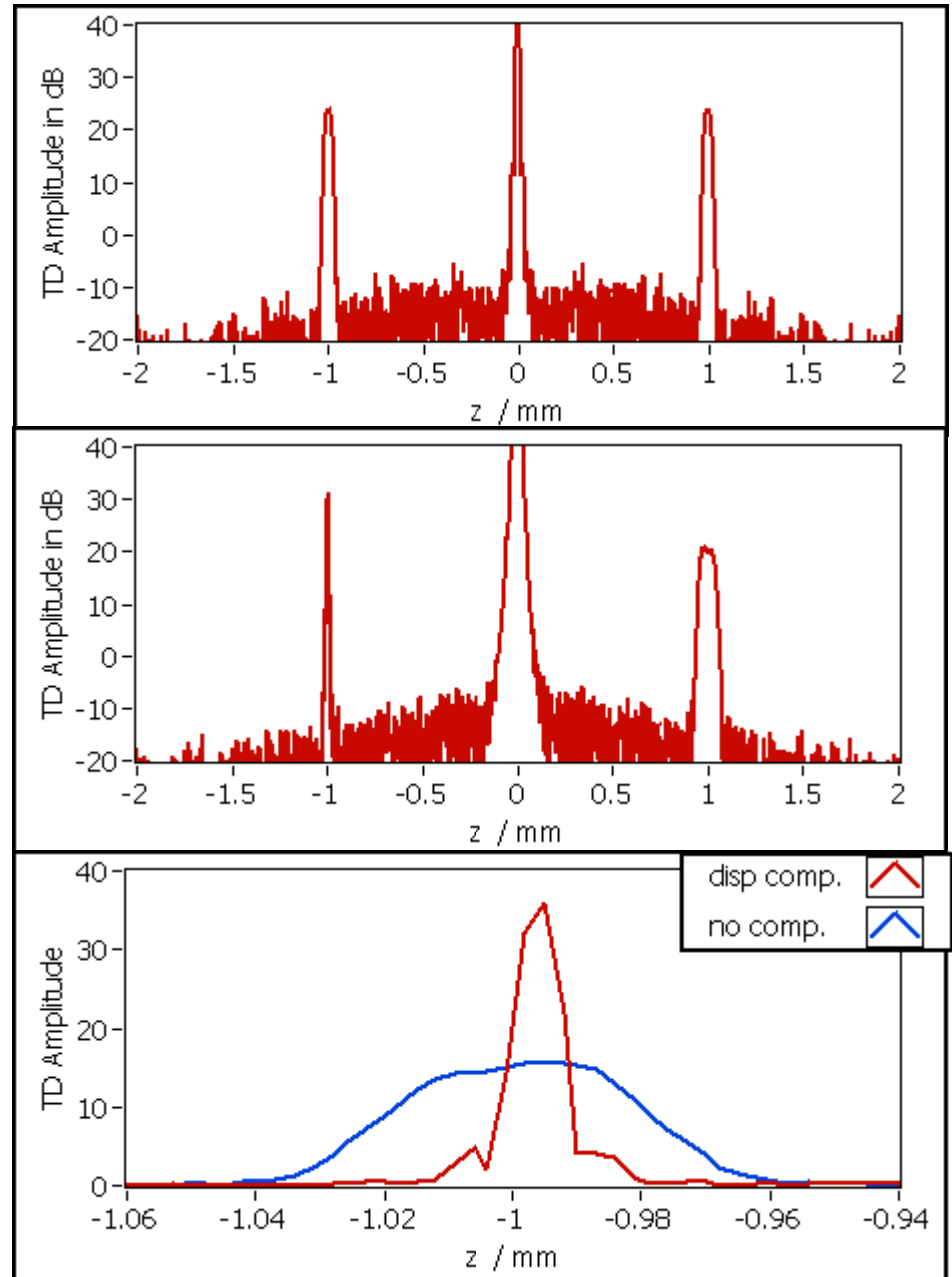
Signal before and after dispersion compensation

Dispersion of 25 mm glass in sample arm

SNR improvement 8 dB

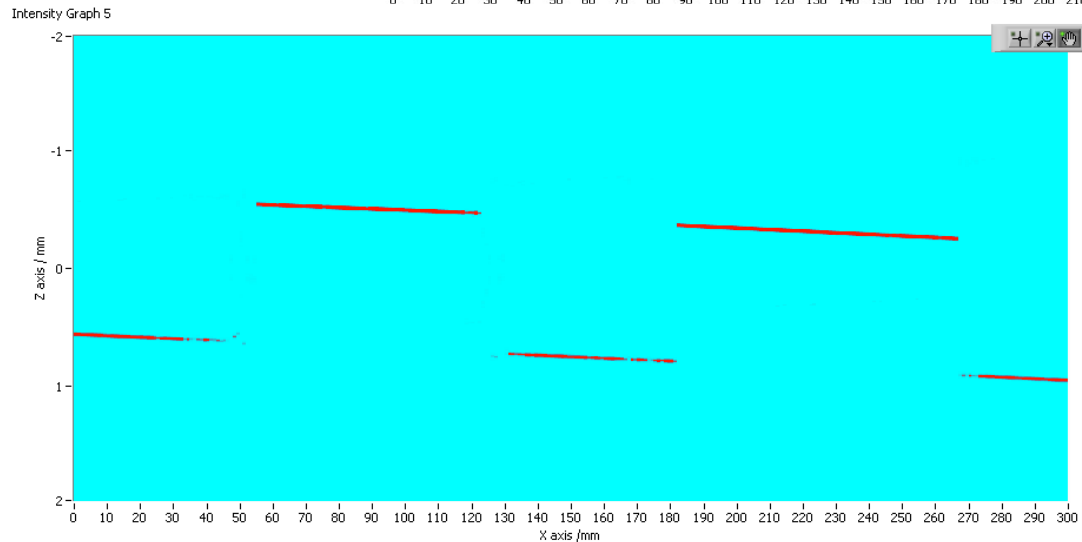
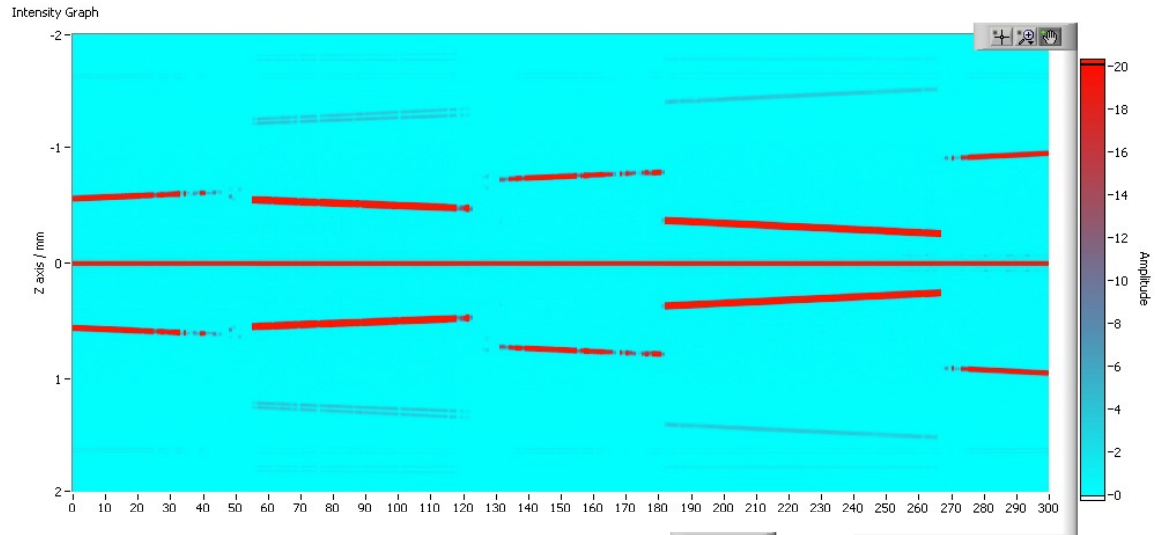
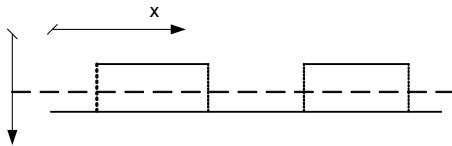
Axial resolution improvement factor 4.6

FWHM = 9  $\mu\text{m}$  compensated  
 = 44  $\mu\text{m}$  uncompensated

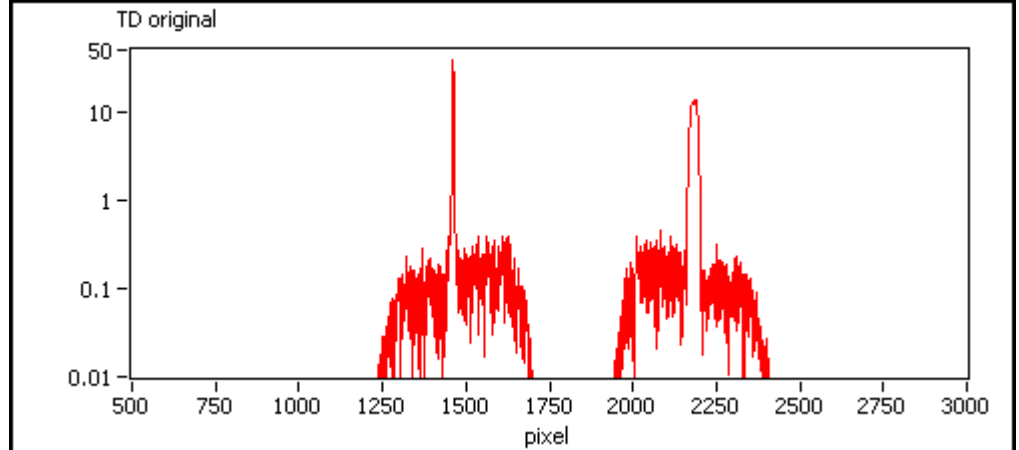
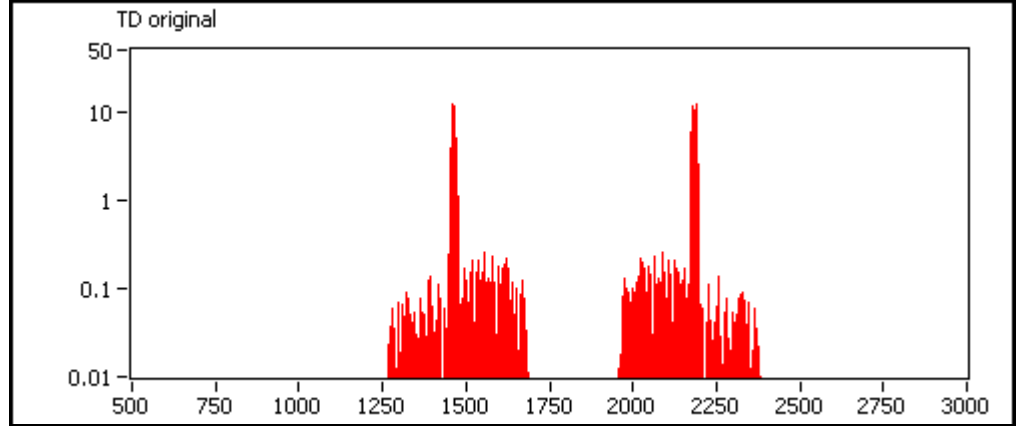
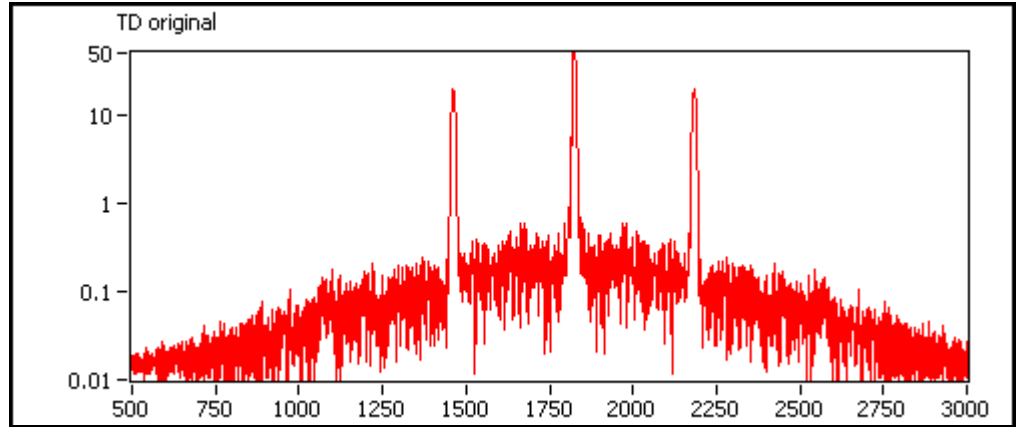
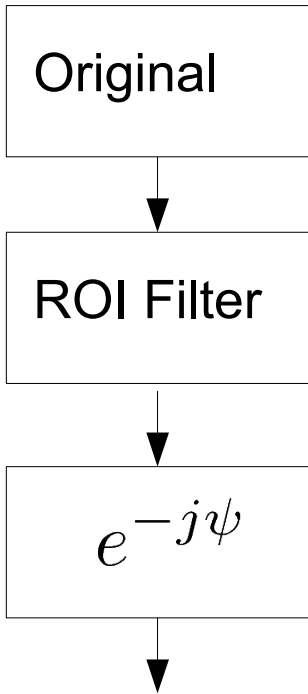


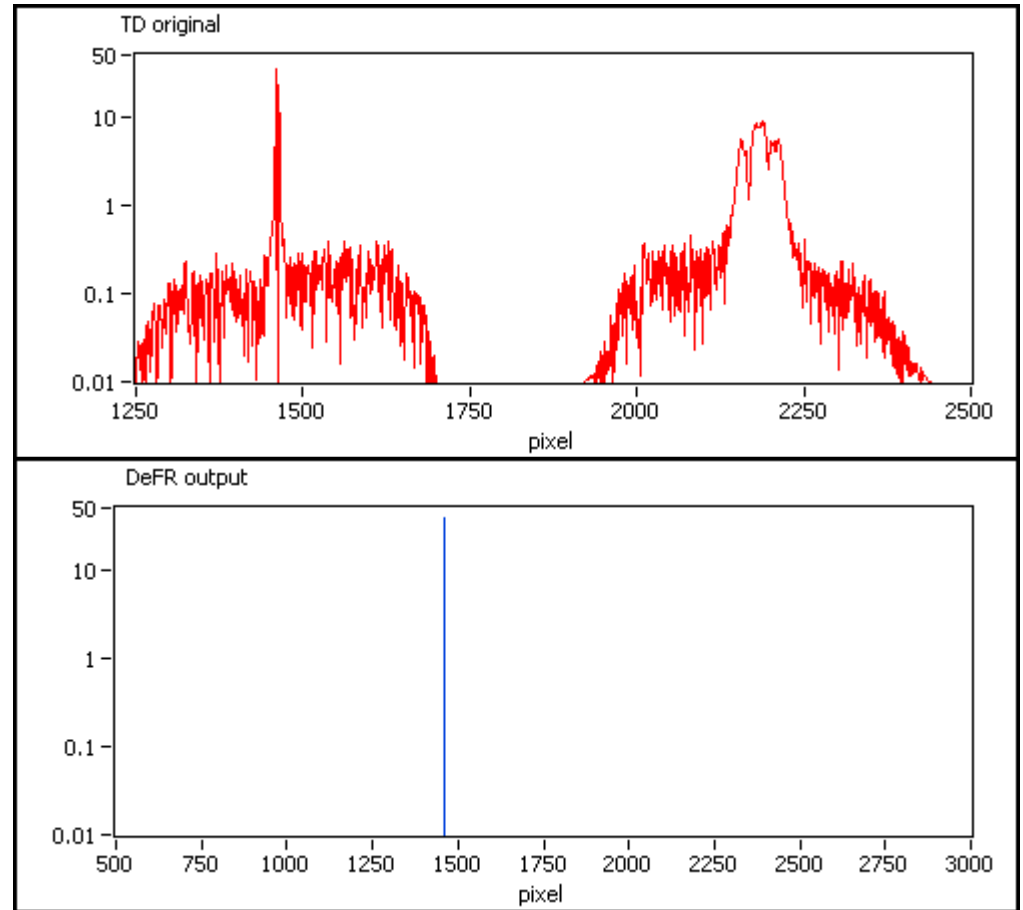
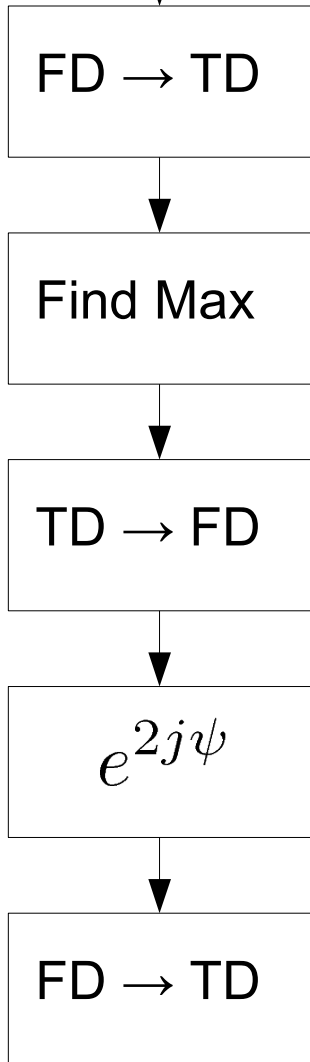
# Dispersion encoded Full Range

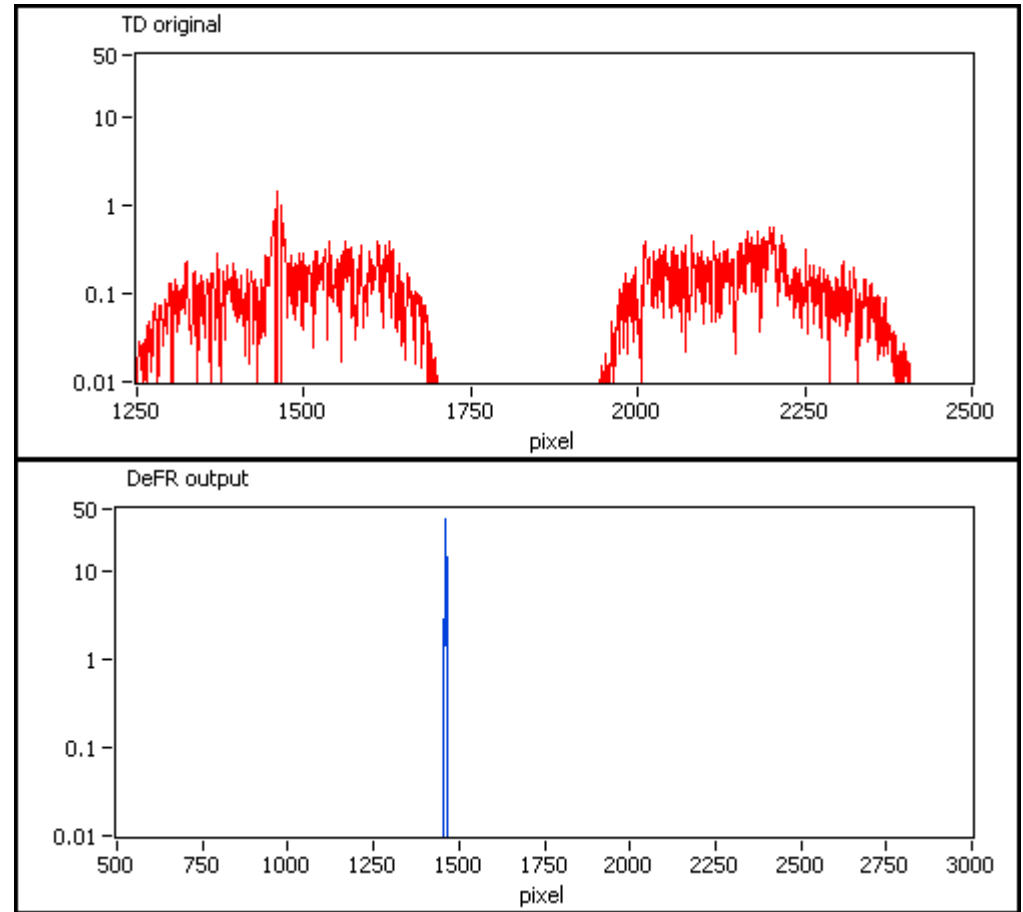
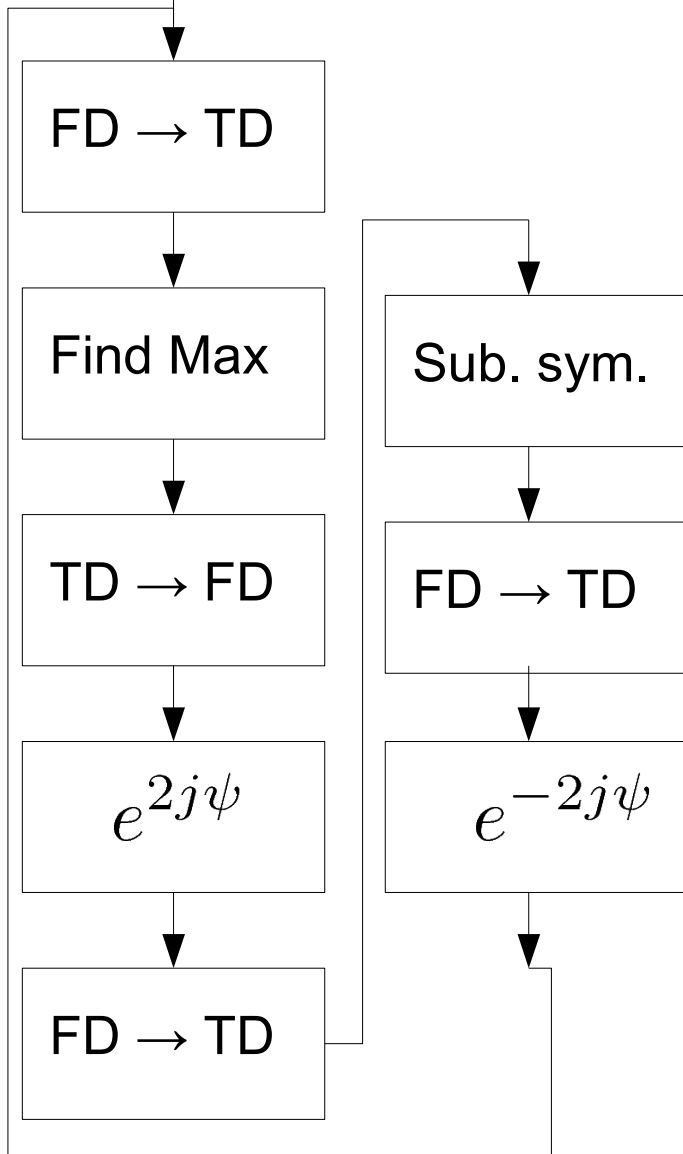
The dispersion mismatch is used to identify the sign of OPD

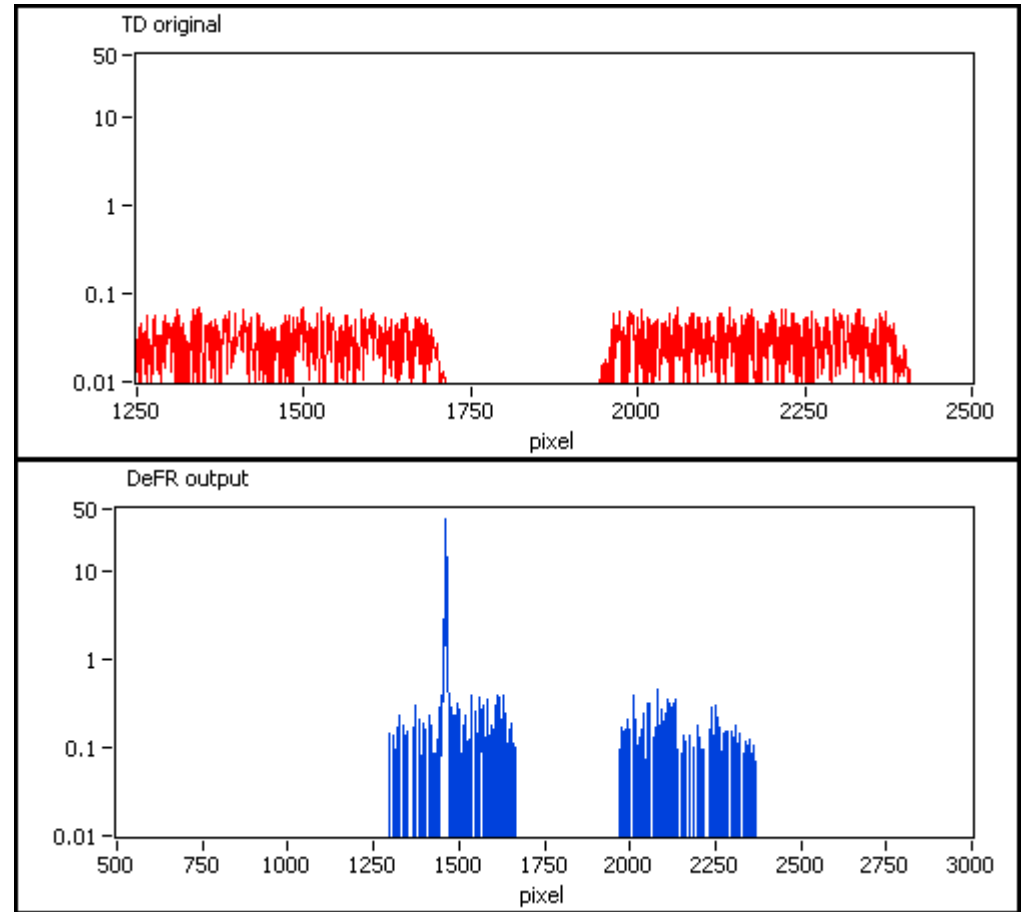
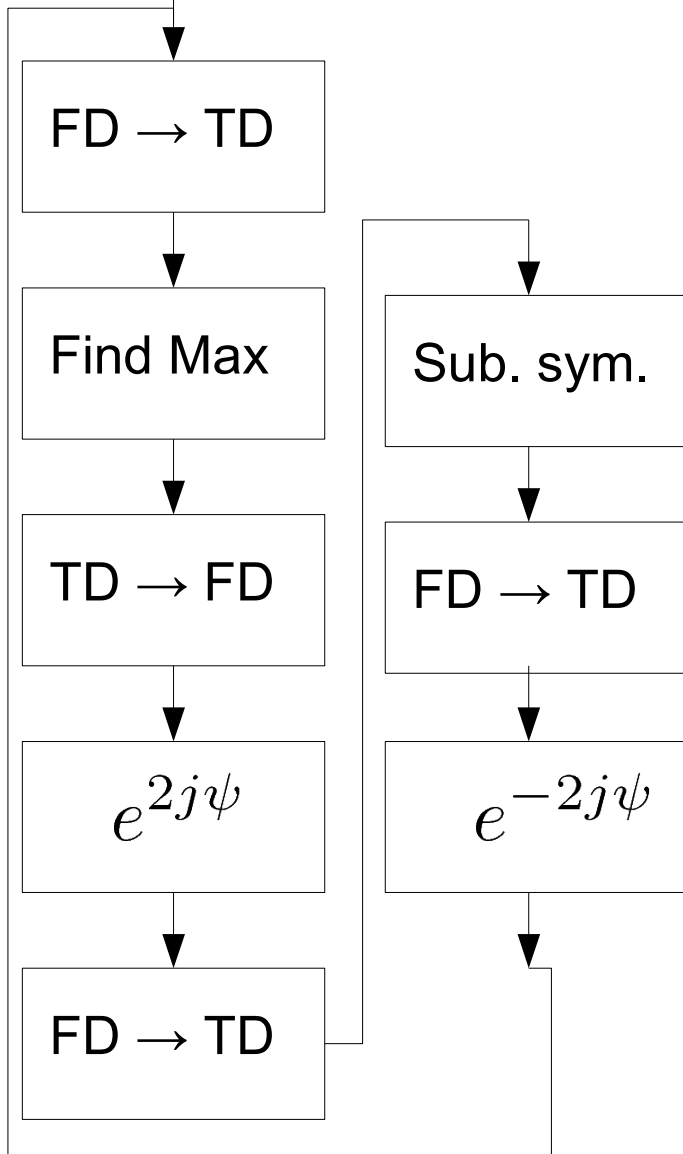


# Dispersion encoded Full Range









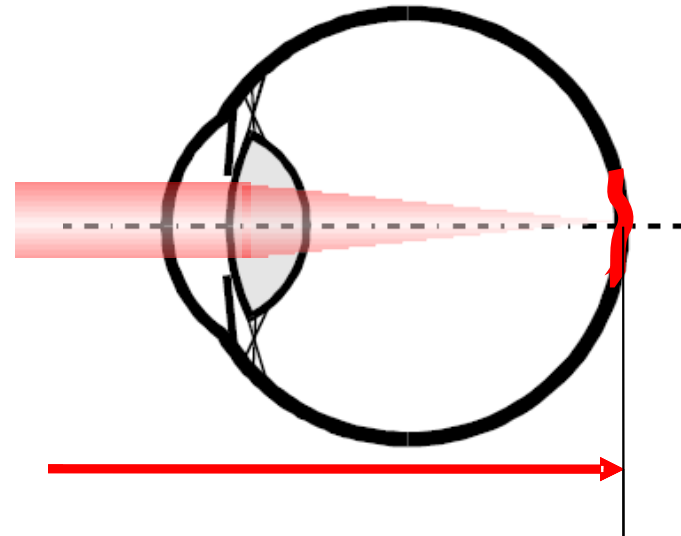


## Application of DeFR in actual project

- High measurement range  $z_{\text{range}} > 6 \text{ mm}$
- Resolution  $dz = 10 \text{ }\mu\text{m}$
- A-scan rate  $> 100 \text{ Hz}$

### The challenges are:

- Compact module
- Large measuring range
- Low manufacturing cost
- 



## Conclusion

- Removal of the mirror ambiguity in FD-OCT is a issue
- Dispersion handling enables signal enhancement and full range FD-OCT
- Dispersion handling is software based, don't need expensive and time consuming hardware
- FD-OCT with low-cost elements is feasible

**Thank you for your attention**